

# Inverse Scattering problem and generalized optical theorem

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1 In short, I explain

1. condition for half-on-shell T-matrix
2. solution to inverse scattering in 3D space

7.  $t(k)$  of Gaudin

8.

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## Inverse scattering problem and generalized optical theorem

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# 1. Introduction

## --- history of inverse problems

- J.W.S. Rayleigh, 1877

Given eigenfrequencies of a string,  
can one determine the density distribution of the string ?

*The theory of sound, Dover, 1945*

- Marc Kac, 1966

CAN ONE HEAR THE SHAPE OF A DRUM?

MARK KAC, The Rockefeller University, New York

To George Eugene Uhlenbeck on the occasion of his sixty-fifth birthday

“La Physique ne nous donne pas seulement  
l'occasion de résoudre des problèmes . . . , elle nous  
fait sentir la solution.” H. POINCARÉ.

- Inverse scattering in 1D (~1960's)

$\delta(k) \longleftrightarrow V(r)$  ← one-to-one

- Inverse scattering in 3D (2015, present work)

$\langle \hat{\mathbf{k}}' | t(k) | \hat{\mathbf{k}} \rangle \longleftrightarrow \langle \mathbf{r}' | V | \mathbf{r} \rangle$  ← one-to-many  
 $\langle \mathbf{r}' | V' | \mathbf{r} \rangle$

## 2. Current theory of inverse scattering (Marchenko)

$$\{-\nabla^2 + V(r)\} \psi_{\mathbf{k}}(\mathbf{r}) = k^2 \psi_{\mathbf{k}}(\mathbf{r}) \quad \longrightarrow \quad \left\{ \frac{d^2}{dr^2} - \frac{l(l+1)}{r^2} - V(r) + k^2 \right\} \psi_{k,l}(r) = 0$$

● **input**

$\delta(k)$  : phase shift



● **source term**

$$A_0(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} [S(k) - 1] e^{ikt} dk$$



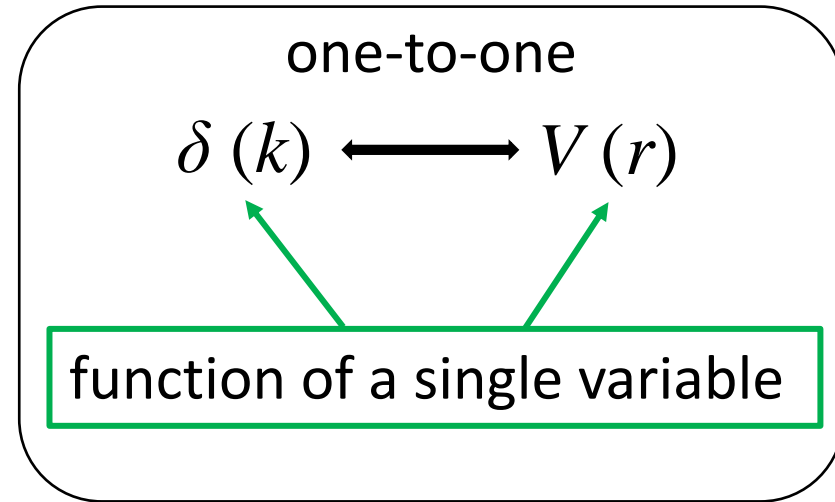
● **Marchenko eq.**

$$A(r, t) = A_0(r + t) + \int_r^{\infty} A(r, s) A_0(s + t) ds$$



● **potential**

$$V(r) = -2 \frac{dA(r, r)}{dr}$$



Textbook:

R.G.Newton, Scattering Theory of Waves and Particles, 2<sup>nd</sup> edition, Springer, 1982

K.Chadan and P.C.Sabatier,

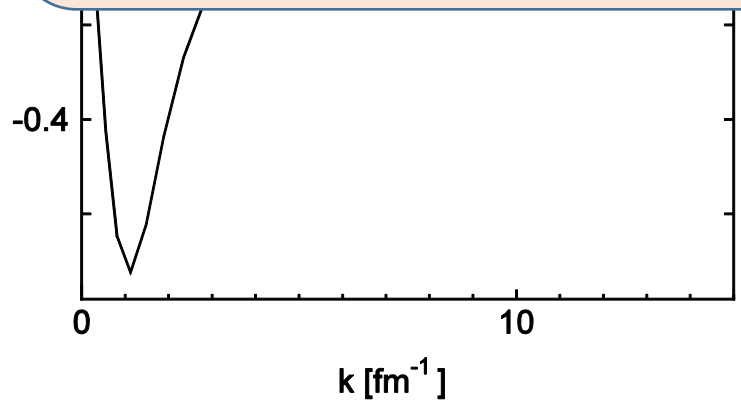
Inverse Problems in Quantum Scattering Theory, 2<sup>nd</sup> edition, Springer, 1989

● example

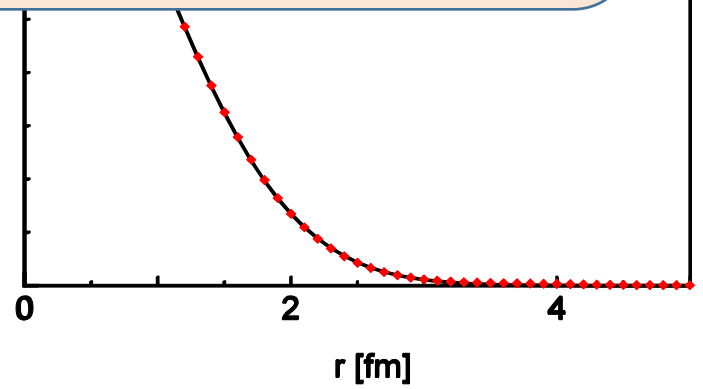
Can this be the end of the story ?

The answer is NO

phase shift



→  
Inverse scattering



## 2-2. Why NO ? --- **current status vs. present theory**

### Current status

- ◆ Formulation in **one-dimension** via partial wave decomposition
- ◆ Assumption of **local** potential  $V(r)$   $\longrightarrow$  excludes nonlocal  $V(r,r')$
- ◆ Physical meaning is **not clear**
- ◆ (Theory in **coordinate** space)

### Present theory

- ◆ Direct formulation in **three-dimensional space**  
 $\longrightarrow$  no assumption of symmetry
- ◆ General **nonlocal** potential  $V(r,r')$  is included
- ◆ Physical meaning is **clear**
- ◆ (Theory in **momentum** space)

# 3. Generalized Optical Theorem (GOT)

$$H_0|\mathbf{k}\rangle = k^2|\mathbf{k}\rangle$$

$$H|\mathbf{k}\rangle_+ = k^2|\mathbf{k}\rangle_+$$

## 3-1. Scattering theory in momentum space

### T-matrix

- $\mathcal{T}$  : T-operator

$$\mathcal{T}(E) = V + V \frac{1}{E - H + i\eta} V = V + V \frac{1}{E - H_0 + i\eta} \mathcal{T}(E)$$

- $T$  : Half-on-shell (HOS) T-matrix ( $k' \neq k$ )

$$\langle \mathbf{k}' | T | \mathbf{k} \rangle = \langle \mathbf{k}' | V | \mathbf{k} \rangle_+ = \langle \mathbf{k}' | \mathcal{T}(k^2) | \mathbf{k} \rangle$$

$$\langle \mathbf{k}' | T | \mathbf{k} \rangle = \langle \mathbf{k}' | V | \mathbf{k} \rangle + \int \frac{d\mathbf{p}}{(2\pi)^3} \langle \mathbf{k}' | V | \mathbf{p} \rangle \frac{1}{k^2 - p^2 + i\eta} \langle \mathbf{p} | T | \mathbf{k} \rangle$$

- $t$  : On-shell (OS) T-matrix ( $k' \rightarrow k$ )

$$\langle \hat{\mathbf{k}}' | t(k) | \hat{\mathbf{k}} \rangle = \langle k \hat{\mathbf{k}}' | T | k \hat{\mathbf{k}} \rangle = \langle k \hat{\mathbf{k}}' | \mathcal{T}(k^2) | k \hat{\mathbf{k}} \rangle \longrightarrow S(k) = 1 - 2\pi i \rho_k t(k)$$

### Scattering state

- $|\mathbf{k}\rangle_+ = |\mathbf{k}\rangle + \frac{1}{k^2 - H_0 + i\eta} V |\mathbf{k}\rangle_+ = |\mathbf{k}\rangle + \frac{1}{k^2 - H_0 + i\eta} \mathcal{T}(k^2) |\mathbf{k}\rangle$

$$\langle \mathbf{p} | \mathbf{k} \rangle_+ = (2\pi)^3 \delta(\mathbf{p} - \mathbf{k}) + \frac{1}{k^2 - p^2 + i\eta} \langle \mathbf{p} | T | \mathbf{k} \rangle$$

### 3-2. How much is arbitrary in $\langle k'|T|k\rangle$ ?

HOS T-matrix is closer to observables than pot.

$$\langle k'|V|k\rangle \rightarrow \langle k'|T|k\rangle \rightarrow \text{observables}$$

### Optical Theorem (GOT)

- studied only for  $\langle k'|T_l|k\rangle$   
M.Baranger et.al., Nucl.Phys.A138(1961)1

$$\langle k'|T|k\rangle = \sum_{lm} Y_{lm}(\hat{\mathbf{k}}') \langle k'|T_l|k\rangle Y_{lm}^*(\hat{\mathbf{k}})$$

- single equation only is known for  $\langle k'|T|k\rangle$   
Its meaning is, however, unknown.

Low equation

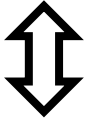
F.E.Low, Phys.Rev.97,1392(1955)

K.Takayanagi, Phys.Rev.A77, 062714(2008)



# 3-3. Condition for HOS T-matrix (no bound state, for simplicity)

● Hermitian  $V(r, r')$   $\longleftrightarrow$  Generally nonlocal



● **Completeness** of  $\{|k\rangle_+\}$

$$\int \frac{d\mathbf{p}}{(2\pi)^3} \langle \mathbf{k}' | \mathbf{p} \rangle_+ \langle \mathbf{p} | \mathbf{k} \rangle = (2\pi)^3 \delta(\mathbf{k}' - \mathbf{k})$$



$$\langle \mathbf{p} | \mathbf{k} \rangle_+ = (2\pi)^3 \delta(\mathbf{p} - \mathbf{k}) + \frac{1}{k^2 - p^2 + i\eta} \langle \mathbf{p} | T | \mathbf{k} \rangle$$

✓ Be careful.  
Product of singular factors.

Ⓐ

$$\langle \mathbf{k}' | T^\dagger | \mathbf{k} \rangle - \langle \mathbf{k}' | T | \mathbf{k} \rangle = \int \frac{d\mathbf{p}}{(2\pi)^3} \langle \mathbf{k}' | T | \mathbf{p} \rangle \left( \frac{1}{k'^2 - p^2 - i\eta} - \frac{1}{k^2 - p^2 + i\eta} \right) \langle \mathbf{p} | T^\dagger | \mathbf{k} \rangle$$

● **orthogonality** of  $\{|k\rangle_+\}$

$$\int \frac{d\mathbf{p}}{(2\pi)^3} \langle \mathbf{k}' | \mathbf{p} \rangle \langle \mathbf{p} | \mathbf{k} \rangle_+ = (2\pi)^3 \delta(\mathbf{k}' - \mathbf{k})$$



$$\langle \mathbf{p} | \mathbf{k} \rangle_+ = (2\pi)^3 \delta(\mathbf{p} - \mathbf{k}) + \frac{1}{k^2 - p^2 + i\eta} \langle \mathbf{p} | T | \mathbf{k} \rangle$$

Ⓑ

$$\langle \mathbf{k}' | T^\dagger | \mathbf{k} \rangle - \langle \mathbf{k}' | T | \mathbf{k} \rangle = \int \frac{d\mathbf{p}}{(2\pi)^3} \langle \mathbf{k}' | T^\dagger | \mathbf{p} \rangle \left( \frac{1}{k'^2 - p^2 - i\eta} - \frac{1}{k^2 - p^2 + i\eta} \right) \langle \mathbf{p} | T | \mathbf{k} \rangle$$

# 3-4. Generalized optical theorem (GOT)

Completeness and orthogonality of scattering states  $\{|\mathbf{k}\rangle_+\}$

**(A)**  $\langle \mathbf{k}' | T^\dagger | \mathbf{k} \rangle - \langle \mathbf{k}' | T | \mathbf{k} \rangle = \int \frac{d\mathbf{p}}{(2\pi)^3} \langle \mathbf{k}' | T | \mathbf{p} \rangle \left( \frac{1}{k'^2 - p^2 - i\eta} - \frac{1}{k^2 - p^2 + i\eta} \right) \langle \mathbf{p} | T^\dagger | \mathbf{k} \rangle$

- integral over on-shell momentum
- Low equation

**(B)**  $\langle \mathbf{k}' | T^\dagger | \mathbf{k} \rangle - \langle \mathbf{k}' | T | \mathbf{k} \rangle = \int \frac{d\mathbf{p}}{(2\pi)^3} \langle \mathbf{k}' | T^\dagger | \mathbf{p} \rangle \left( \frac{1}{k'^2 - p^2 - i\eta} - \frac{1}{k^2 - p^2 + i\eta} \right) \langle \mathbf{p} | T | \mathbf{k} \rangle$

**(A) (B) are independent equations**

Optical theorem

$t^\dagger(k) - t(k) = 2\pi i \rho_k t(k) t^\dagger(k)$

$t^\dagger(k) - t(k) = 2\pi i \rho_k t^\dagger(k) t(k)$



$S(k) S^\dagger(k) = S^\dagger(k) S(k) = 1$

- clear physical meaning
  - orthonormality of  $\{|\mathbf{k}\rangle_+\}$
- coupled set of nonlinear integral eqs. for HOS T-matrix  $\langle \mathbf{k}' | T | \mathbf{k} \rangle$

# 4. Theory of Inverse scattering

## 4-1. on-to-one correspondence between $V$ and $T$

$$\langle \mathbf{k}' | T | \mathbf{k} \rangle = \langle \mathbf{k}' | V | \mathbf{k} \rangle + \int \frac{d\mathbf{p}}{(2\pi)^3} \langle \mathbf{k}' | V | \mathbf{p} \rangle \frac{1}{k^2 - p^2 + i\eta} \langle \mathbf{p} | T | \mathbf{k} \rangle \quad : \text{LS equation}$$

- Prepare Hermitian potential  $\langle \mathbf{k}' | V | \mathbf{k} \rangle$
- Solve LS equation for  $\langle \mathbf{k}' | T | \mathbf{k} \rangle$

from  $V$  to  $T$

potential  
 $\langle \mathbf{k}' | V | \mathbf{k} \rangle$

Hermitian

HOS T-matrix  
 $\langle \mathbf{k}' | T | \mathbf{k} \rangle$

Generalized

optical theorem (A) (B)

from  $T$  to  $V$

- Prepare HOS T-matrix that satisfies (A) (B)
- Solve LS equation for  $\langle \mathbf{k}' | V | \mathbf{k} \rangle$

# 4-2. inverse scattering problem in momentum space



extension of T from OS to HOS region, satisfying (A) (B)



5 variables

6 variables

OS  $\langle \hat{k}' | t(k) | \hat{k} \rangle$

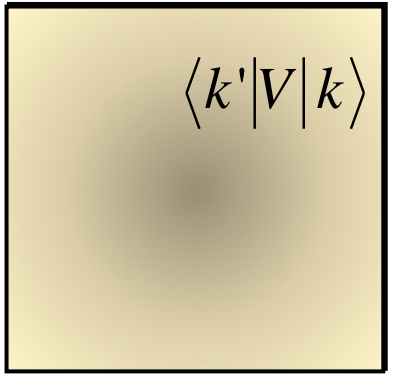
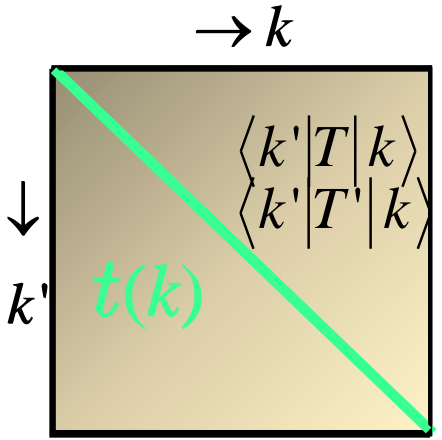
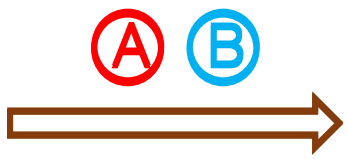
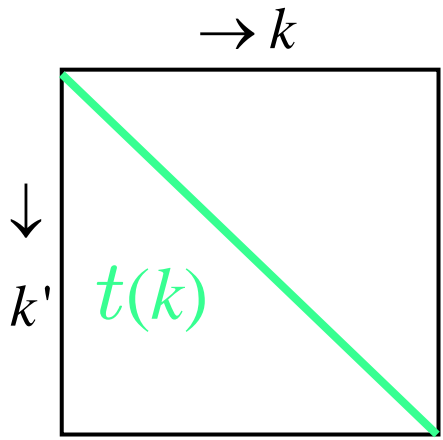


HOS  $\langle k' | T | k \rangle$

$\langle k' | V | k \rangle \longleftrightarrow \langle r' | V | r \rangle$   
LS



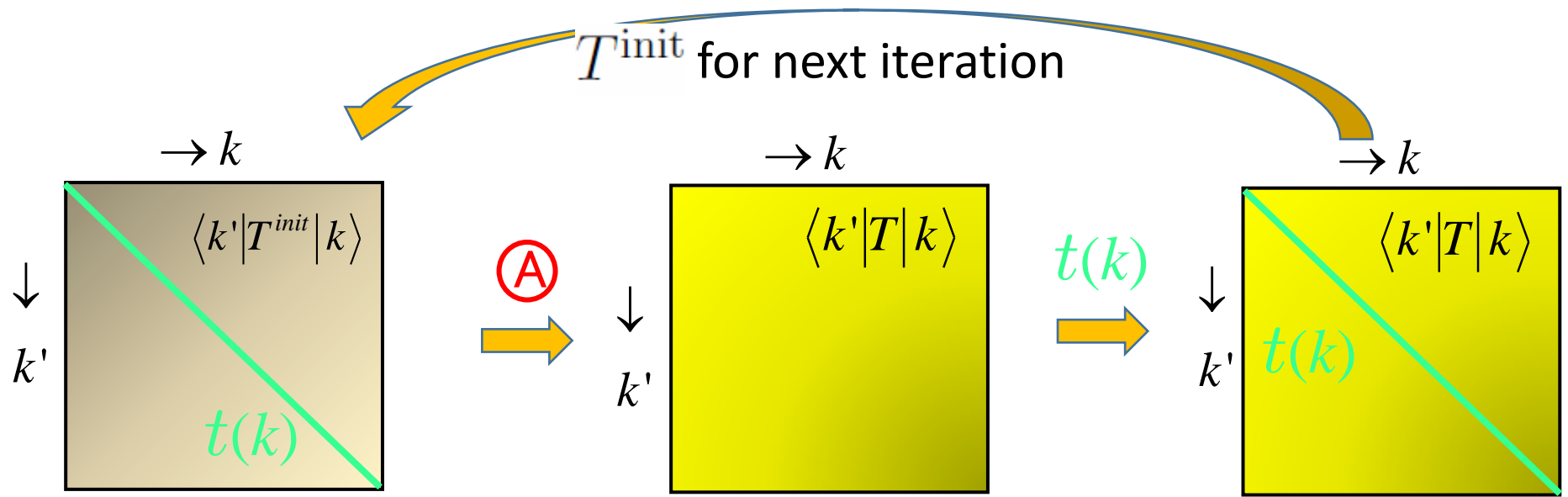
(A) (B) do not uniquely determine  $\langle k' | T | k \rangle$



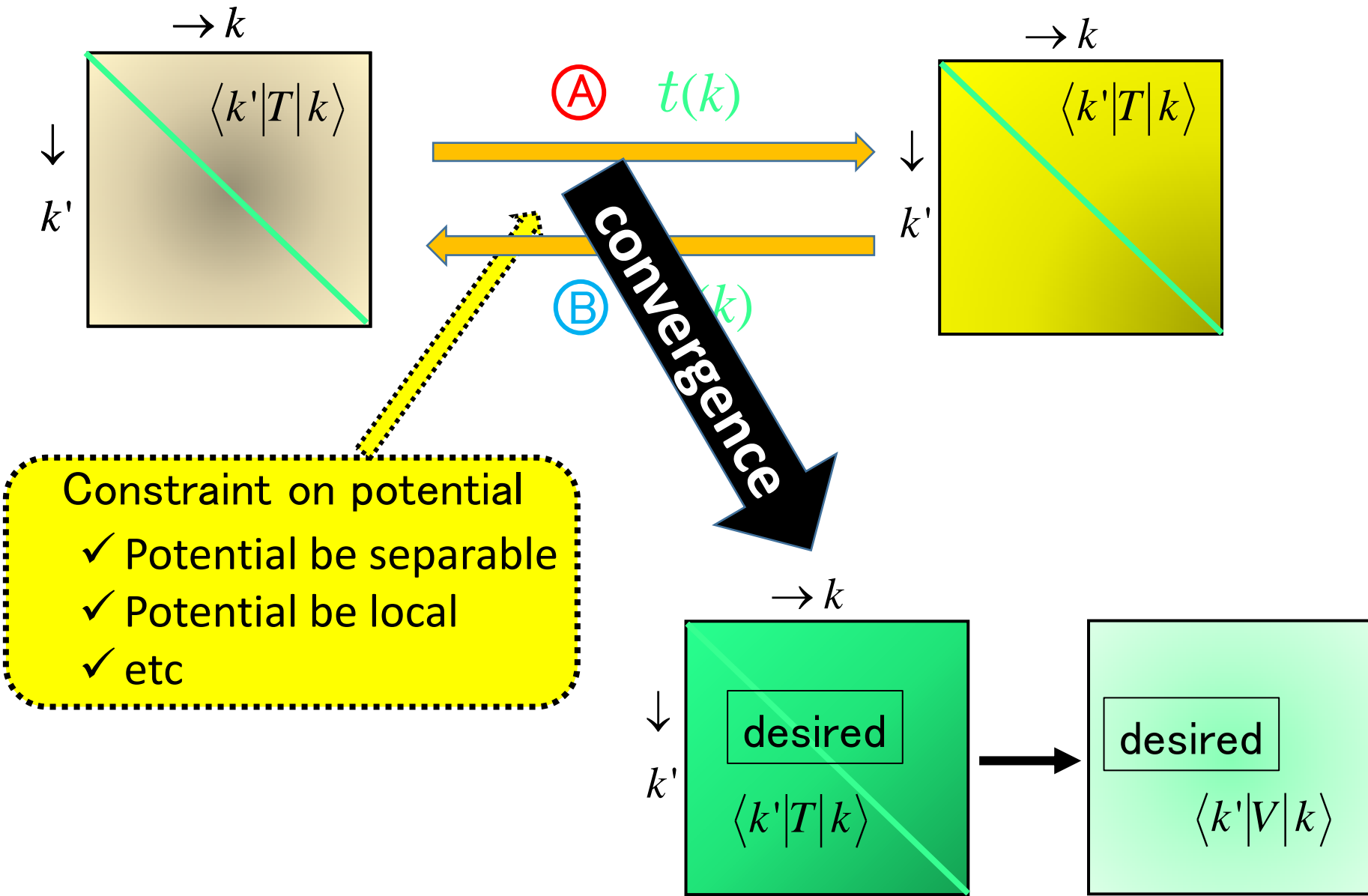
# 4-3. how to solve (A) (and (B)) for a given $t(k)$

(A)  $\langle k'|T^\dagger|k\rangle - \langle k'|T|k\rangle = \int \frac{dp}{(2\pi)^3} \langle k'|T|p\rangle \left( \frac{1}{k'^2 - p^2 - i\eta} - \frac{1}{k^2 - p^2 + i\eta} \right) \langle p|T^\dagger|k\rangle$

- ◆ nonlinear eq. for  $T$   $\rightarrow$  iterative solution
- ◆ fix  $T^\dagger$  via  $T^{init}$   $\leftarrow \langle k\hat{k}'|T^{init}|k\hat{k}\rangle = \langle \hat{k}'|t(k)|\hat{k}\rangle$  : OS part fixed
- ◆ (A) becomes a linear eq. for  $T$   $\rightarrow$  solve for  $T$   
 $\rightarrow$  OS part of obtained  $\langle k'|T|k\rangle \neq$  given  $t(k)$
- ◆ replace OS part of obtained  $\langle k'|T|k\rangle$  with given  $t(k)$

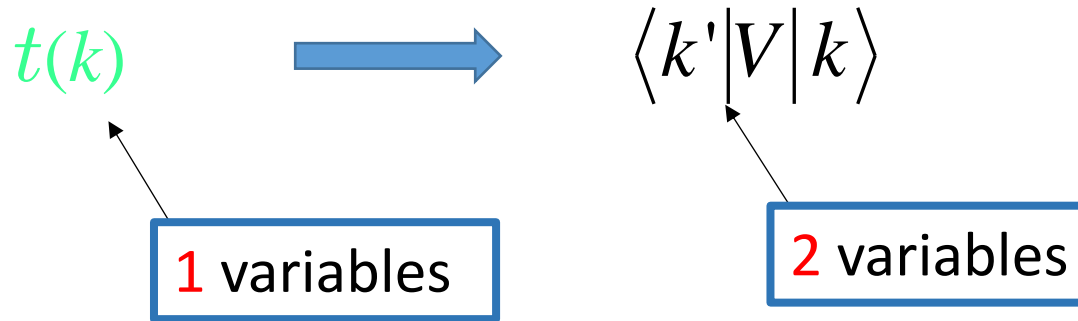


# 4-4. solution to inverse scattering problem



## 5. Test calculation in one-dimension

- S-wave, for simplicity.



- Calculation with/without constraint on  $\langle k'|V|k\rangle$ 
  - ◆  $t(k)$  of separable potential
  - ◆  $t(k)$  of gaussian potential

# 6. $t(k)$ of separable potential

## 6-1. calculation without constraint

- Separable potential to generate the target  $t(k)$

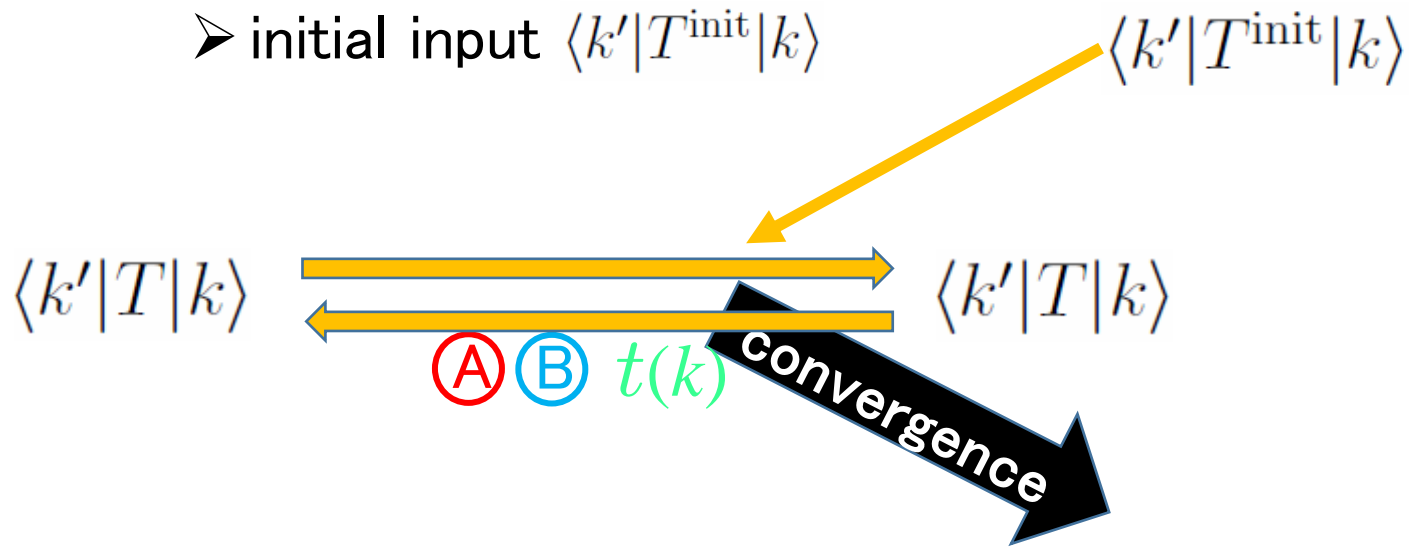
$$\langle k|V|k' \rangle = \lambda \frac{4\pi}{1 + (\frac{k}{\beta})^2} \frac{4\pi}{1 + (\frac{k'}{\beta})^2} \quad \begin{array}{l} \lambda = -1 \text{ [fm]} \\ \beta = 1 \text{ [fm}^{-1}] \end{array}$$

- Calculation **without** constraint on the solution  $\langle k'|V|k \rangle$

▼  $t(k)$   $\longrightarrow$   $\langle k'|V|k \rangle$

▼ Convergent results depend on

➤ initial input  $\langle k'|T^{\text{init}}|k \rangle$





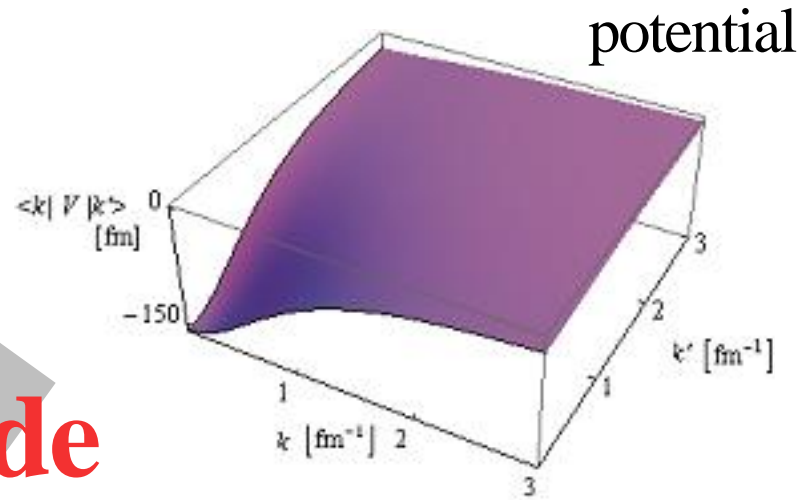
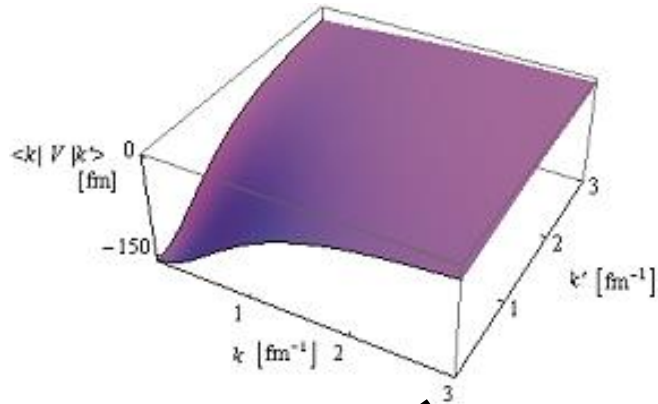
# ● calculation without constraint

separable potential

$$\langle k|V|k'\rangle = \lambda \frac{4\pi}{1 + (\frac{k}{\beta})^2} \frac{4\pi}{1 + (\frac{k'}{\beta})^2}$$

$$\lambda = -1 \text{ [fm]}$$

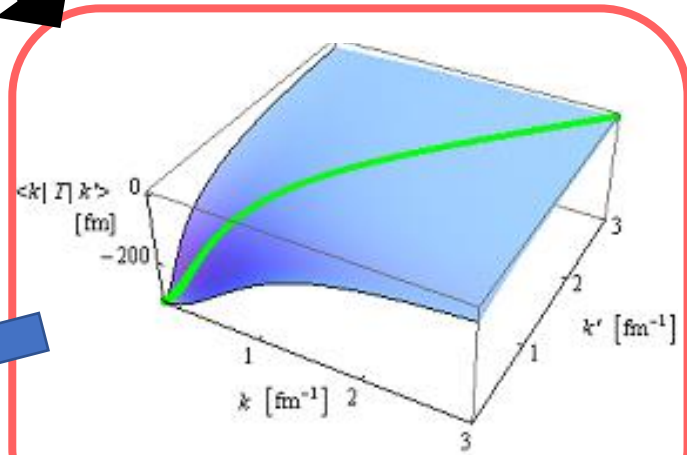
$$\beta = 1 \text{ [fm}^{-1}\text{]}$$



potential

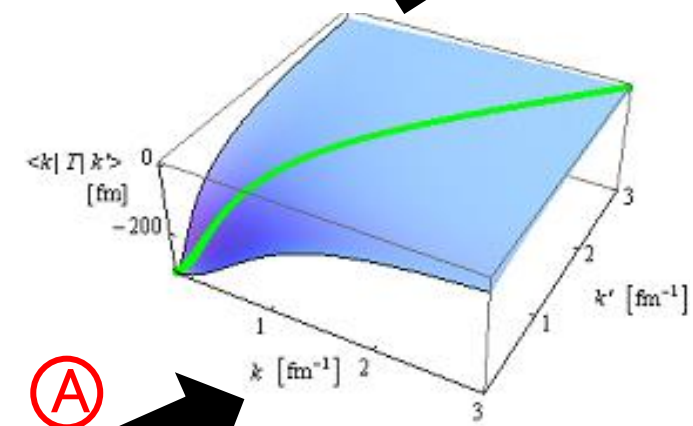
**coincide**

**Input**



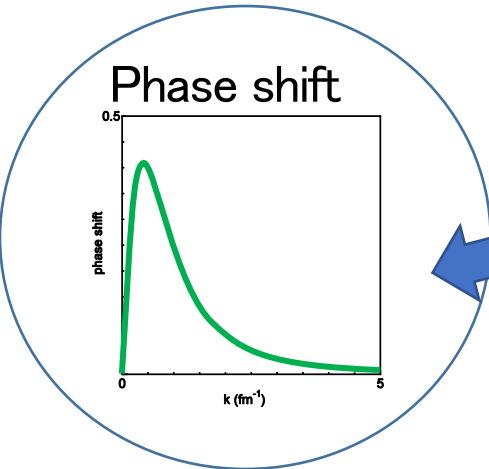
Half-on-Shell T-matrix

L-S eq.

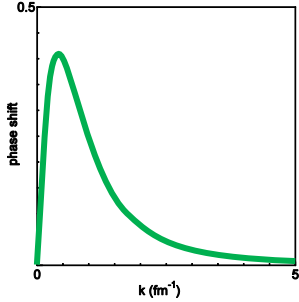


Half-on-Shell T-matrix

Ⓐ  
Ⓑ  
 $t(k)$



Phase shift



# ● calculation without constraint

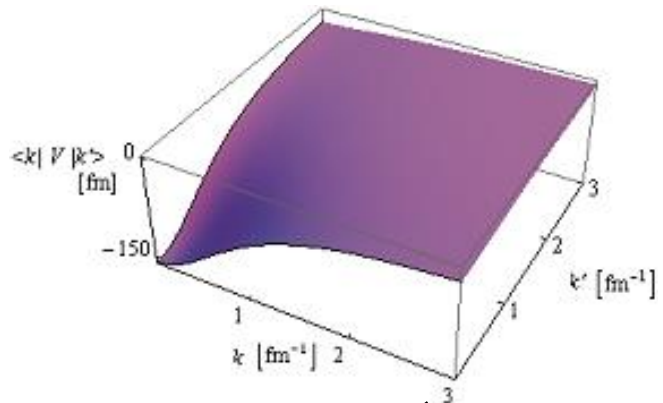
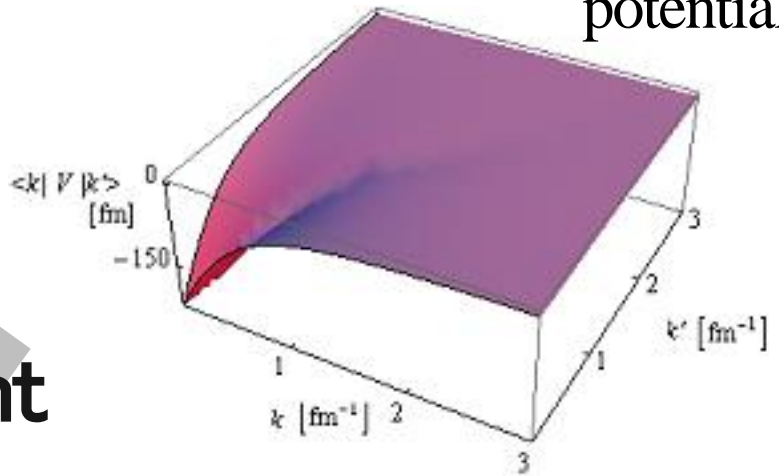
separable potential

$$\lambda = -1 \text{ [fm]}$$

$$\beta = 1 \text{ [fm}^{-1}\text{]}$$

$$\langle k|V|k'\rangle = \lambda \frac{4\pi}{1 + (\frac{k}{\beta})^2} \frac{4\pi}{1 + (\frac{k'}{\beta})^2}$$

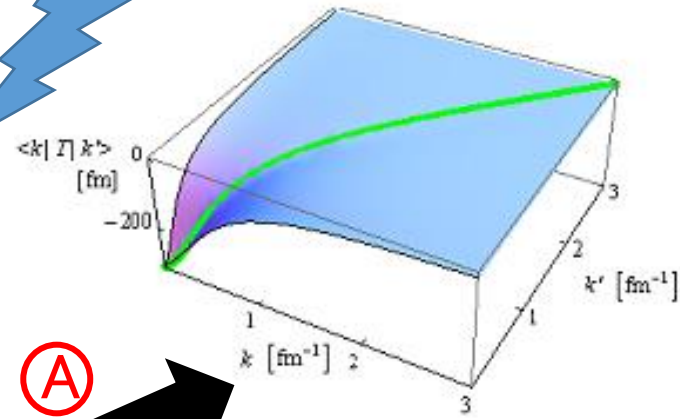
potential



different

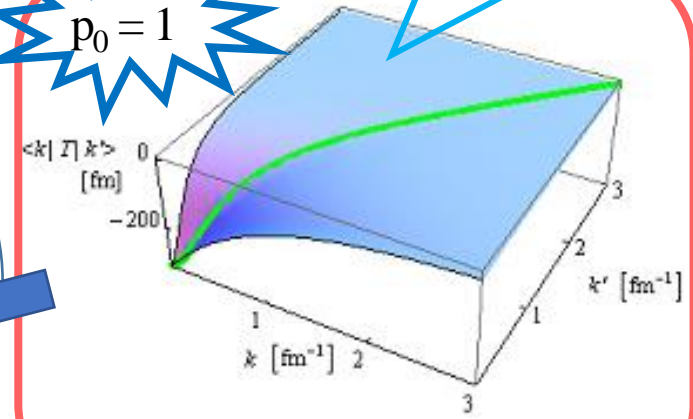
$$\langle k'|T|k\rangle \parallel \langle k|T|k\rangle e^{-\frac{|k'-k|}{p_0}}$$

L-S eq.



Input

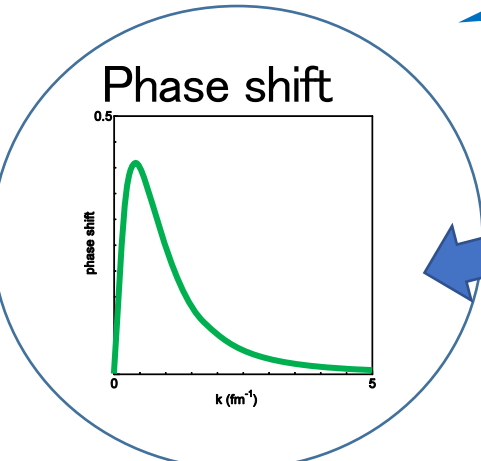
$p_0 = 1$



Half-on-Shell T-matrix

Ⓐ  
Ⓑ  
 $t(k)$

Half-on-Shell T-matrix



# ● calculation without constraint

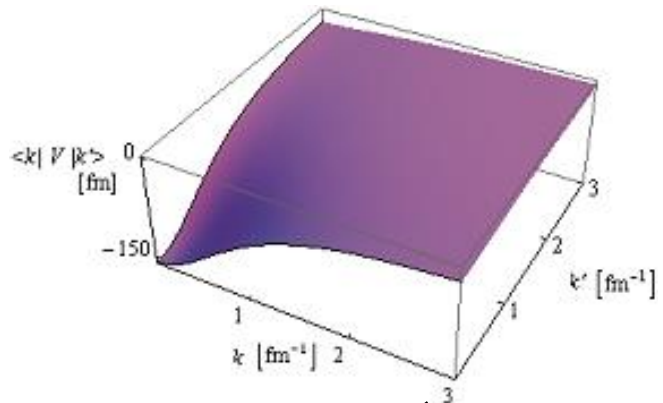
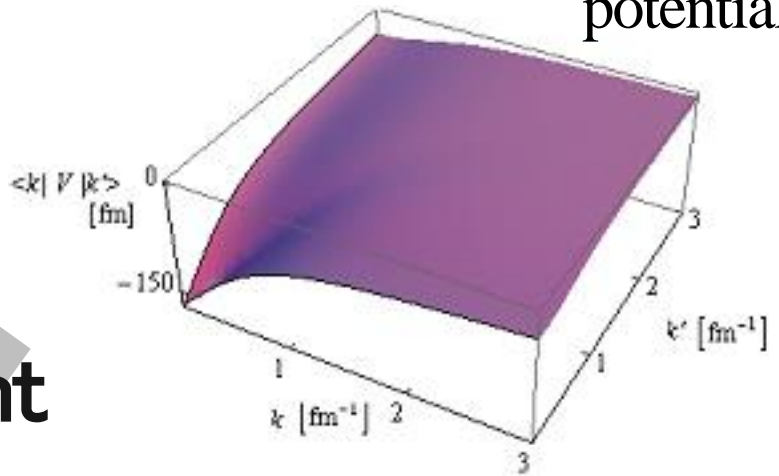
separable potential

$$\lambda = -1 \text{ [fm]}$$

$$\beta = 1 \text{ [fm}^{-1}\text{]}$$

$$\langle k|V|k'\rangle = \lambda \frac{4\pi}{1 + (\frac{k}{\beta})^2} \frac{4\pi}{1 + (\frac{k'}{\beta})^2}$$

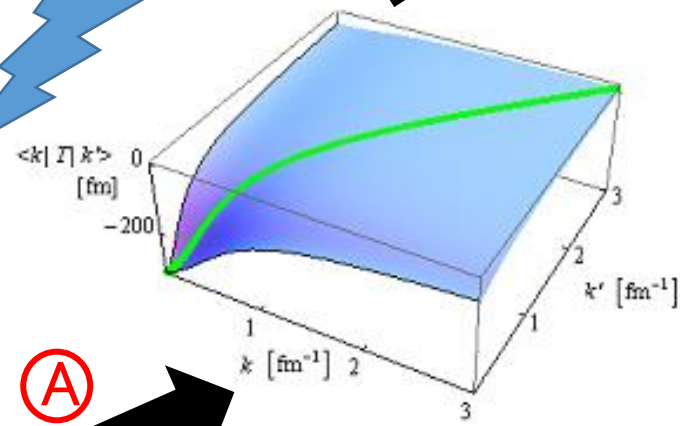
potential



different

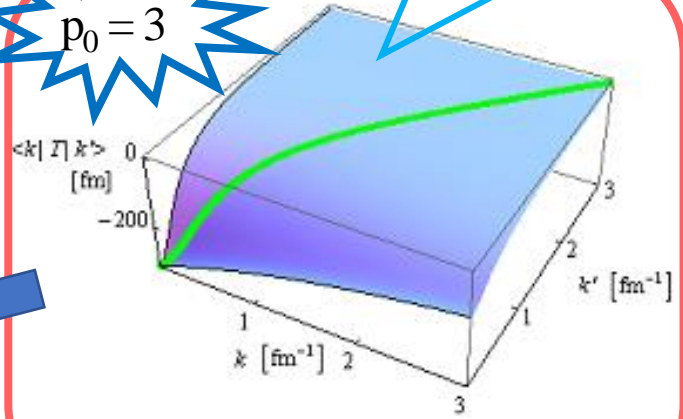
$$\langle k'|T|k\rangle \parallel \langle k|T|k\rangle e^{-\frac{|k'-k|}{p_0}}$$

L-S eq.



Input

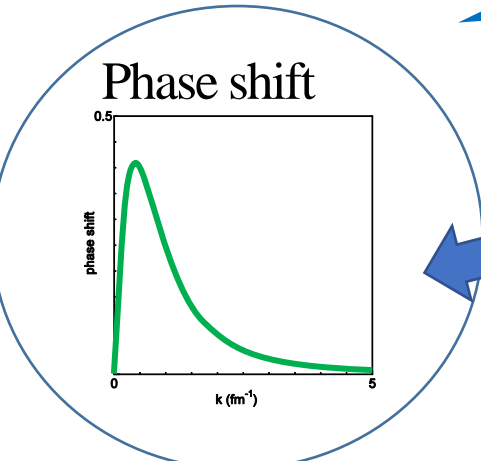
$p_0 = 3$



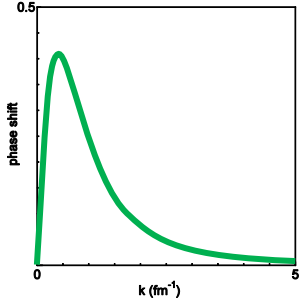
Half-on-Shell T-matrix

Ⓐ  
Ⓑ  
 $t(k)$

Half-on-Shell T-matrix



Phase shift



# ● calculation without constraint

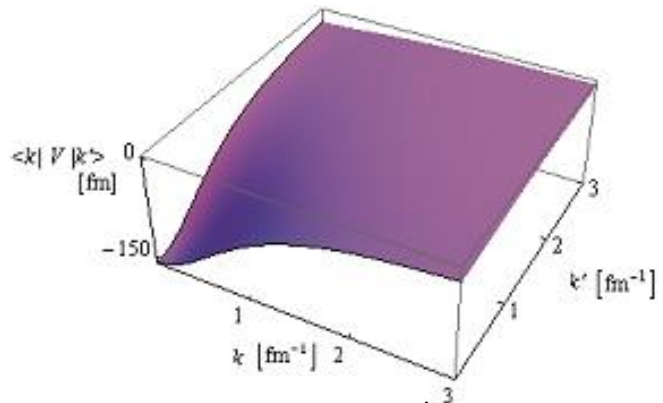
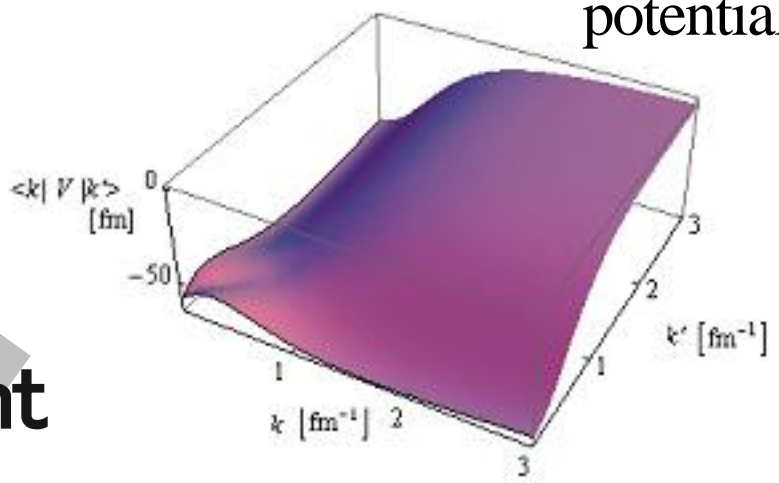
separable potential

$$\lambda = -1 \text{ [fm]}$$

$$\beta = 1 \text{ [fm}^{-1}\text{]}$$

$$\langle k|V|k' \rangle = \lambda \frac{4\pi}{1 + (\frac{k}{\beta})^2} \frac{4\pi}{1 + (\frac{k'}{\beta})^2}$$

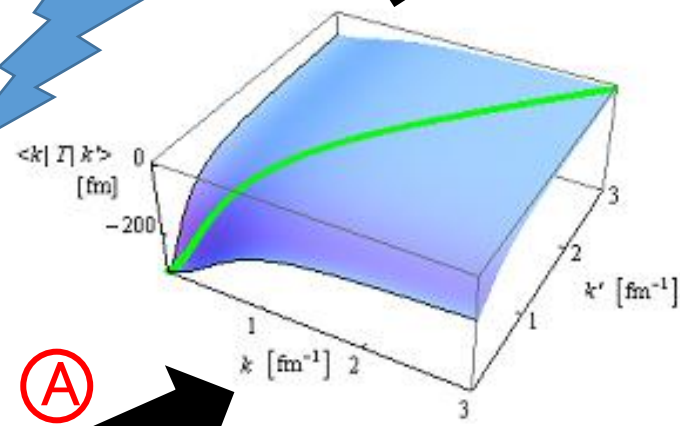
potential



different

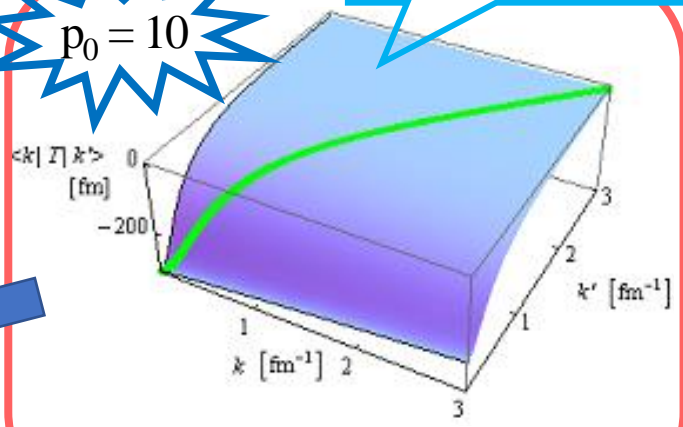
$$\langle k'|T|k \rangle \parallel \langle k|T|k \rangle e^{-\frac{|k'-k|}{p_0}}$$

L-S eq.



Input

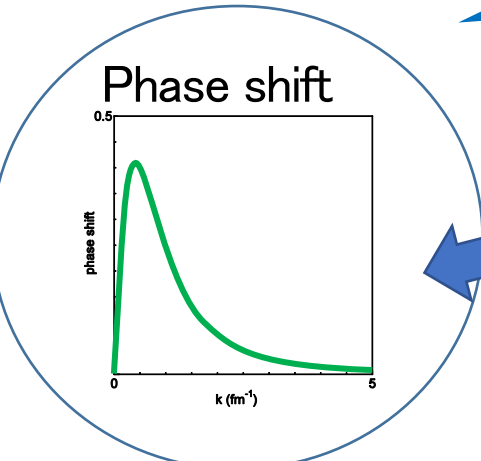
$p_0 = 10$



Half-on-Shell T-matrix

Ⓐ Ⓑ  
 $t(k)$

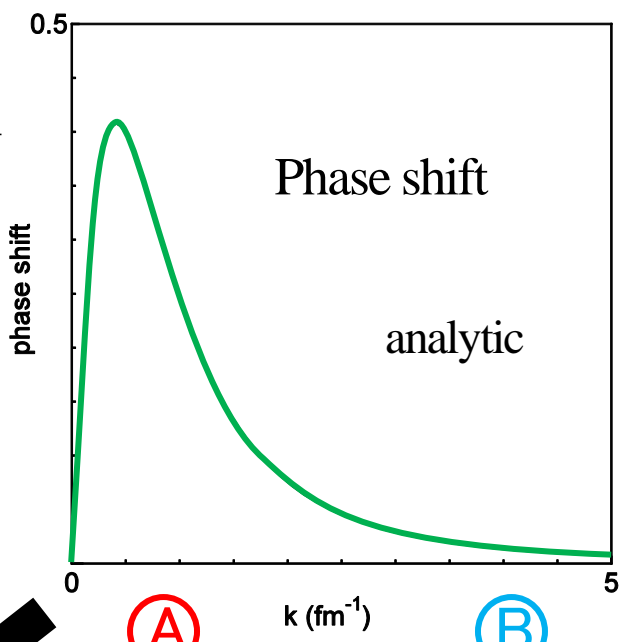
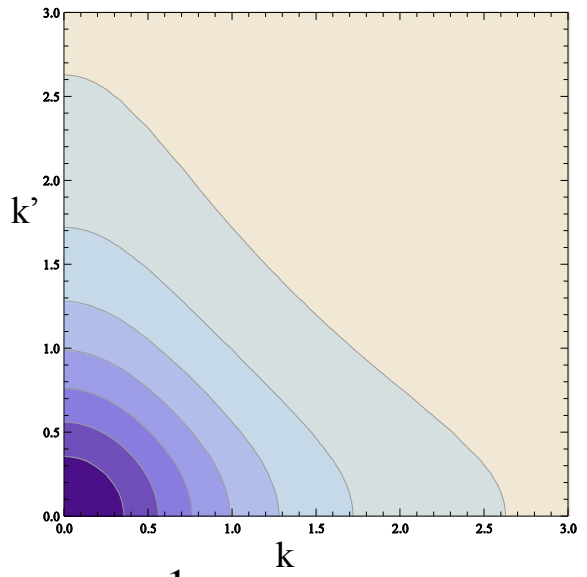
Half-on-Shell T-matrix



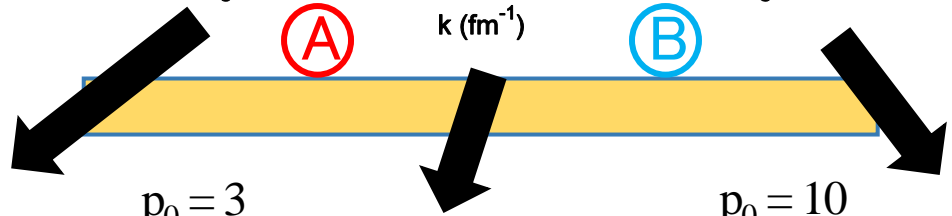
# Comparing potentials

separable potential

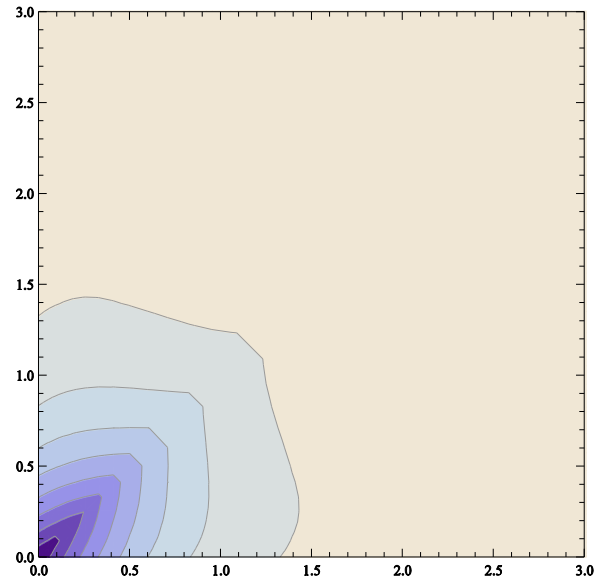
separable  $\langle k|V|k' \rangle = \lambda \frac{4\pi}{1 + (\frac{k}{\beta})^2} \frac{4\pi}{1 + (\frac{k'}{\beta})^2}$



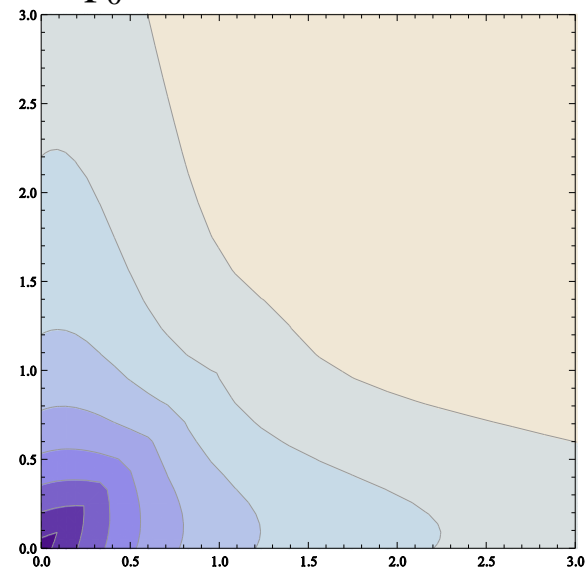
input  $\langle k'|T|k \rangle$   
 $\parallel$   
 $\langle k|T|k \rangle e^{-\frac{|k'-k|}{p_0}}$



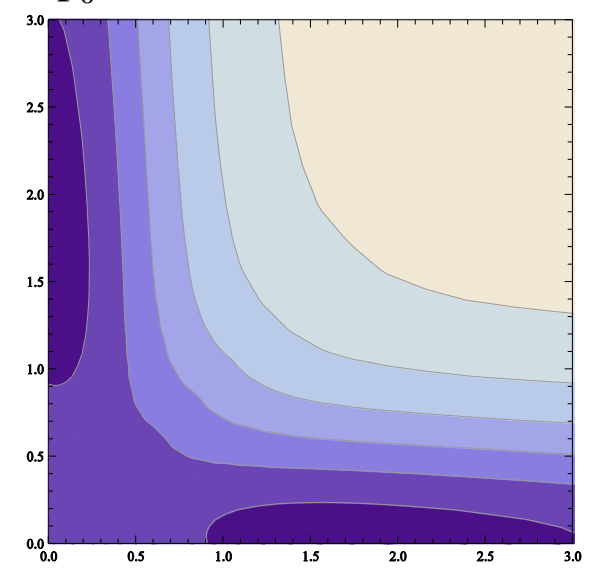
$p_0 = 1$



$p_0 = 3$



$p_0 = 10$



# 6-2. calculation with constraint

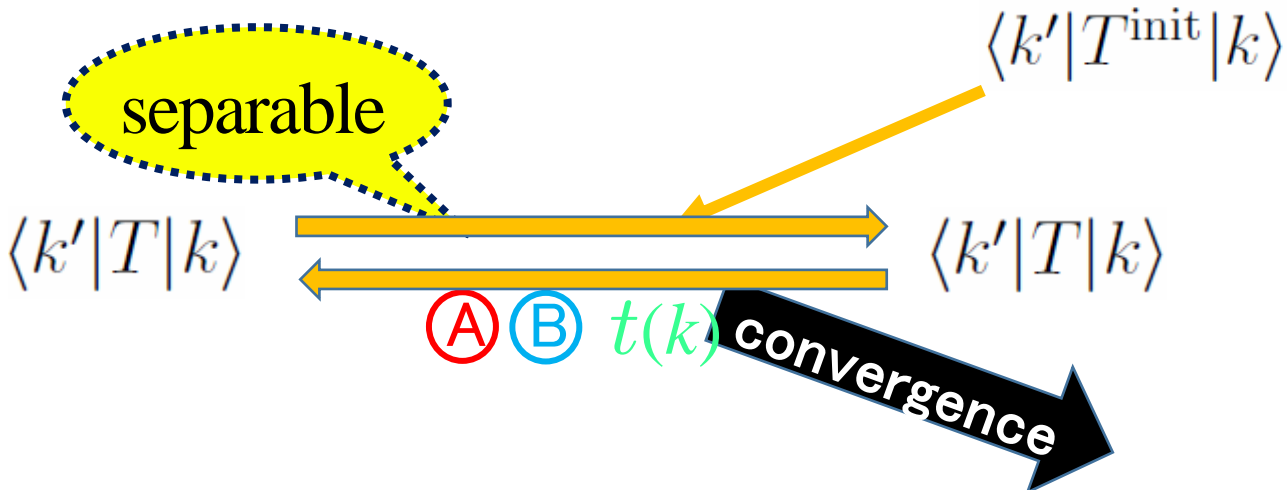
- Separable potential to generate the target  $t(k)$

$$\langle k|V|k' \rangle = \lambda \frac{4\pi}{1 + (\frac{k}{\beta})^2} \frac{4\pi}{1 + (\frac{k'}{\beta})^2} \quad \begin{matrix} \lambda = -1 \text{ [fm]} \\ \beta = 1 \text{ [fm}^{-1}] \end{matrix}$$

- Calculation **with** constraint one-to-one

▼  $t(k)$   $\longrightarrow$   $\langle k'|V|k \rangle = \lambda g(k')g(k)$  Potential be separable

▼ Convergent results **do not** depend on  $\delta(k) \longleftrightarrow g(k)$   
 ➤ initial input  $\langle k'|T^{init}|k \rangle$



# ● calculation with constraint

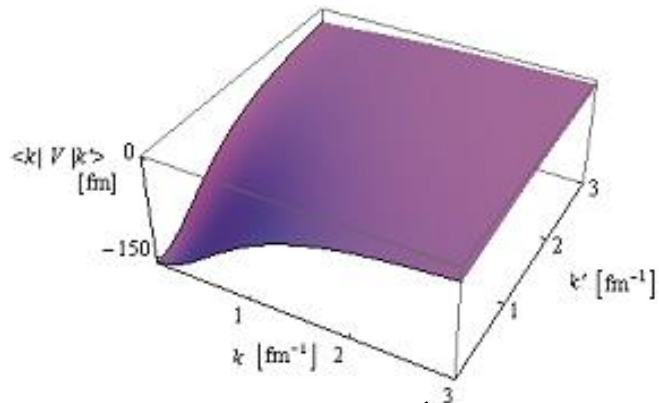
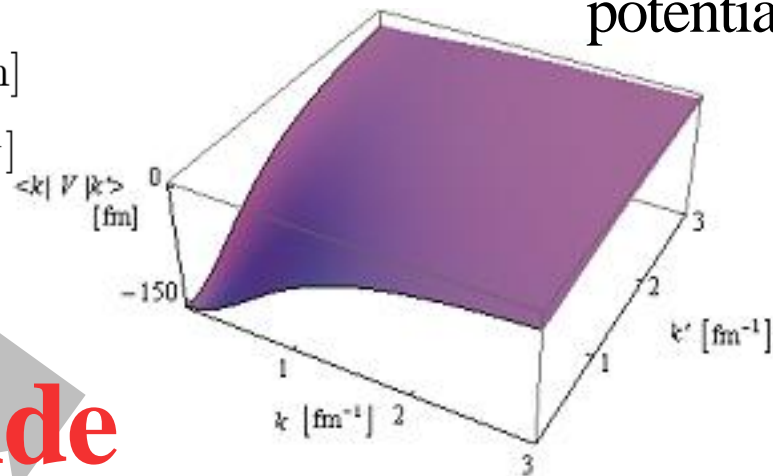
separable potential

$$\langle k|V|k'\rangle = \lambda \frac{4\pi}{1 + (\frac{k}{\beta})^2} \frac{4\pi}{1 + (\frac{k'}{\beta})^2}$$

$$\lambda = -1 \text{ [fm]}$$

$$\beta = 1 \text{ [fm}^{-1}\text{]}$$

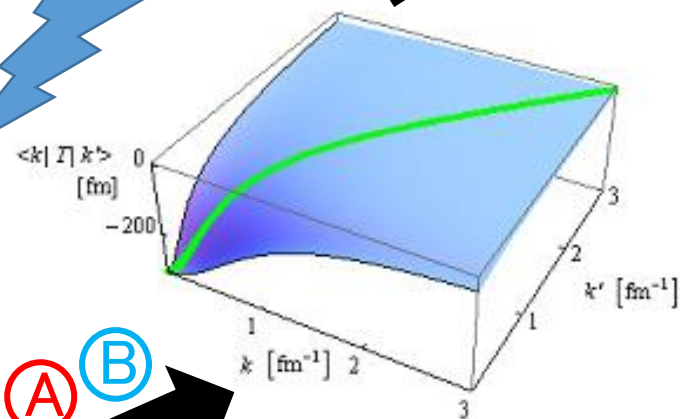
potential



**coincide**

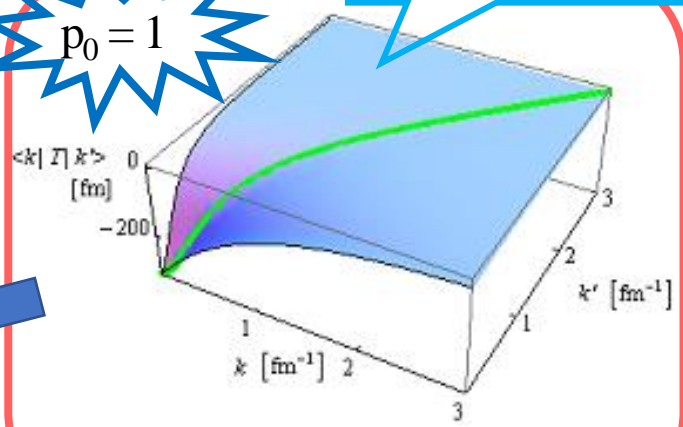
$$\langle k'|T|k\rangle \parallel \langle k|T|k\rangle e^{-\frac{|k'-k|}{p_0}}$$

L-S eq.



**Input**

$p_0 = 1$

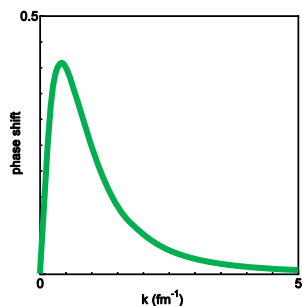


Half-on-Shell T-matrix

Half-on-Shell T-matrix

**(A)** **(B)**  
 $t(k)$   
separable

Phase shift



# ● calculation with constraint

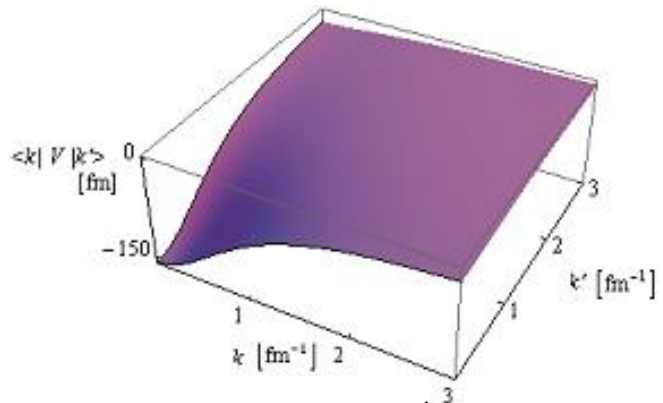
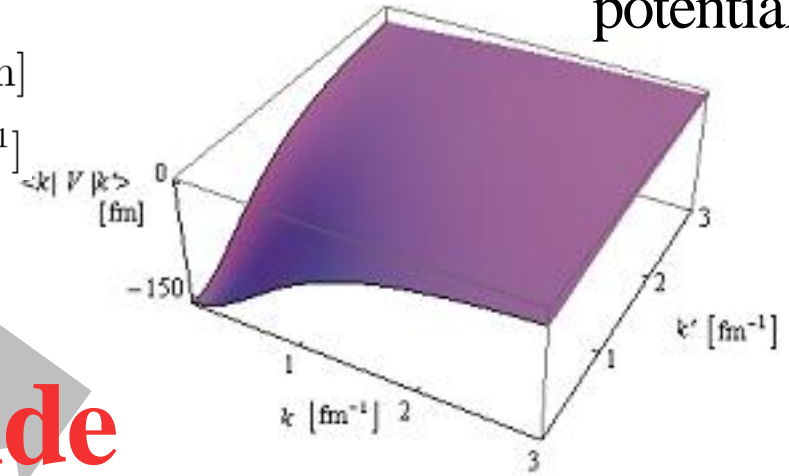
separable potential

$$\langle k|V|k'\rangle = \lambda \frac{4\pi}{1 + (\frac{k}{\beta})^2} \frac{4\pi}{1 + (\frac{k'}{\beta})^2}$$

$$\lambda = -1 \text{ [fm]}$$

$$\beta = 1 \text{ [fm}^{-1}\text{]}$$

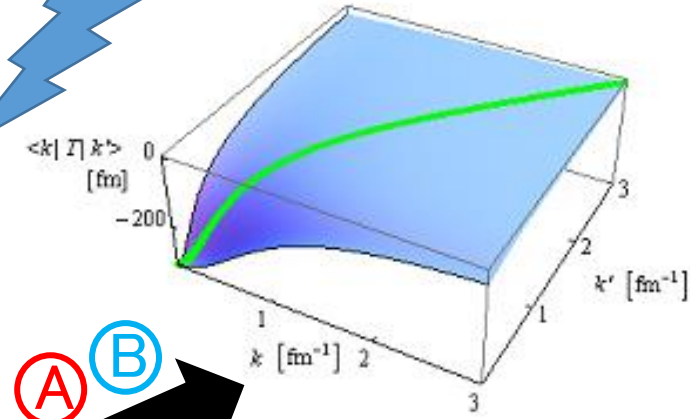
potential



**coincide**

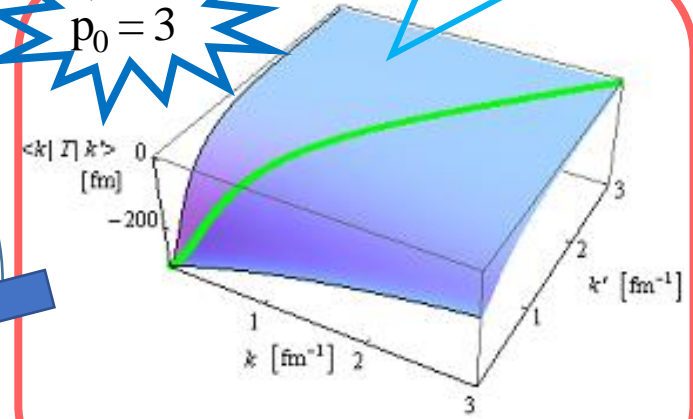
$$\langle k'|T|k\rangle \parallel \langle k|T|k\rangle e^{-\frac{|k'-k|}{p_0}}$$

L-S eq.



**Input**

$p_0 = 3$

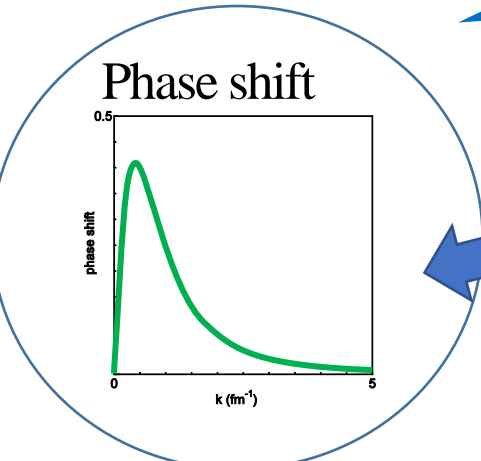


Half-on-Shell T-matrix

(A) (B)

Half-on-Shell T-matrix

$t(k)$   
separable





# ● calculation with constraint

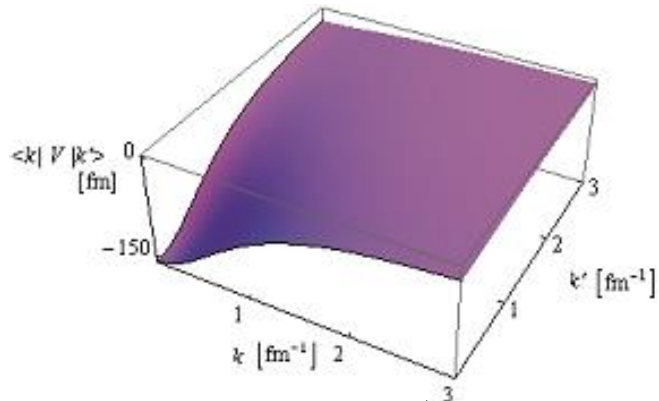
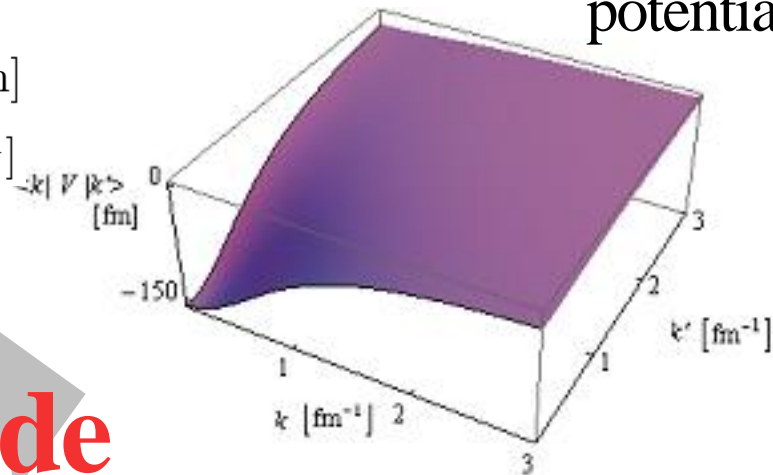
separable potential

$$\langle k|V|k'\rangle = \lambda \frac{4\pi}{1 + (\frac{k}{\beta})^2} \frac{4\pi}{1 + (\frac{k'}{\beta})^2}$$

$$\lambda = -1 \text{ [fm]}$$

$$\beta = 1 \text{ [fm}^{-1}\text{]}$$

potential



**coincide**

$$\langle k'|T|k\rangle \parallel \langle k|T|k\rangle e^{-\frac{|k'-k|}{p_0}}$$

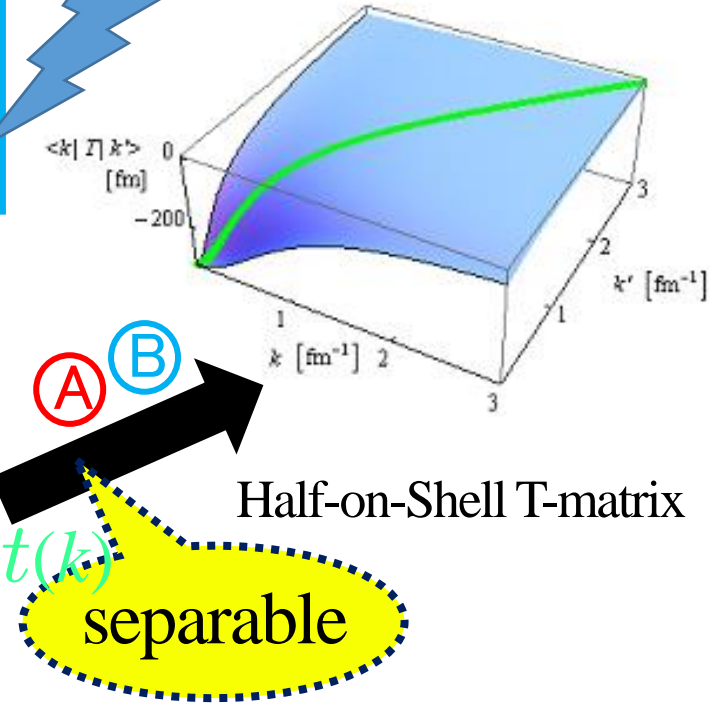
L-S eq.

**Input**

$p_0 = 10$



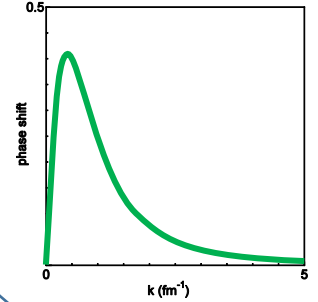
(A) (B)



Half-on-Shell T-matrix

separable

Phase shift



Half-on-Shell T-matrix

# Comparing potentials

separable

$$\langle k|V|k' \rangle = \lambda \frac{4\pi}{1 + (\frac{k}{\beta})^2} \frac{4\pi}{1 + (\frac{k'}{\beta})^2}$$

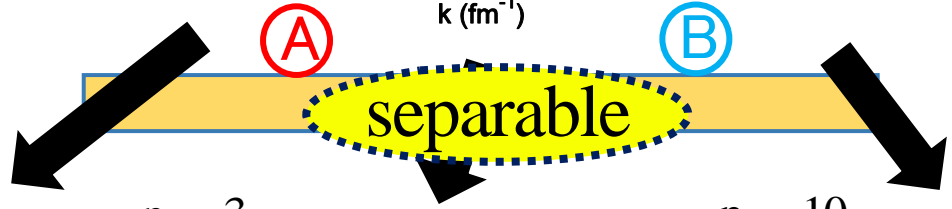
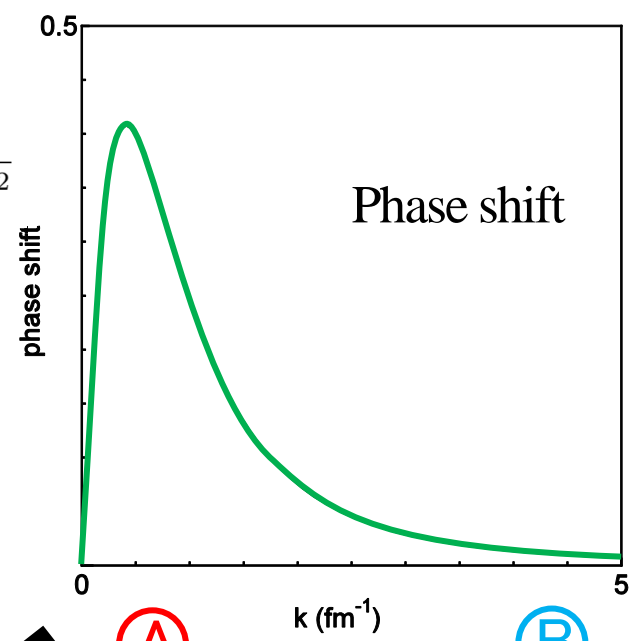
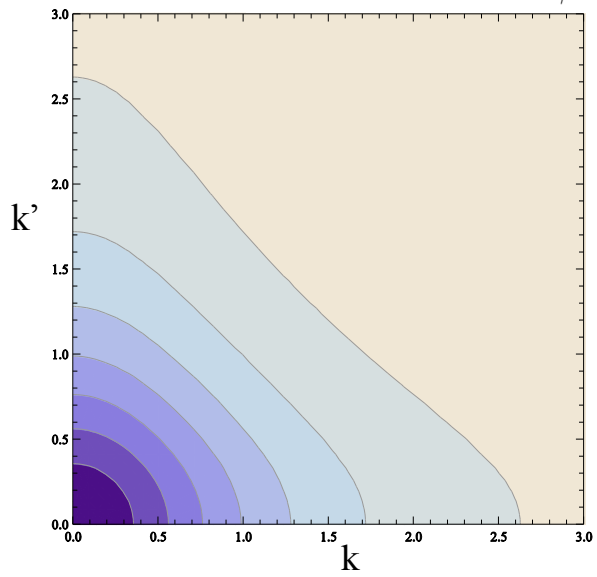
separable potential

input

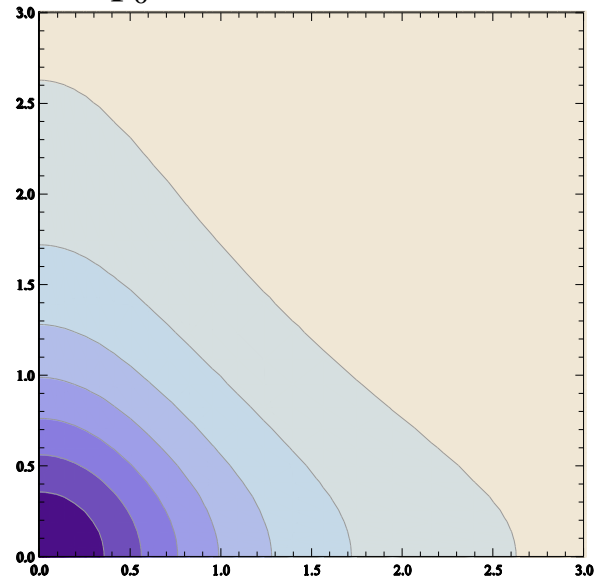
$$\langle k'|T|k \rangle$$

||

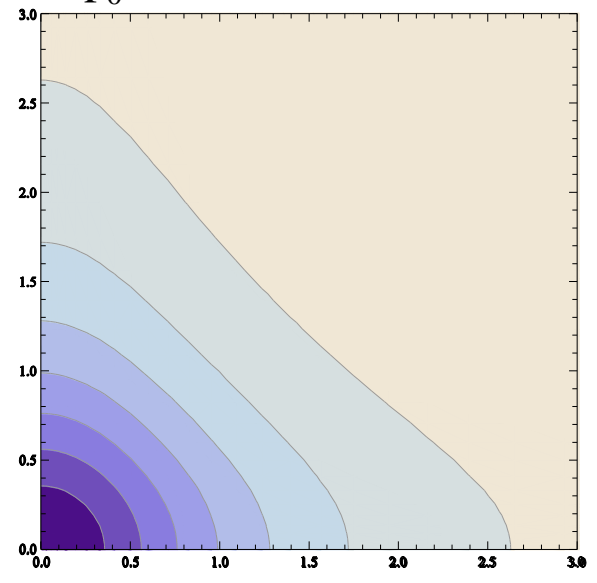
$$\langle k|T|k \rangle e^{-\frac{|k'-k|}{p_0}}$$



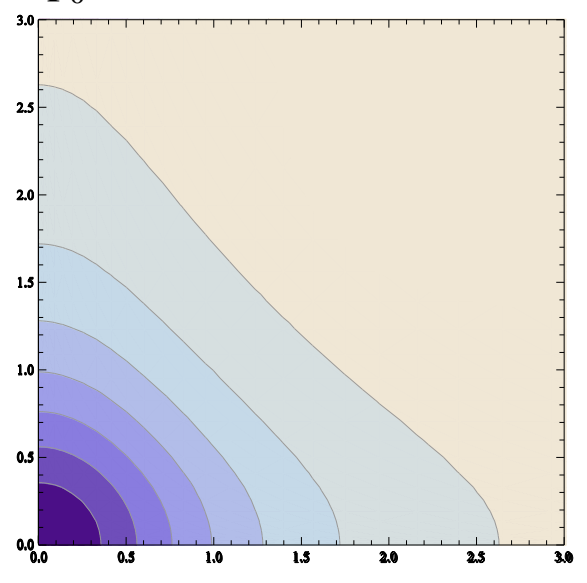
$p_0 = 1$



$p_0 = 3$



$p_0 = 10$



## 7. $t(k)$ of Gaussian potential

- Gaussian potential to generate the target  $t(k)$

$$V(r) = V_0 e^{-\frac{r^2}{2b^2}}$$

$$V_0 = 50 \text{ [MeV]}$$

$$b = 1 \text{ [fm]}$$



- Calculation without constraint

- Calculation with constraint

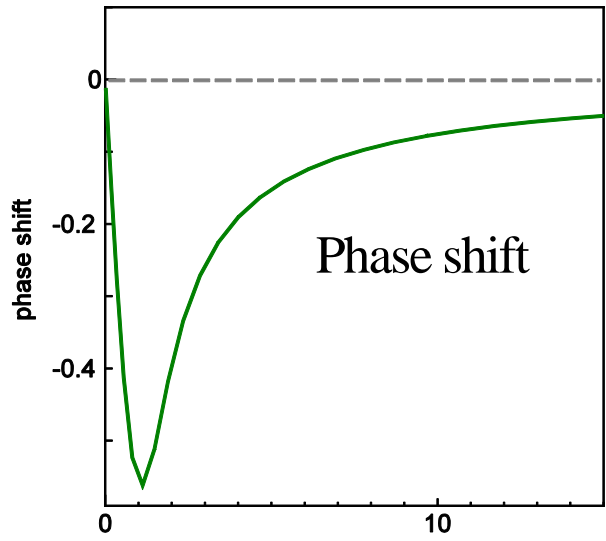
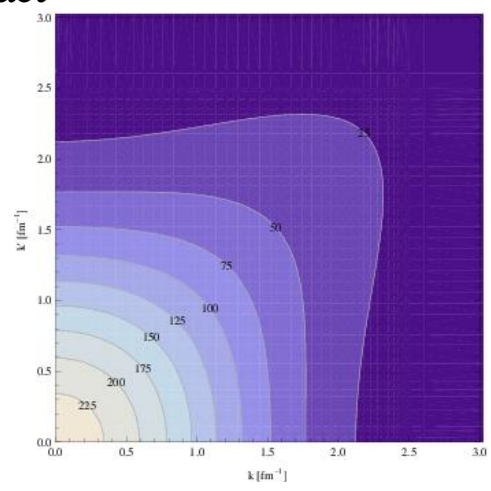
Potential be separable

→ Phase shift of a Gaussian potential  
be reproduced by a separable potential

# Comparing potentials

Gaussian potential

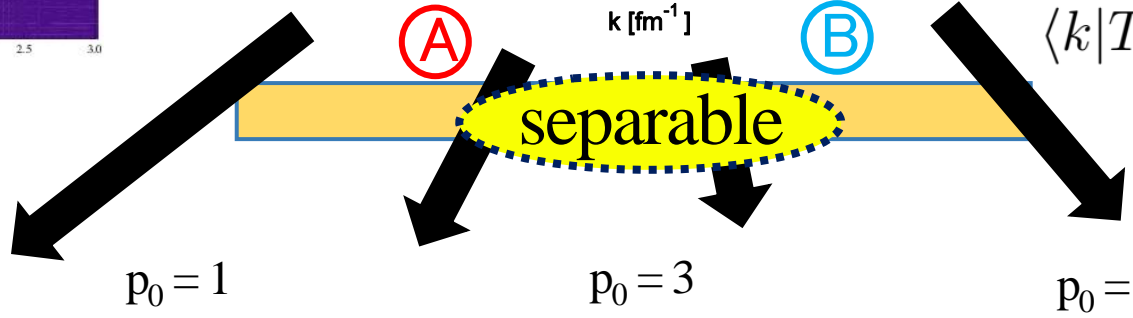
exact



input  $\langle k' | T | k \rangle$

$\parallel$

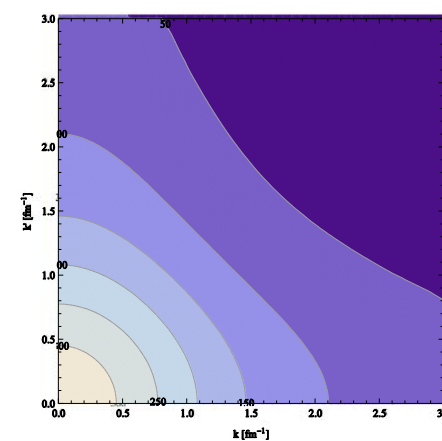
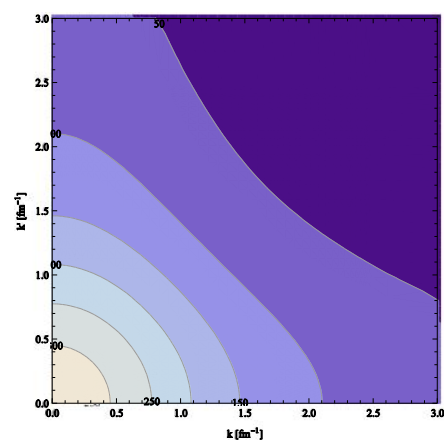
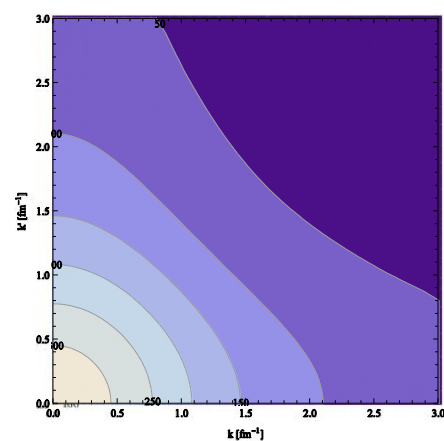
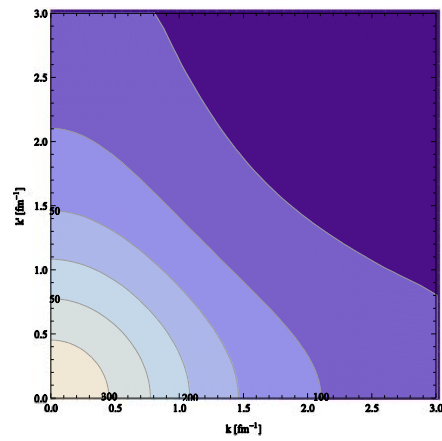
$\langle k | T | k \rangle e^{-\frac{|k' - k|}{p_0}}$



$p_0 = 1$

$p_0 = 3$

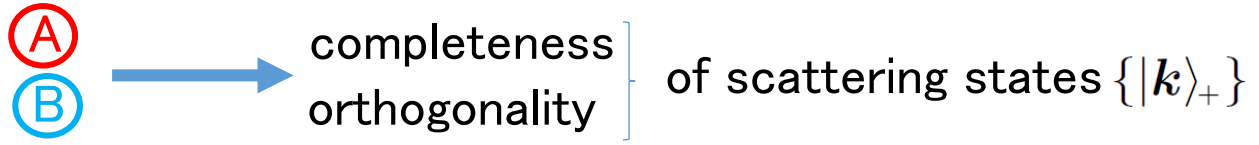
$p_0 = 10$



# 8. summary

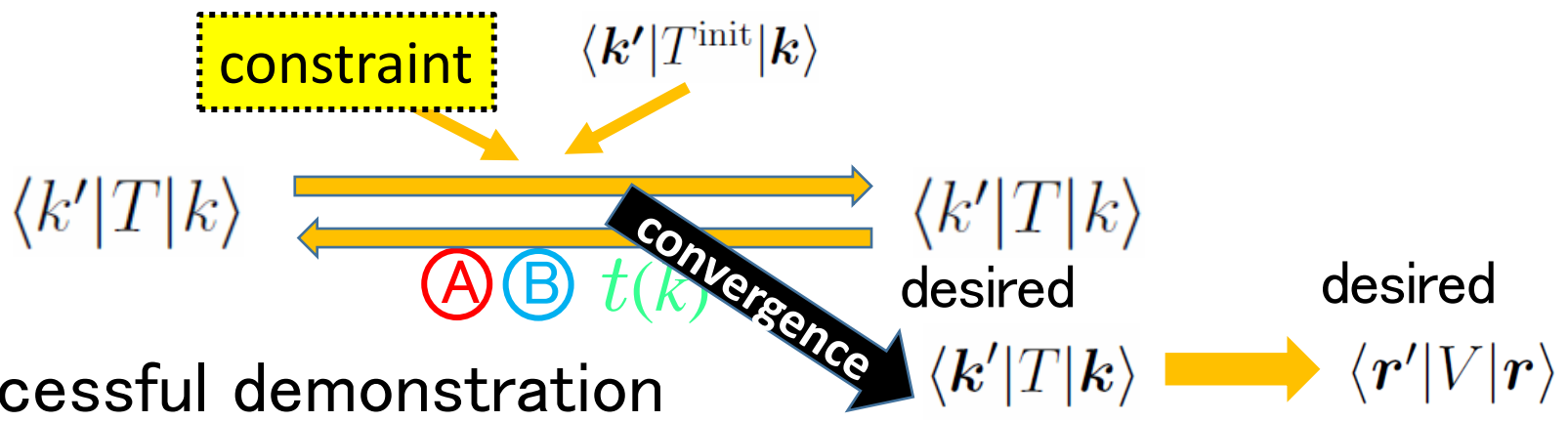
- Generalized optical theorem (GOT)

- Condition for physically acceptable HOS T-matrix (A) (B)
- Clear physical meaning



- Theory of inverse scattering in 3D space

- Direct solution in 3D space. No symmetry assumption is necessary.
- Maximum degrees of freedom to reproduce  $t(k)$  solution to (A) (B) with arbitrary constraint



- Successful demonstration

Thank you

