

# Recent advances in the In-Medium SRG

Titus Morris  
May 20<sup>th</sup>, 2015



- Brief review of In-Medium SRG
  - Closed/Open Shell results
  - Challenges to meet
- Magnus Expansion
  - Computational Efficiency
  - Effective Observables
  - Approximations to 3 body induced effects

## Basic Concept

continuous unitary transformation of the Hamiltonian to band-diagonal form w.r.t. a given “uncorrelated” many-body basis

- flow equation for Hamiltonian  $H(s) = U(s)HU^\dagger(s)$  :

$$\frac{d}{ds}H(s) = [\eta(s), H(s)], \quad \eta(s) = \frac{dU(s)}{ds}U^\dagger(s) = -\eta^\dagger(s)$$

- choose  $\eta(s)$  to achieve desired behavior, e.g.,

$$\eta(s) = [H_d(s), H_{od}(s)]$$

to suppress (suitably defined) off-diagonal Hamiltonian

- consistent evolution for all observables of interest

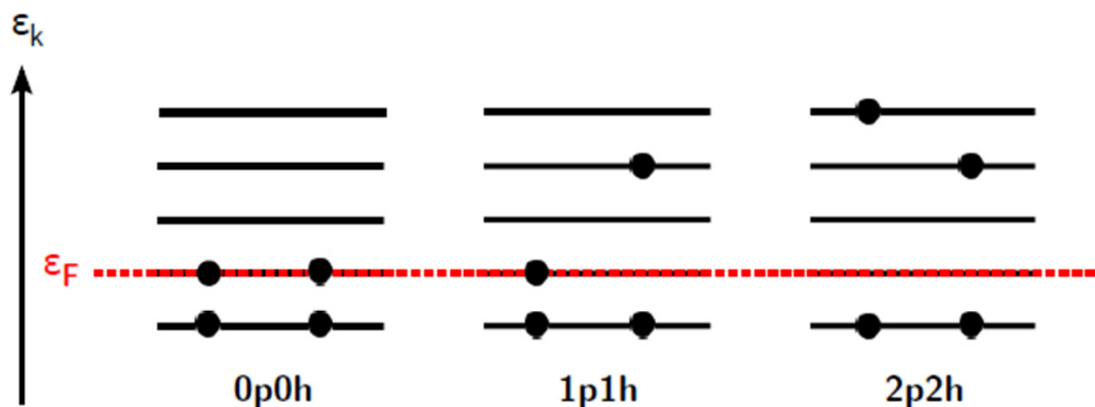
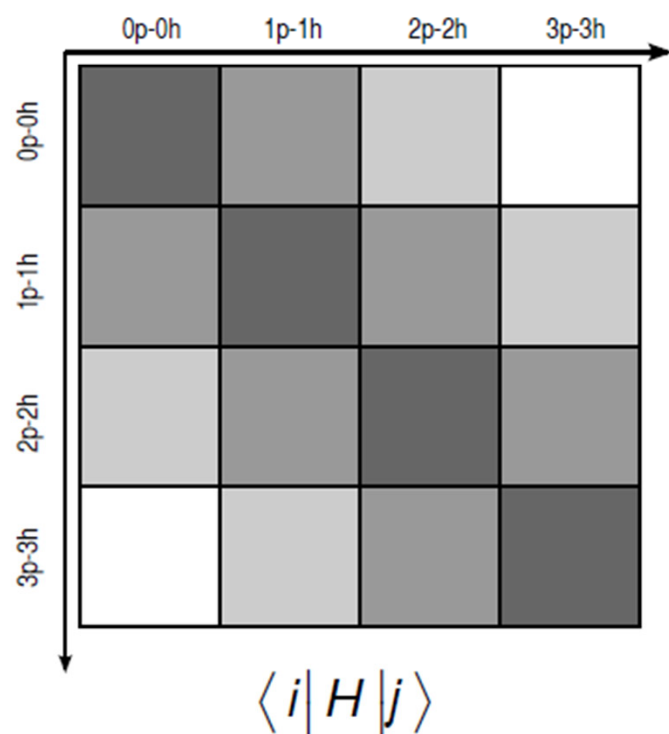
# In-Medium SRG

S. K. Bogner, H. H., T. Morris, A. Schwenk, and K. Tsukiyama, to appear in Phys. Rept.

H. H., S. K. Bogner, S. Binder, A. Calci, J. Langhammer, R. Roth, and A. Schwenk,  
Phys. Rev. C **87**, 034307 (2013)

K. Tsukiyama, S. K. Bogner, and A. Schwenk, Phys. Rev. Lett. **106**, 222502 (2011)

# Decoupling in A-Body Space



excitations **relative**  
to reference state:  
→ **normal-ordering**

- **second quantization:**  $A_{l_1 \dots l_N}^{k_1 \dots k_N} = a_{k_1}^\dagger \dots a_{k_N}^\dagger a_{l_N} \dots a_{l_1}$

- particle- and hole density matrices:

$$\lambda_l^k = \langle \Phi | A_l^k | \Phi \rangle \longrightarrow n_k \delta_l^k, \quad n_k \in \{0, 1\}$$

$$\xi_l^k = \lambda_l^k - \delta_l^k \longrightarrow -\bar{n}_k \delta_l^k \equiv -(1 - n_k) \delta_l^k$$

- define **normal-ordered operators** recursively:

$$\begin{aligned} A_{l_1 \dots l_N}^{k_1 \dots k_N} = & : A_{l_1 \dots l_N}^{k_1 \dots k_N} : + \lambda_{l_1}^{k_1} : A_{l_2 \dots l_N}^{k_2 \dots k_N} : + \text{singles} \\ & + \left( \lambda_{l_1}^{k_1} \lambda_{l_2}^{k_2} - \lambda_{l_2}^{k_1} \lambda_{l_1}^{k_2} \right) : A_{l_3 \dots l_N}^{k_3 \dots k_N} : + \text{doubles} + \dots \end{aligned}$$

- **algebra is simplified** significantly because

$$\langle \Phi | : A_{l_1 \dots l_N}^{k_1 \dots k_N} : | \Phi \rangle = 0$$

- **Wick's theorem** gives simplified expansions (**fewer terms!**) for products of normal-ordered operators

## Normal-Ordered Hamiltonian

$$H = E_0 + \sum_{kl} f_l^k : A_l^k : + \frac{1}{4} \sum_{klmn} \Gamma_{mn}^{kl} : A_{mn}^{kl} : + \frac{1}{36} \sum_{ijklmn} W_{lmn}^{ijk} : A_{lmn}^{ijk} :$$

$$E_0 = \text{[diagram: circle with dot]} + \text{[diagram: two circles with dots]} + \text{[diagram: two circles with dots and arrows, highlighted in blue]}$$

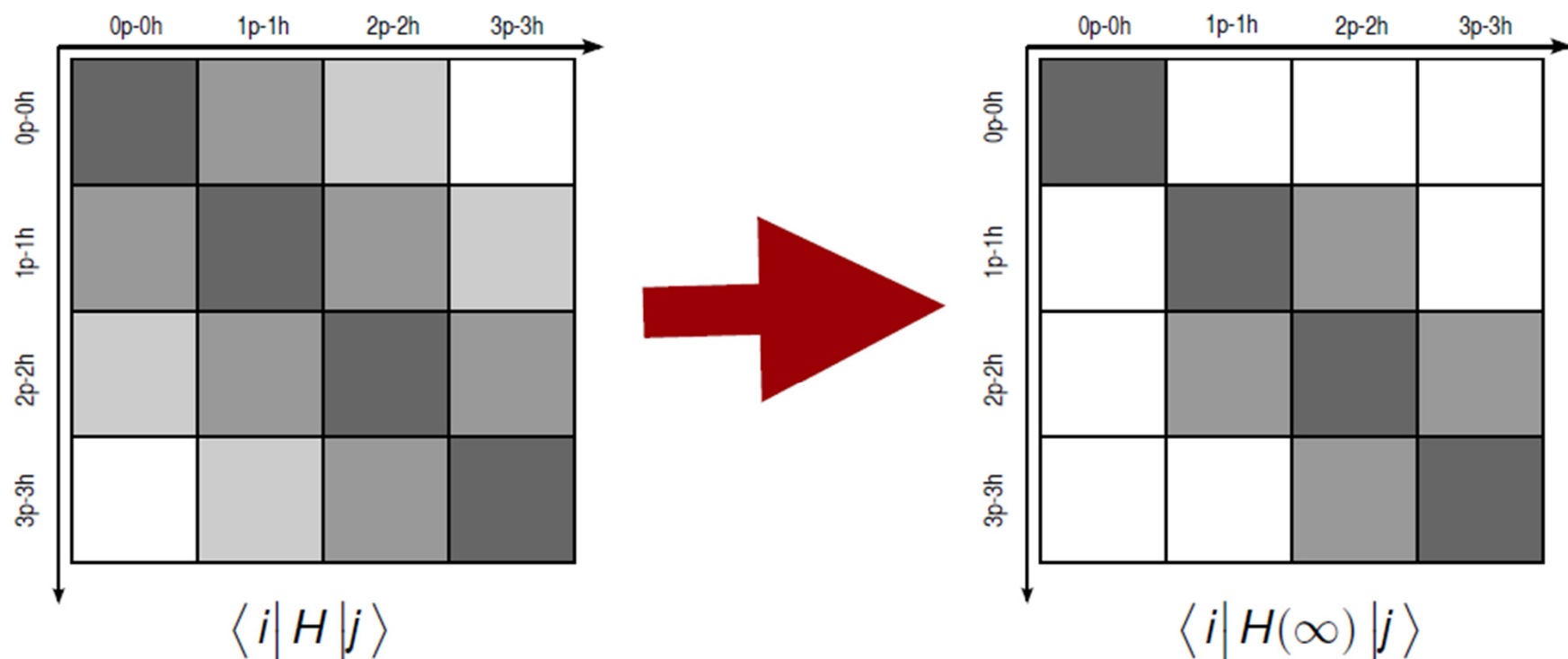
$$f = \text{[diagram: vertical line with dot]} + \text{[diagram: vertical line with dot and circle]} + \text{[diagram: vertical line with dot and two circles, highlighted in blue]}$$

$$\Gamma = \text{[diagram: X shape]} + \text{[diagram: X shape with circle, highlighted in blue]}$$

~~$$W = \text{[diagram: X shape with three lines]} \text{ [diagram: X shape with three lines and circle]}$$~~

two-body formalism with  
in-medium contributions from  
three-body interactions

# Decoupling in A-Body Space



**aim:** decouple reference state  $|\Phi\rangle$   
(0p-0h) from excitations



- **Wegner:**  $\eta^I = [H_d, H_{od}]$

- **White:** (J. Chem. Phys. 117, 7472)

$$\eta^{II} = \sum_{ph} \frac{f_h^p}{\Delta_h^p} : A_h^p : + \frac{1}{4} \sum_{pp'hh'} \frac{\Gamma_{hh'}^{pp'}}{\Delta_{hh'}^{pp'}} : A_{hh'}^{pp'} : - \text{H.c.}$$

$\Delta_h^p, \Delta_{hh'}^{pp'}$ : approx. 1p1h, 2p2h excitation energies

- **“imaginary time”:** (Morris, Bogner)

$$\eta^{III} = \sum_{ph} \text{sgn}(\Delta_h^p) f_h^p : A_h^p : + \frac{1}{4} \sum_{pp'hh'} \text{sgn}(\Delta_{hh'}^{pp'}) \Gamma_{hh'}^{pp'} : A_{hh'}^{pp'} : - \text{H.c.}$$

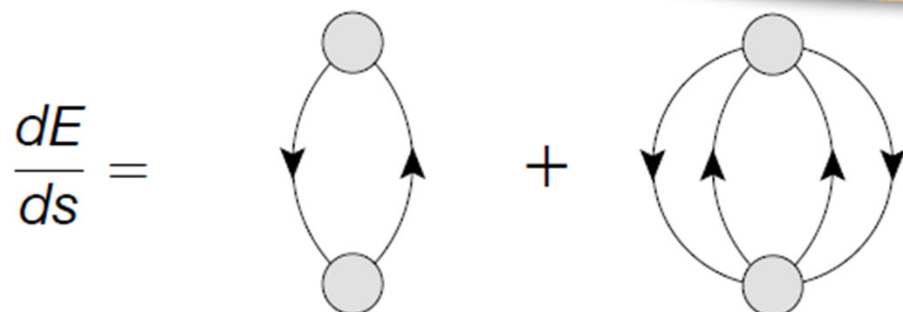
- off-diagonal matrix elements are suppressed like  $e^{-\Delta^2 s}$  (Wegner),  $e^{-s}$  (White), and  $e^{-|\Delta|s}$  (imaginary time)
- g.s. energies ( $s \rightarrow \infty$ ) differ by  $\ll 1\%$

# IM-SRG(2) Flow Equations

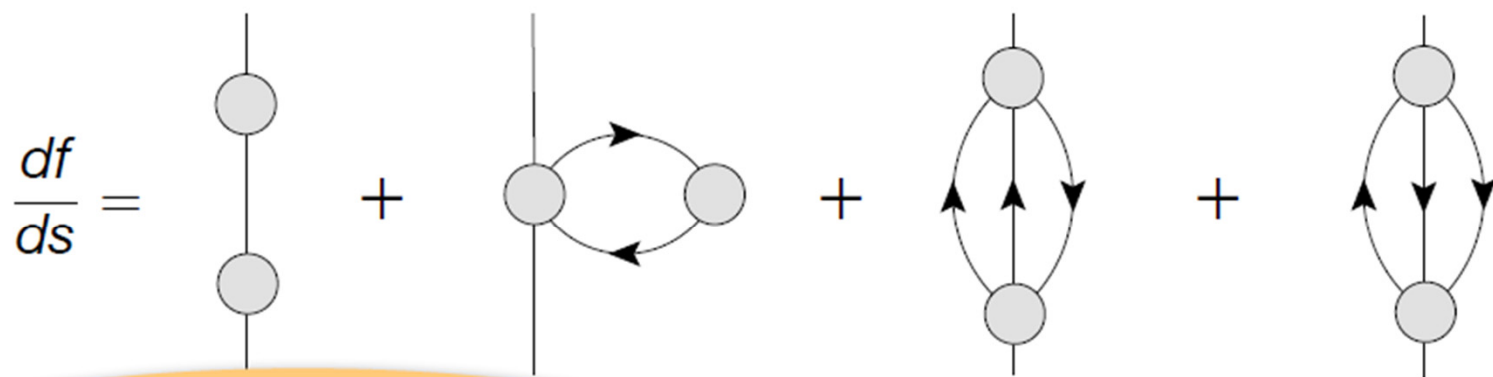


0-body Flow

~ 2nd order MBPT for  $H(s)$



1-body Flow



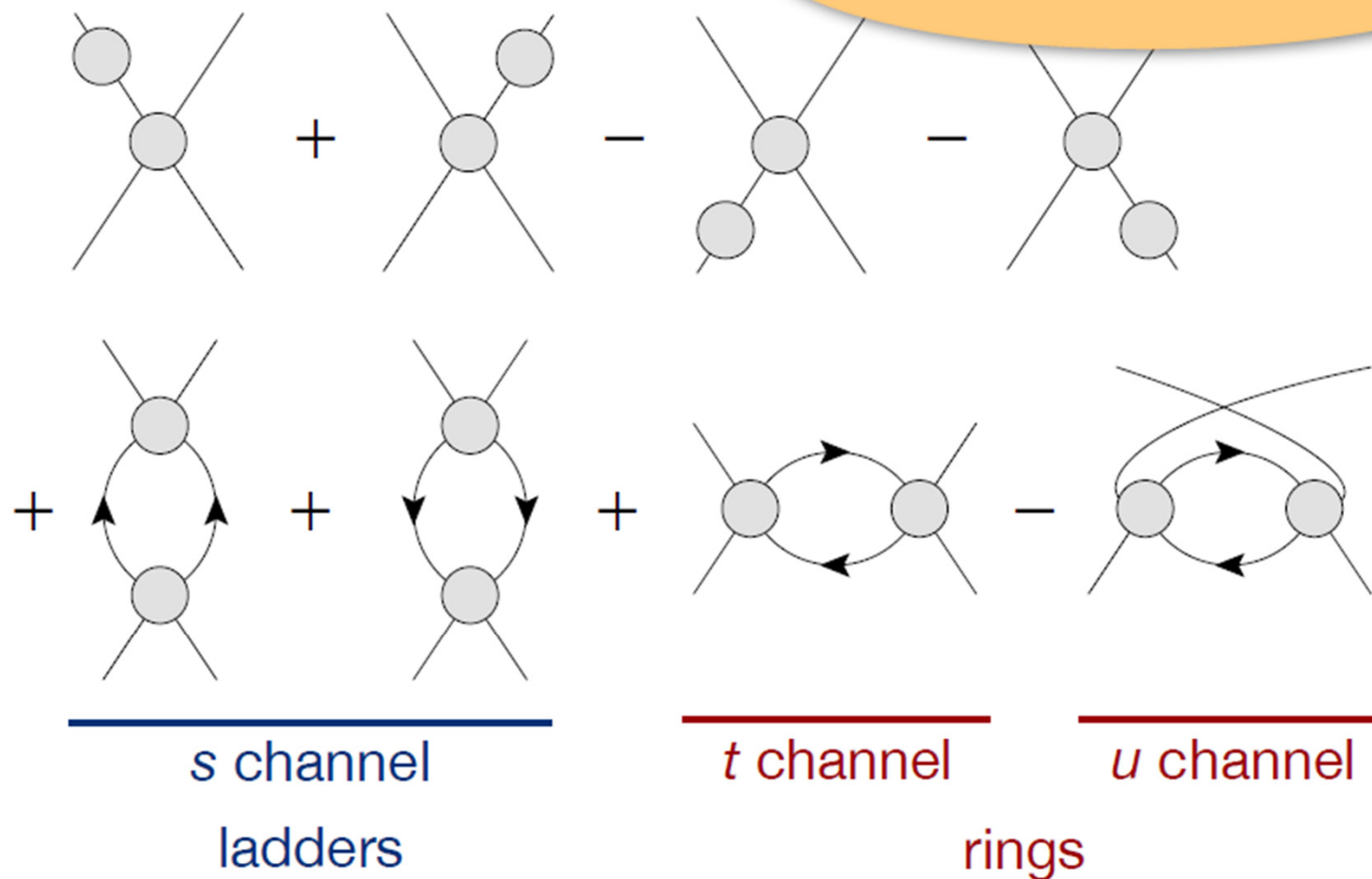
IM-SRG(2): truncate ops.  
at two-body level

# IM-SRG(2) Flow Equations

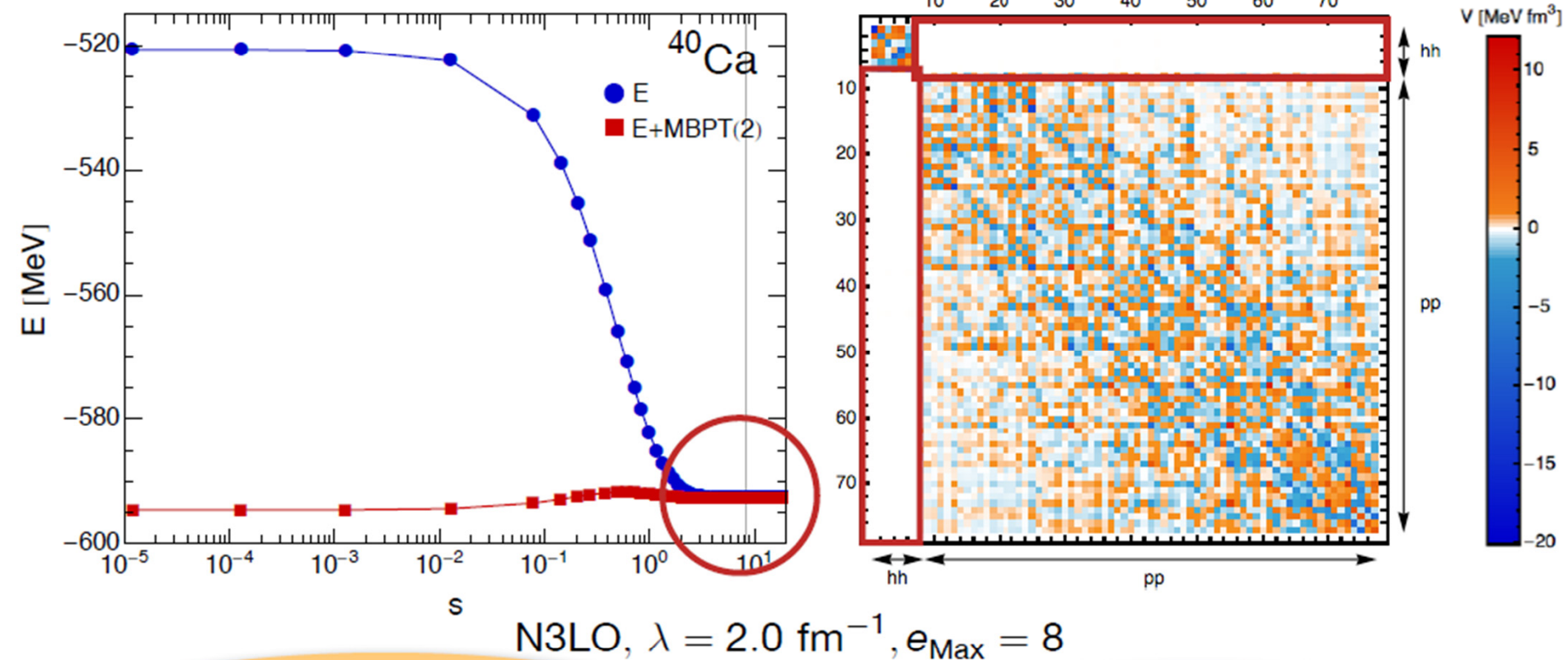


## 2-body Flow

$$\frac{d\Gamma}{ds} =$$



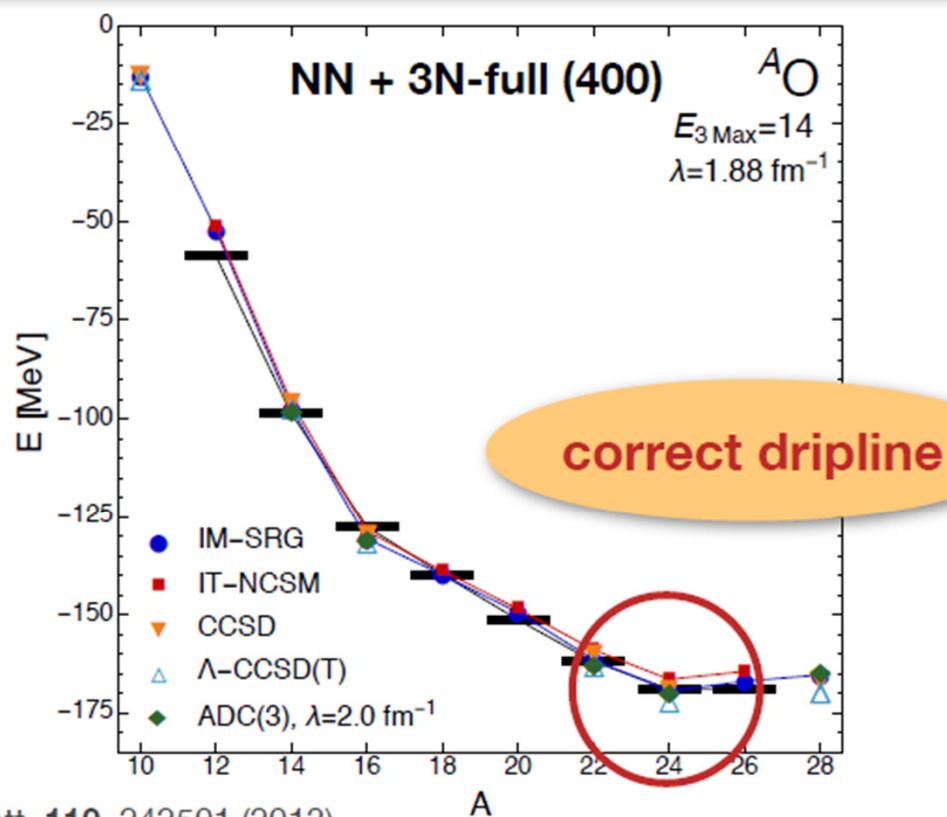
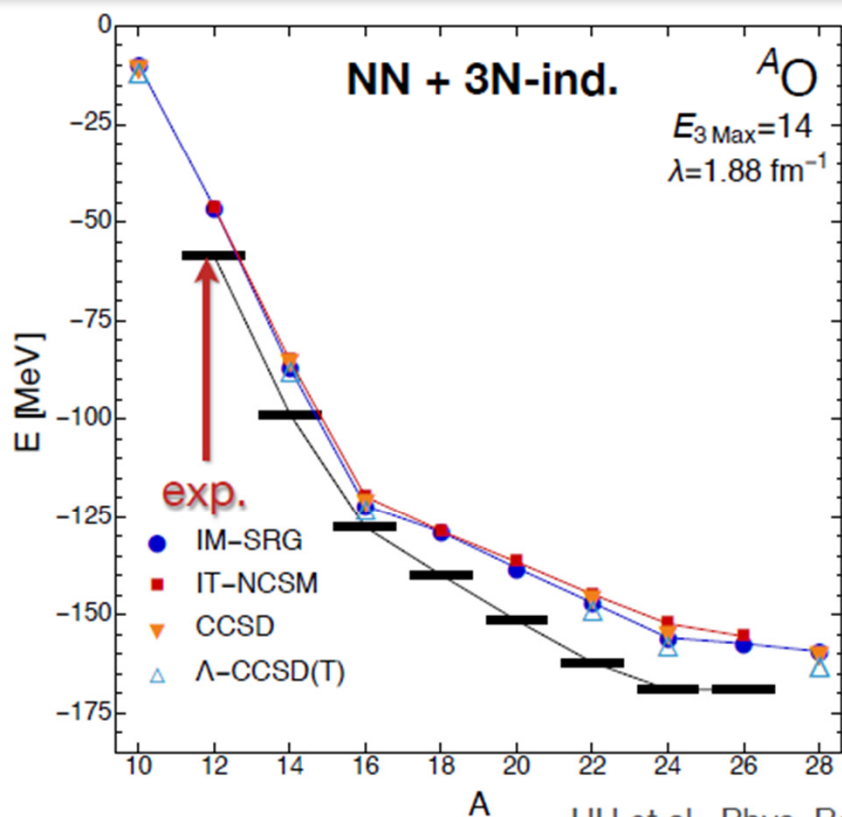
# Decoupling



non-perturbative  
resummation of MBPT series  
(correlations)

off-diagonal couplings  
are rapidly driven to zero

# Results: Oxygen Chain



HH et al., Phys. Rev. Lett. **110**, 242501 (2013)  
ADC(3): A. Cipollone et al., Phys. Rev. Lett. **111**, 242501 (2013)

- **Multi-Reference IM-SRG** with number-projected Hartree-Fock-Bogoliubov as reference state (**pairing correlations**)
- **consistent results from different many-body methods**

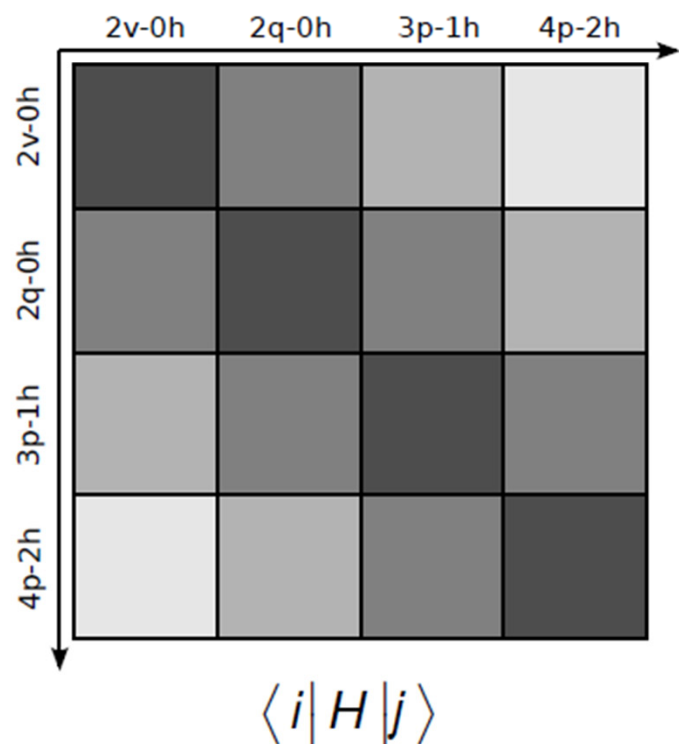
# IM-SRG Interactions for the Shell Model

S. K. Bogner, H. H., J. D. Holt, A. Schwenk, in preparation

S. K. Bogner, H. H., J. D. Holt, A. Schwenk, S. Binder, A. Calci, J. Langhammer, R. Roth,  
Phys. Rev. Lett. 113, 142501 (2014)

K. Tsukiyama, S. K. Bogner, and A. Schwenk, Phys. Rev. C **85**, 061304(R) (2012)

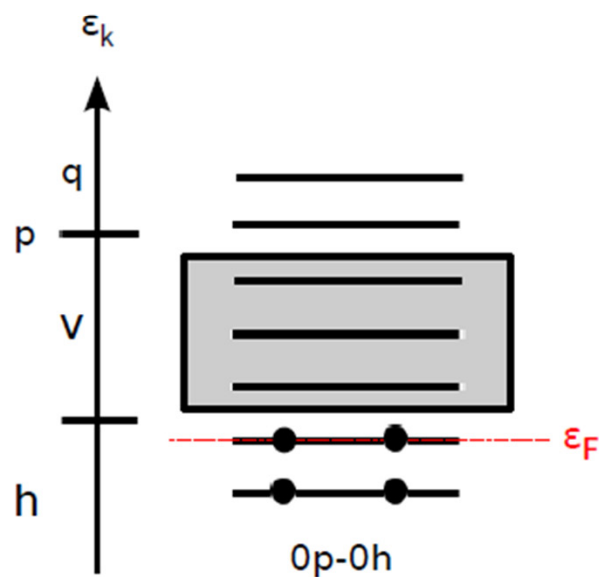
# Valence Space Decoupling



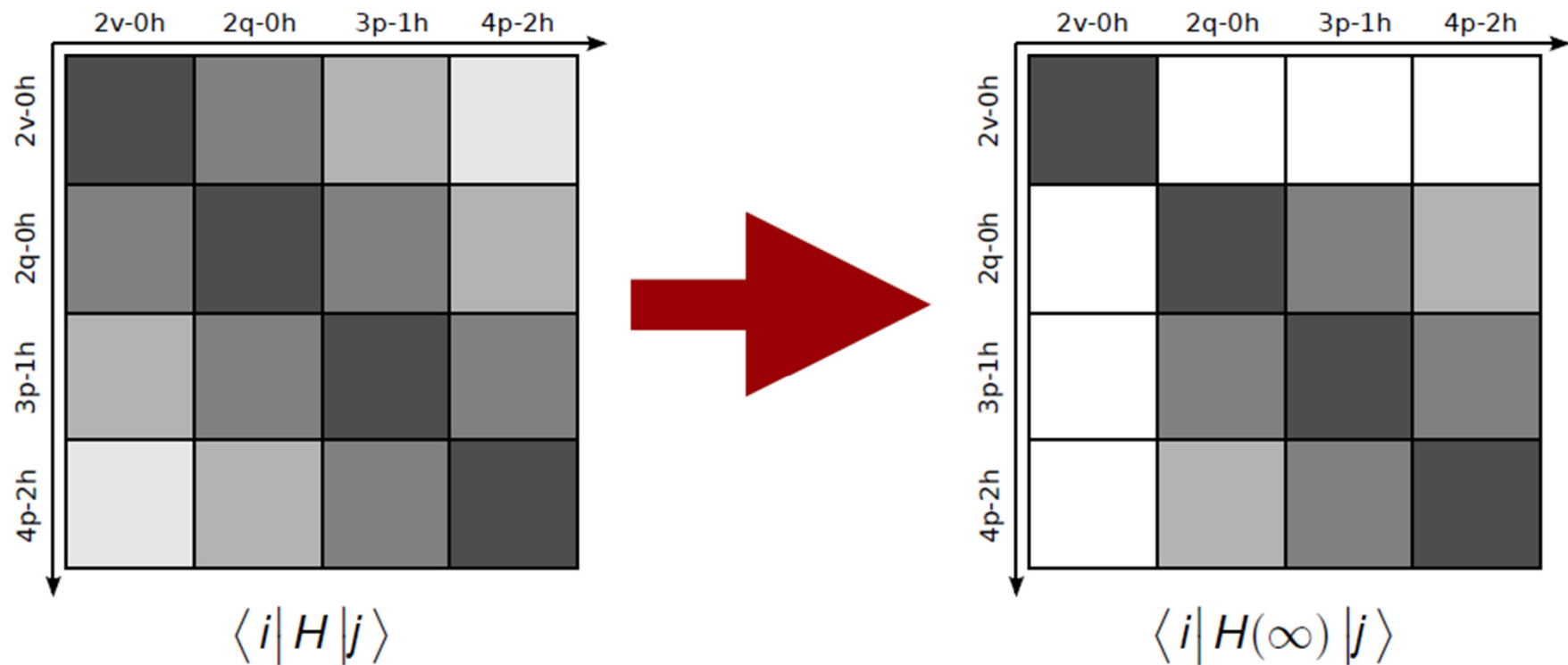
non-valence  
particle states

valence  
particle states

hole states  
(core)



# Valence Space Decoupling

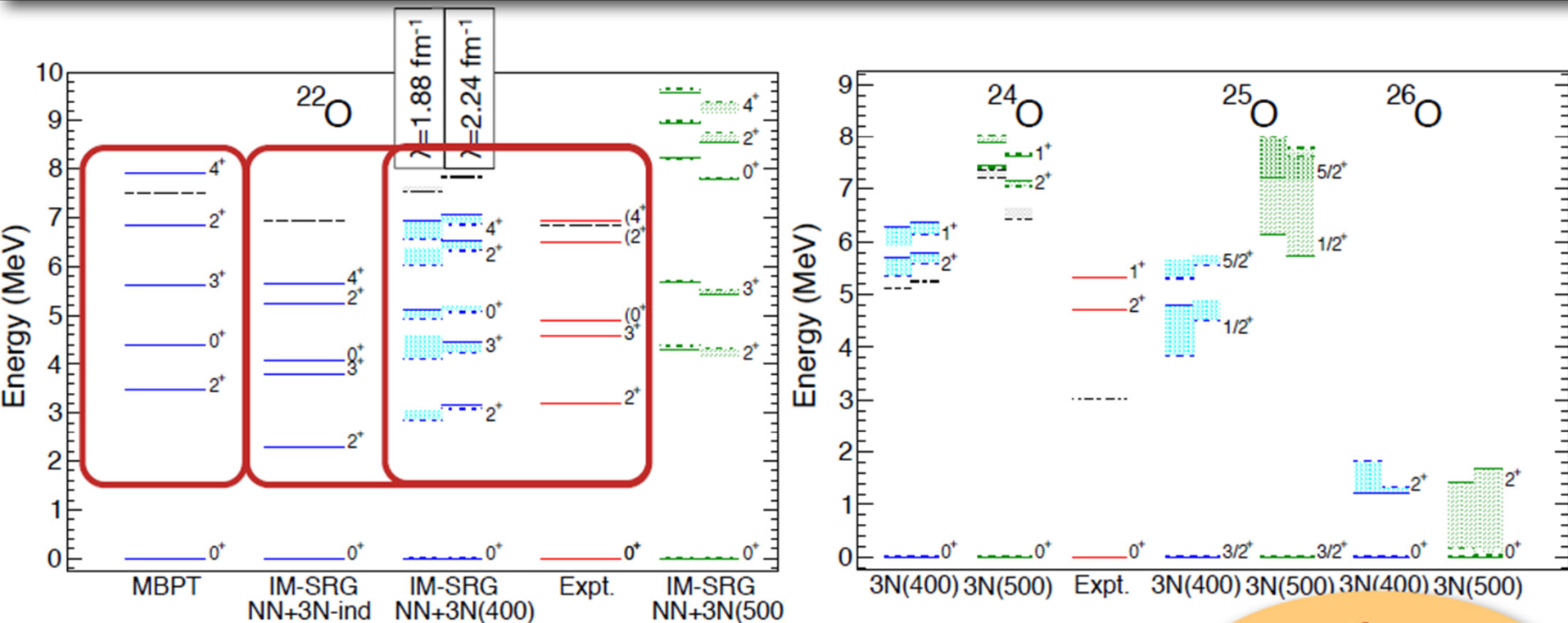


- construct generator from off-diagonal Hamiltonian

$$\{H^{od}\} = \{f_{h'}^h, f_{p'}^p, f_h^p, f_v^q, \Gamma_{hh'}^{pp'}, \Gamma_{hv}^{pp'}, \Gamma_{vv'}^{pq}\} \& \text{H.c.}$$



# From Oxygen...



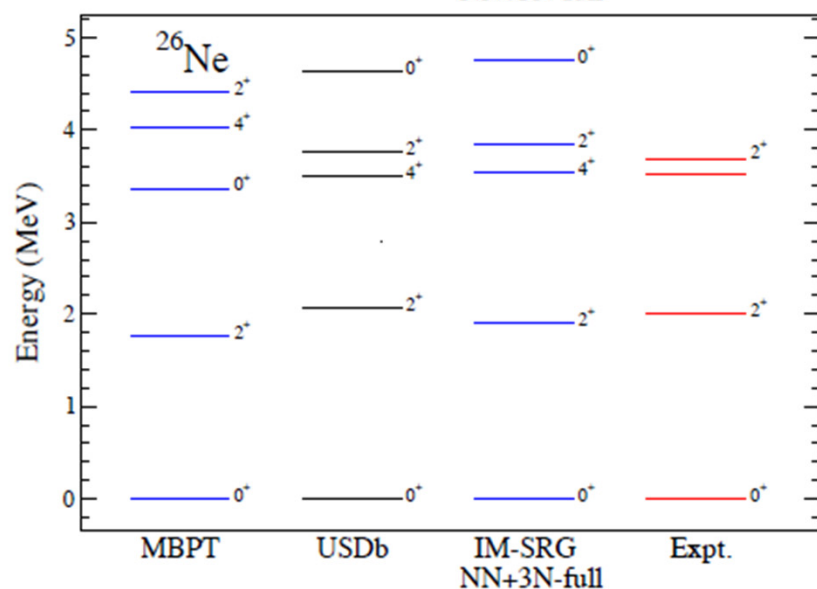
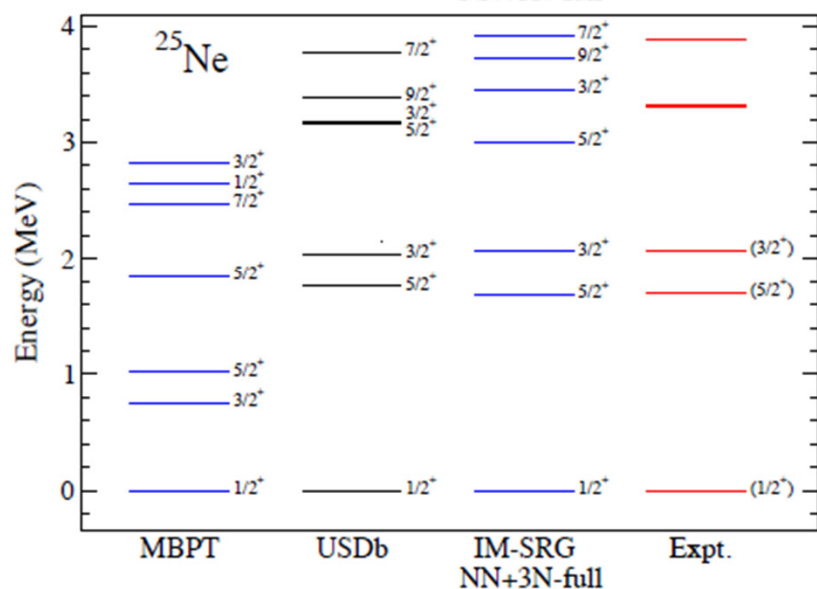
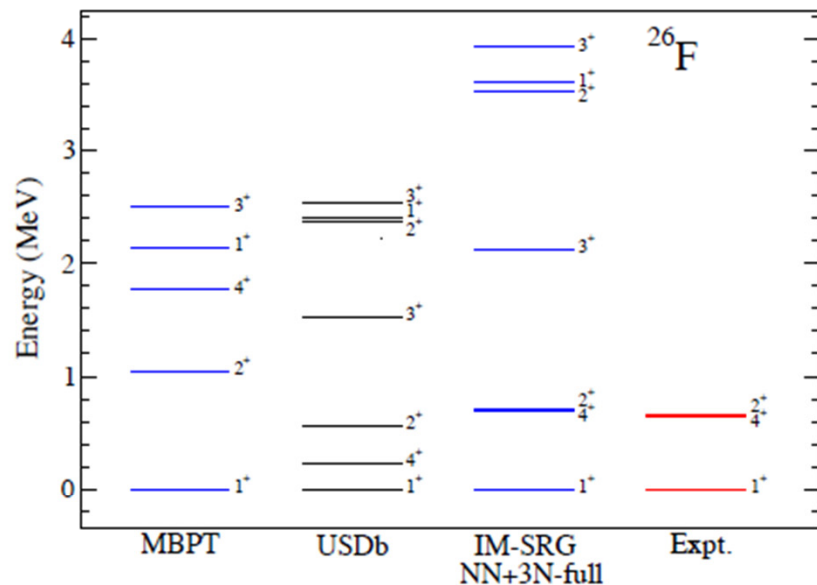
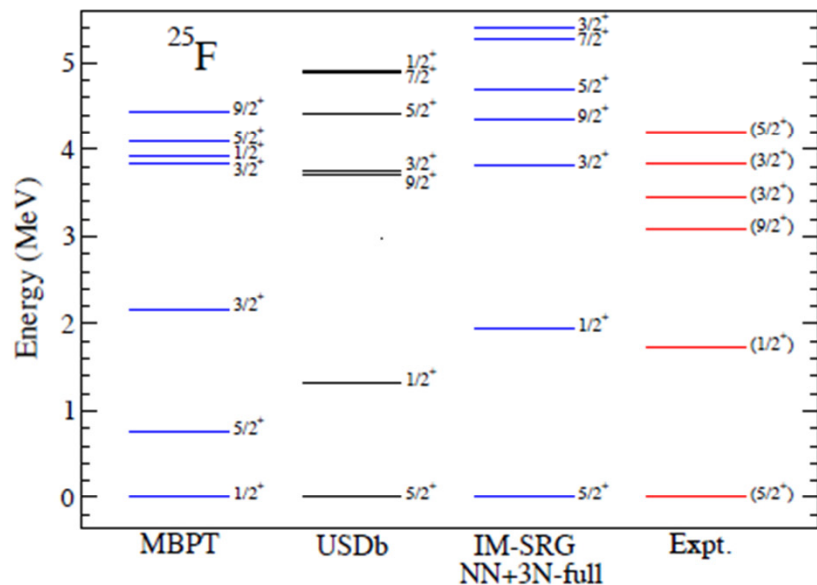
shading:  $\hbar\Omega$  variation

Phys. Rev. Lett. **113**, 142501 (2014)

**continuum  
lowers states  
by <1 MeV**

- **3N forces crucial**
- IM-SRG improves on finite-order MBPT effective interaction
- competitive with phenomenological calculations

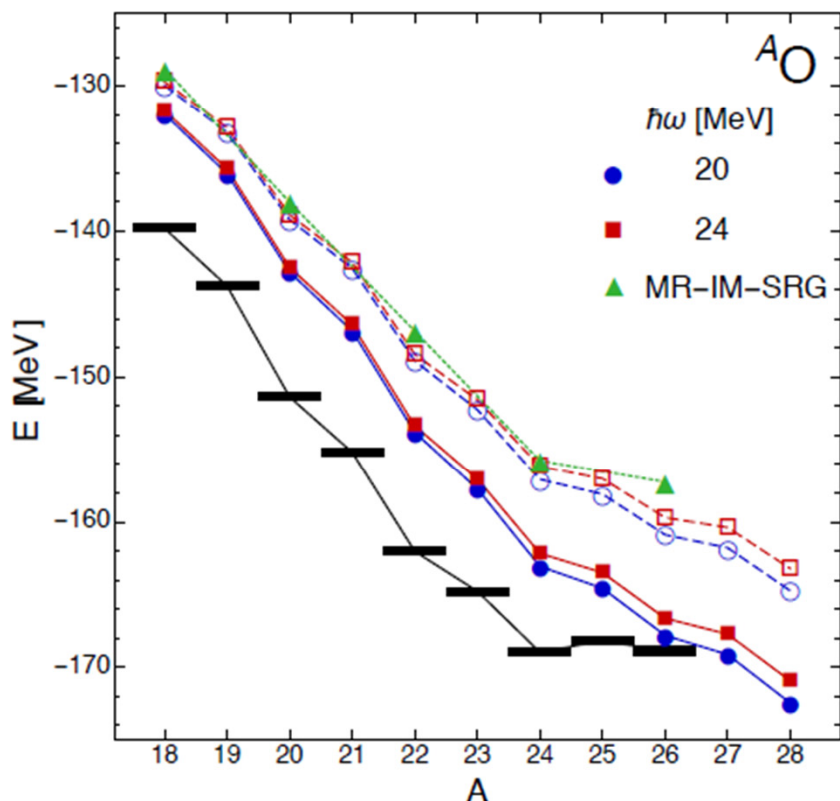
# ... Into the *sd*-Shell...



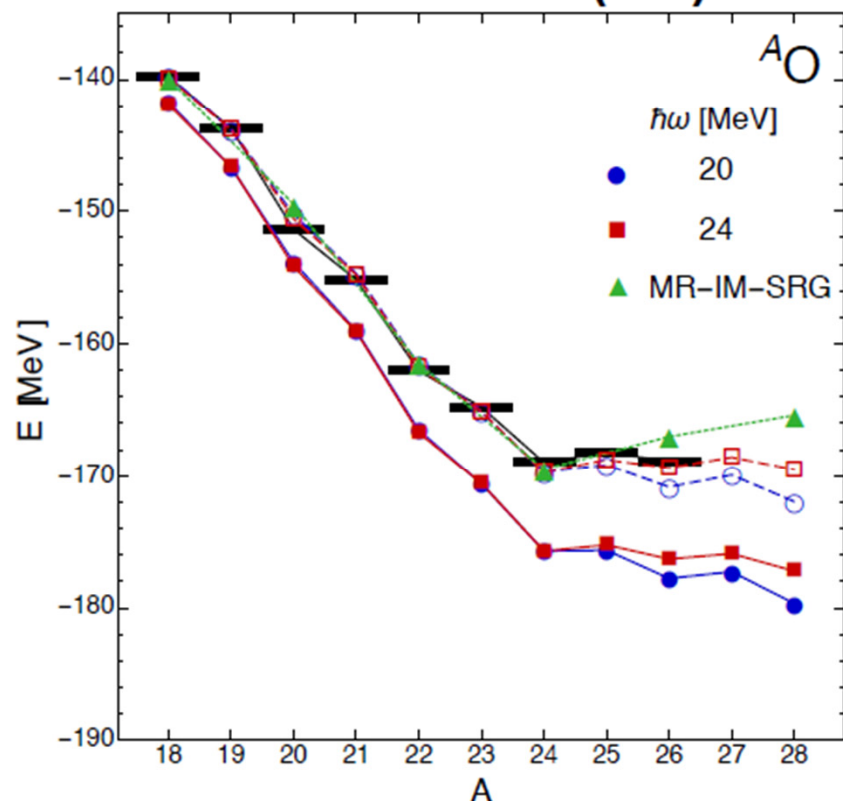
# Open Issue



## NN + 3N-induced



## NN + 3N-full(400)



- looks like simple shift:  $\Delta E \approx \frac{A_V}{A} \cdot \text{const.} \dots$
- ... but it's more complicated; **take more information on target into account** (occupation of states, etc.) ?

# Challenges within IM-SRG(2)



- Evolving  $H$  is technical and expensive itself
- Consistent but expensive evolution of observables

$$\frac{d}{ds} O = [\eta, O]$$

- No handle on induced 3-(higher body) forces

# Magnus expansion within the IM-SRG

T.D.M., N. Parzuchowski, S.K. Bogner, in preparation  
W. Magnus. *Comm. Pure and Appl. Math.*, VII:649–673, 1954.  
F. Evangelista. *J. Chem. Phys.* **141**, 054109 (2014)

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to suppress (suitably defined) off-diagonal Hamiltonian

- consistent evolution for all observables of interest

# SRG Unitary Transformation



$$\begin{aligned}\frac{dU_s}{ds} = \eta_s U_s \quad \Rightarrow \quad U_s &= \mathcal{S} \exp \left( \int_0^s \eta_{s'} ds' \right) \\ &= 1 + \int_0^s \eta_{s'} ds' + \int_0^s \eta_{s'} \int_0^{s'} \eta_{s''} ds' ds'' + \dots\end{aligned}$$

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**Kehrein calls attempting to form U “both difficult and not helpful.”**



# SRG Unitary Transformation



**Magnus Expansion** W. Magnus. *Comm. Pure and Appl. Math.*, VII:649–673, 1954.

$$U_s = \exp(\Omega_s)$$

$$\frac{d\Omega_s}{ds} = \eta_s + \frac{1}{2}[\Omega_s, \eta_s] + \frac{1}{12}[\Omega_s, [\Omega_s, \eta_s]] + \dots \equiv \sum_{k=0}^{\infty} \frac{B_k}{k!} ad_{\Omega_s}^k(\eta_s)$$

$$ad_{\Omega}^k(\eta) = [\Omega, ad_{\Omega}^{k-1}(\eta)] \quad B_k = \text{Bernoulli numbers}$$

# SRG Unitary Transformation

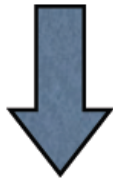


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$$ad_{\Omega}^k(\eta) = [\Omega, ad_{\Omega}^{k-1}(\eta)] \quad B_k = \text{Bernoulli numbers}$$



$$H_s = \exp(\Omega_s) H \exp(-\Omega_s) = H + [\Omega_s, H] + \frac{1}{2}[\Omega_s, [\Omega_s, H]] + \dots$$

$$O_s = \exp(\Omega_s) O \exp(-\Omega_s) = O + [\Omega_s, O] + \frac{1}{2}[\Omega_s, [\Omega_s, O]] + \dots$$

# SRG Unitary Transformation



$H_s, \eta_s, \Omega_s$  truncated to N-ordered 2-body terms

$$\frac{d\Omega_s}{ds} = \eta_s + \frac{1}{2}[\Omega_s, \eta_s]_{2B} + \frac{1}{12}[\Omega_s, [\Omega_s, \eta_s]_{2B}]_{2B} + \dots$$

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# SRG Unitary Transformation



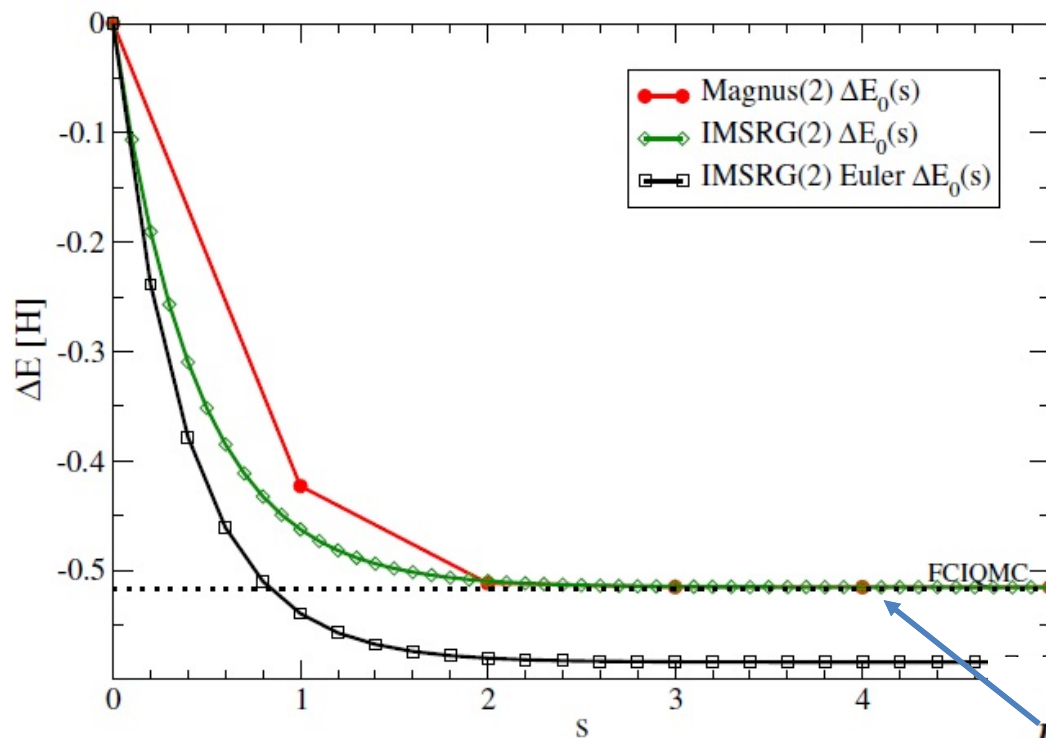
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$$H_s = H + [\Omega_s, H]_{2B} + \frac{1}{2}[\Omega_s, [\Omega_s, H]_{2B}]_{2B} + \dots$$

Denote this as Magnus(2) for the remainder of this talk

# Magnus(2) PBC Electron Gas



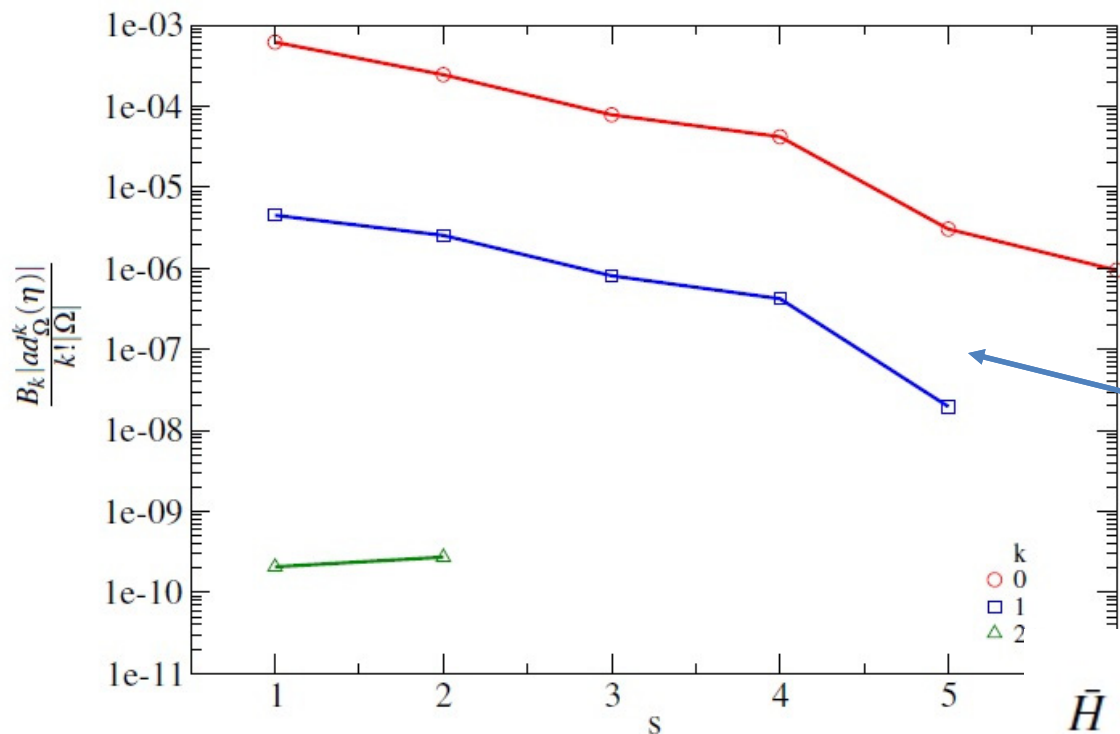
$$\frac{dU^\dagger}{ds} = \eta U^\dagger$$

$$U^\dagger = \exp(\Omega)$$

$$\frac{d\Omega}{ds} = \sum_{k=0}^{\infty} \frac{B_k}{k!} \text{ad}_{\Omega}^k(\eta)$$

$$\tilde{H} = \exp(\Omega) H \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} \text{ad}_{\Omega}^k(H)$$

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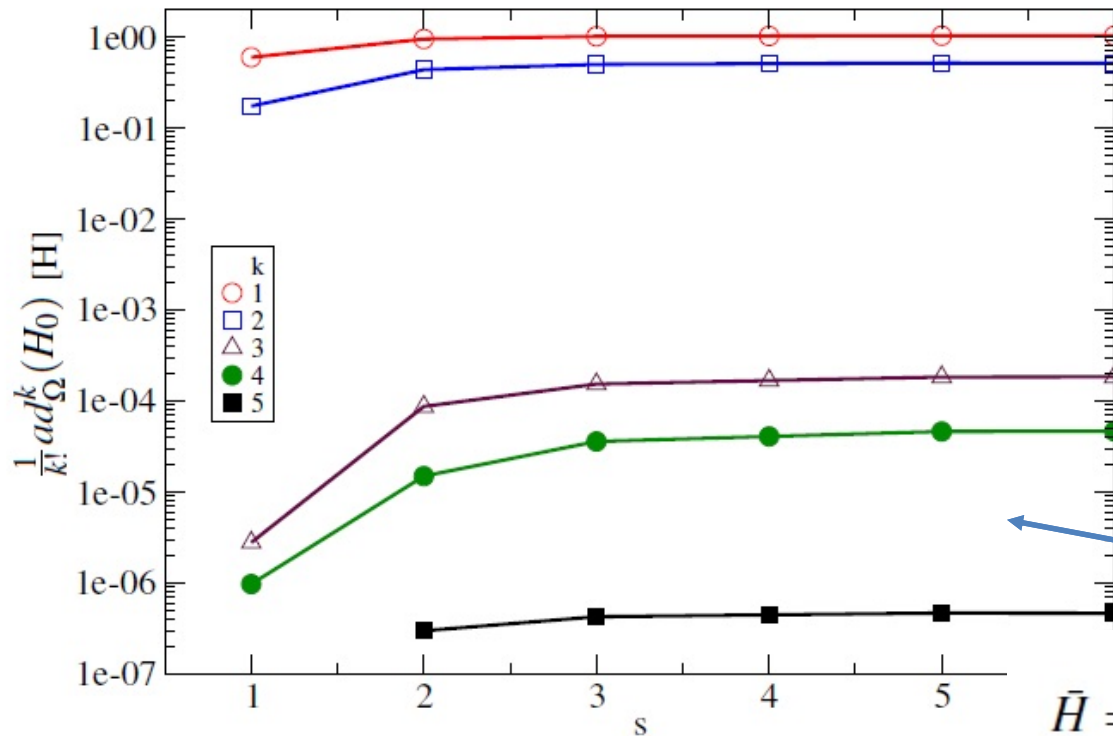
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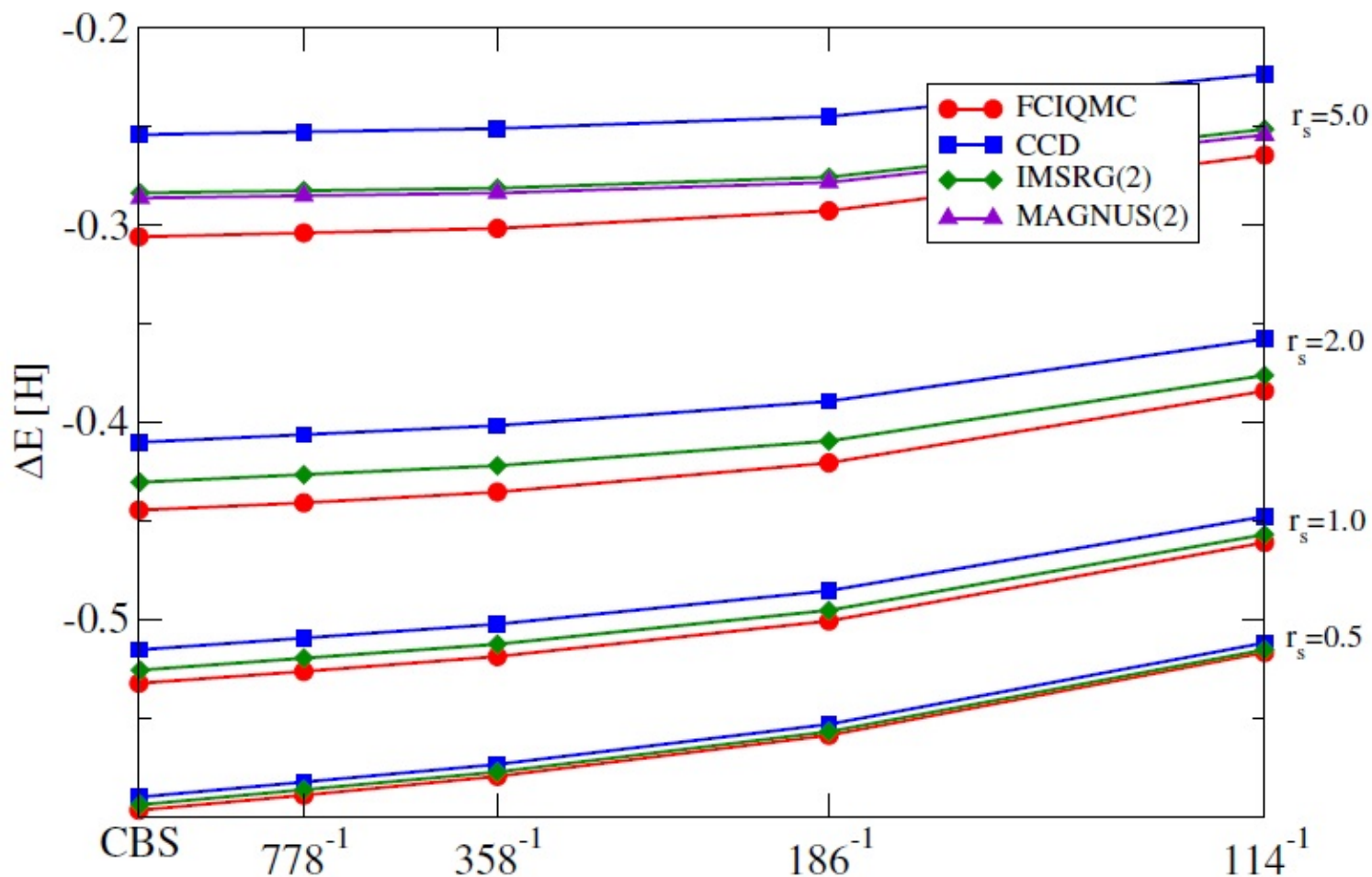
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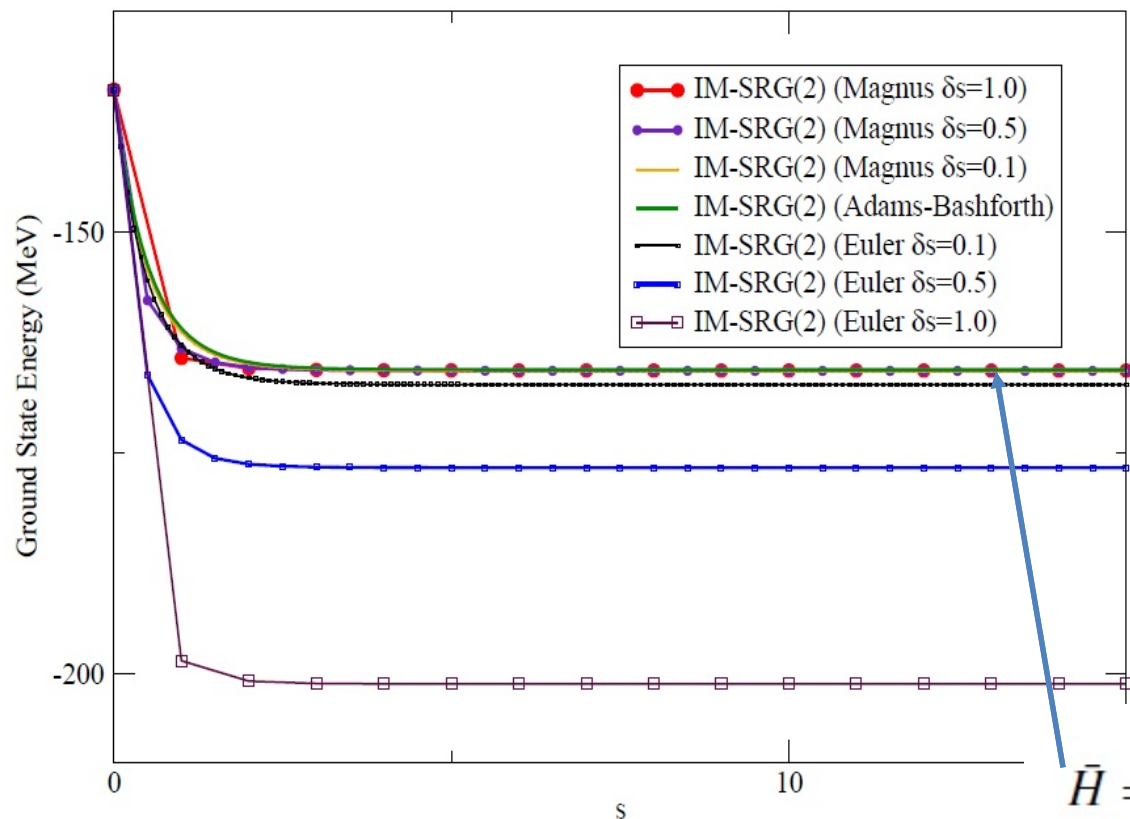
# Magnus(2) PBC Electron Gas



J.J. Shepherd, G.H. Booth, A. Alavi, J. Chem. Phys. **136**, 244101 (2012)



# Magnus(2) $^{16}\text{O}$



$$\frac{dU^\dagger}{ds} = \eta U^\dagger$$

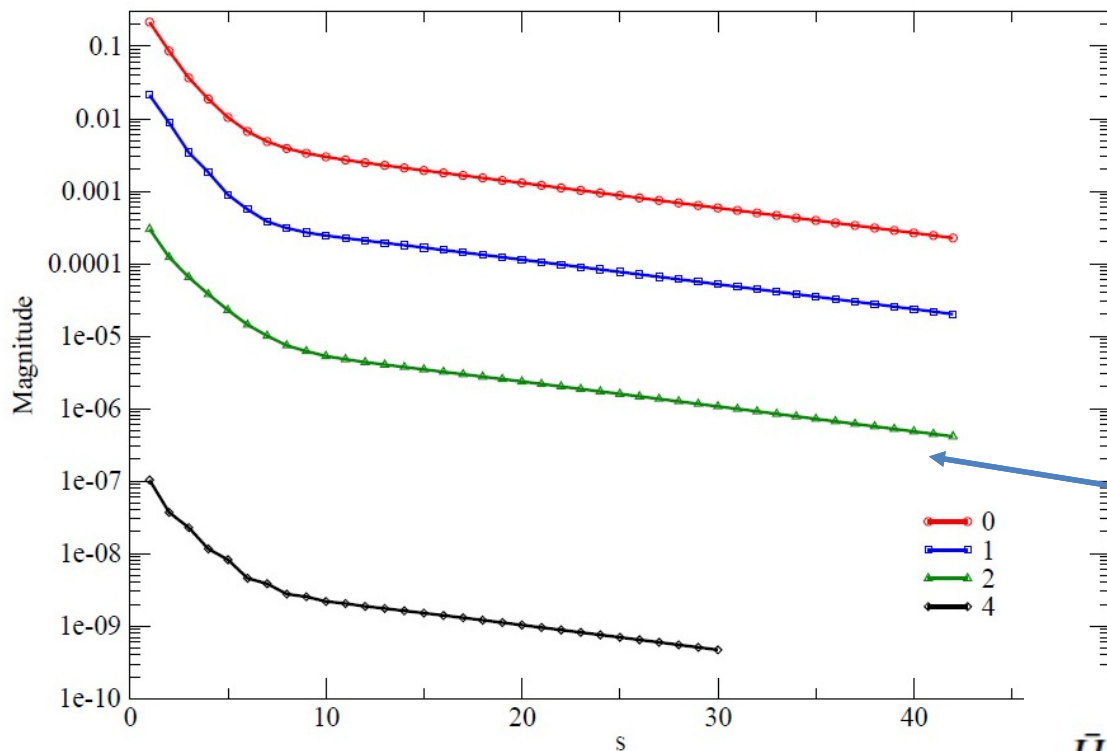
$$U^\dagger = \exp(\Omega)$$

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N3LO E.M. NN  $\lambda = 2.0$ ,  $\text{emax}=8$

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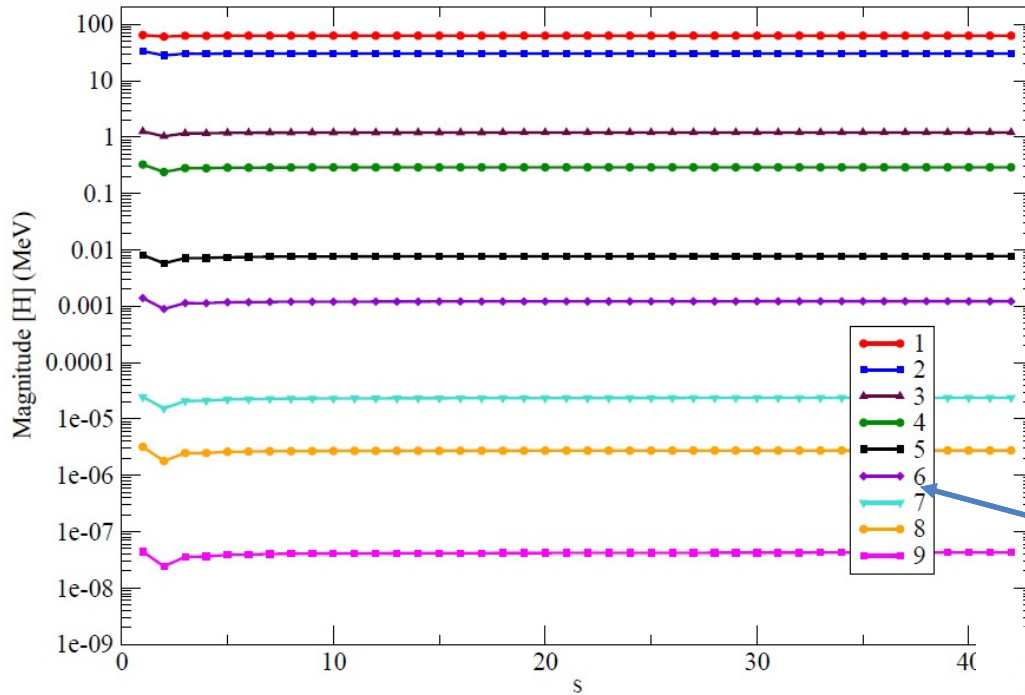
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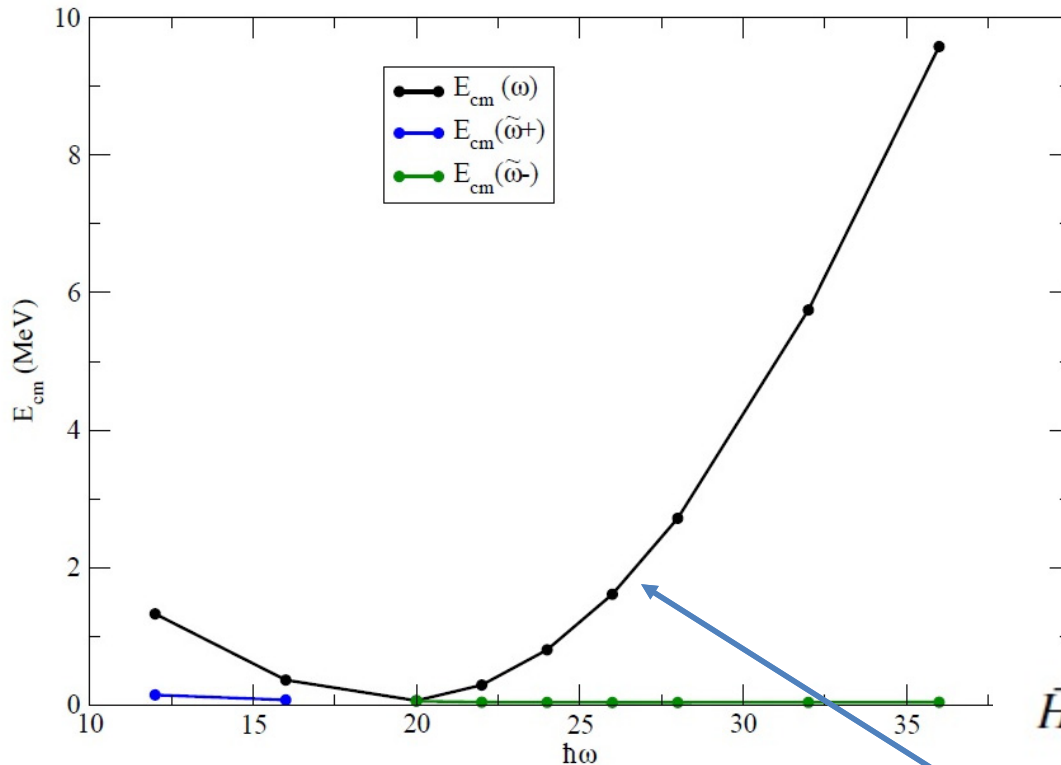
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# Magnus(2) $^{16}\text{O}$ C.O.M. Diagnostic



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$$\bar{H}_{cm} = \exp(\Omega) H_{cm} \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} ad_{\Omega}^k(H_{cm})$$

# Magnus(2) Observations



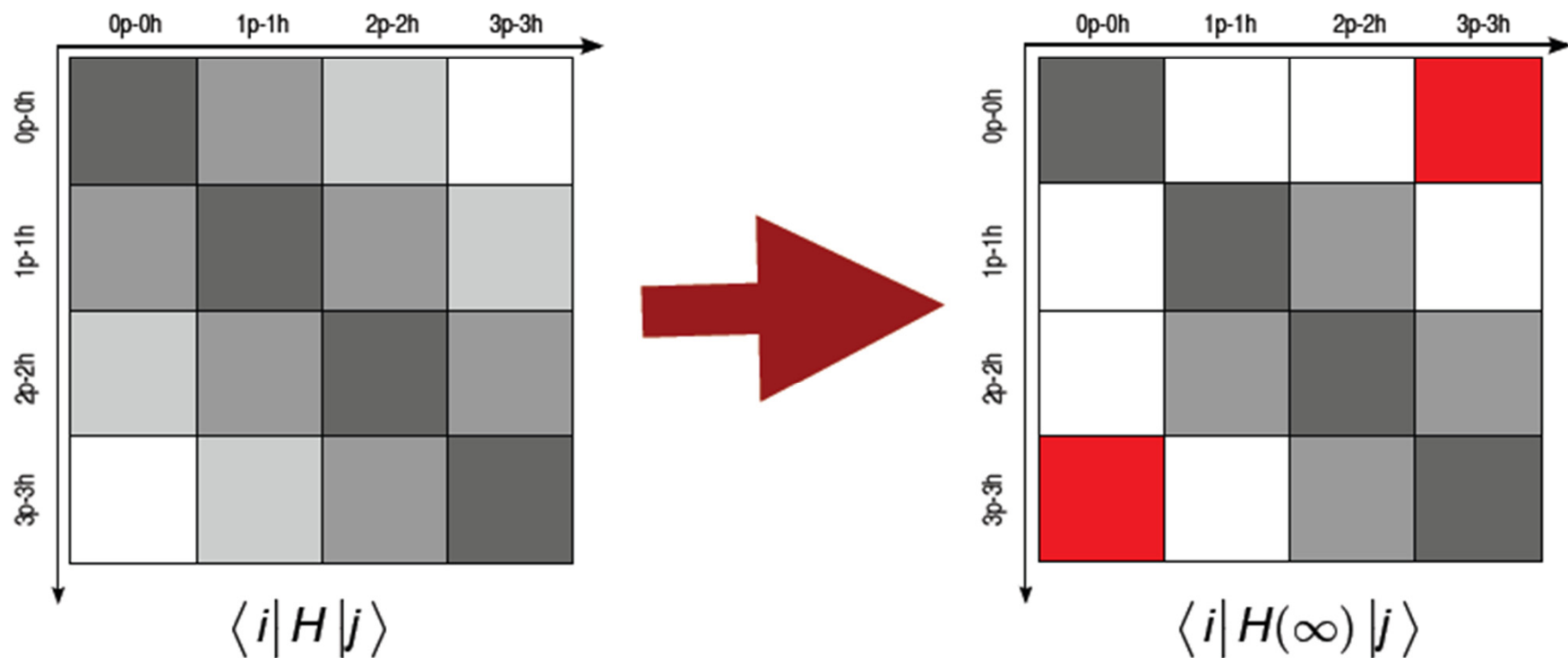
- G.S. decoupling,  $\Omega \approx T - T^\dagger$
- CPU time,  $\text{Magnus}(2) \leq \text{IMSRG}(2)$
- $\bar{O}$  has similar cost as one timestep
  - Can be done after calculation
  - This is especially useful for Shell Model (R. Stroberg)
- MR-MAGNUS(2) is similarly successful
- Allows for approximation of Magnus(3)

# Magnus(2) Observations



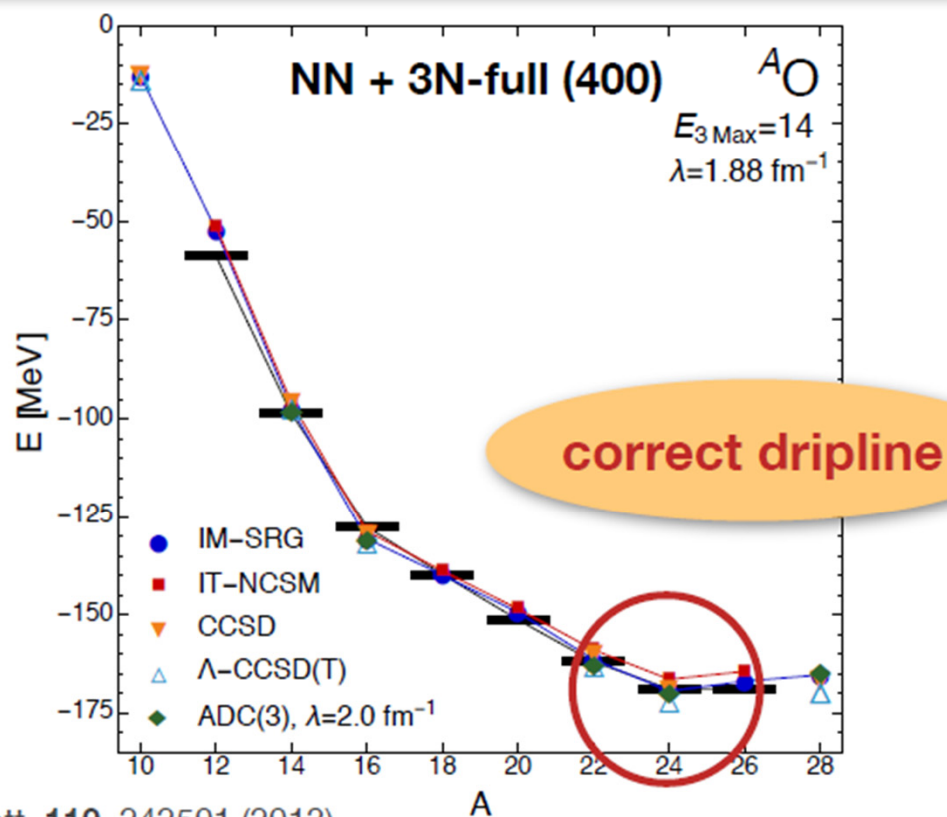
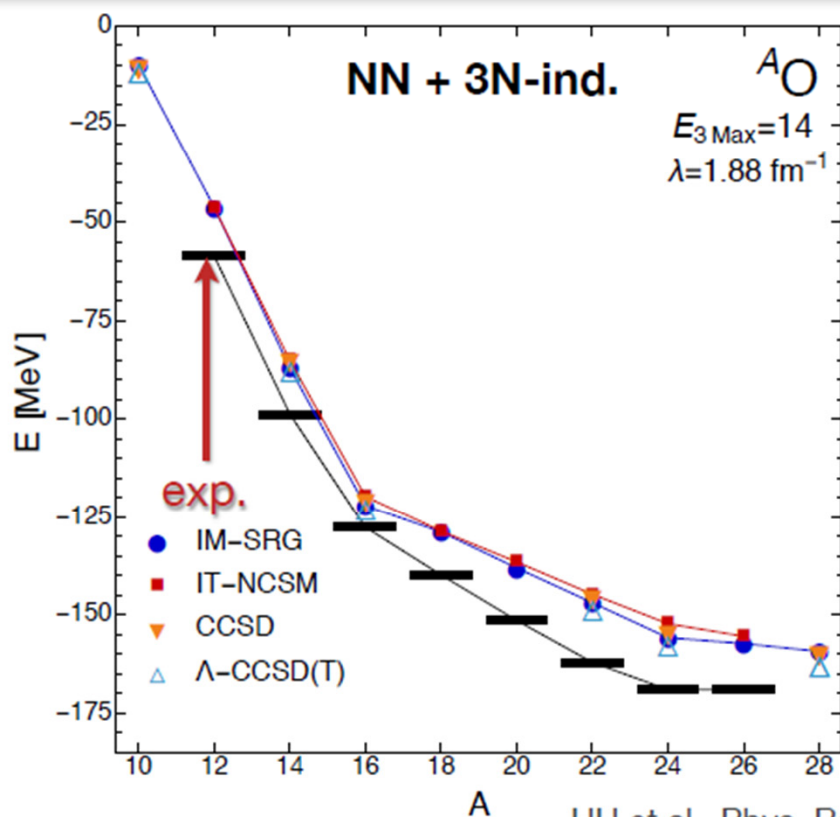
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- MR-MAGNUS(2) is similarly successful
- Allows for approximation of Magnus(3)
  - What separates Magnus(2) from Magnus(3)?

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(0p-0h) from excitations

# Results: Oxygen Chain

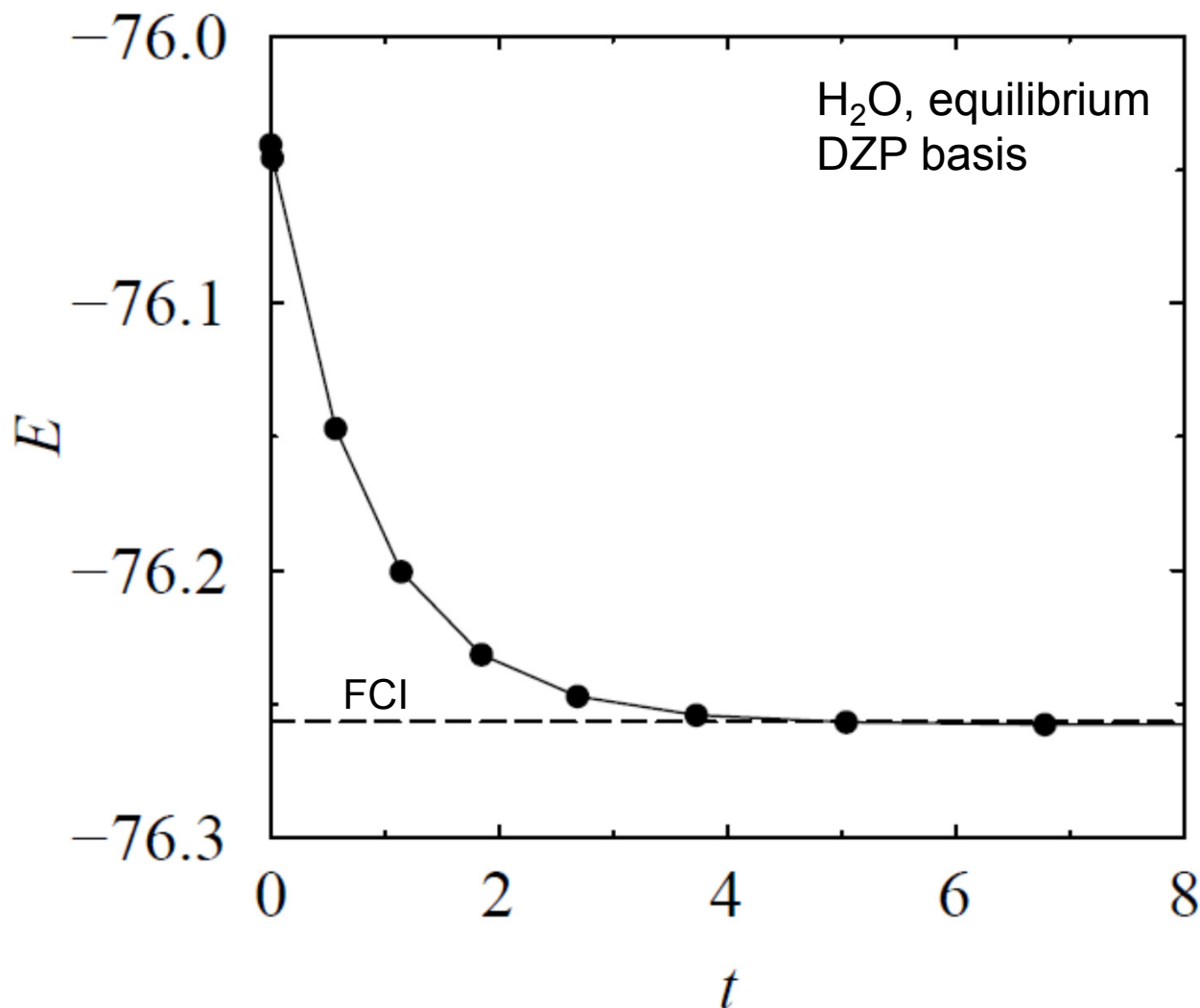


HH et al., Phys. Rev. Lett. **110**, 242501 (2013)  
ADC(3): A. Cipollone et al., Phys. Rev. Lett. **111**, 242501 (2013)

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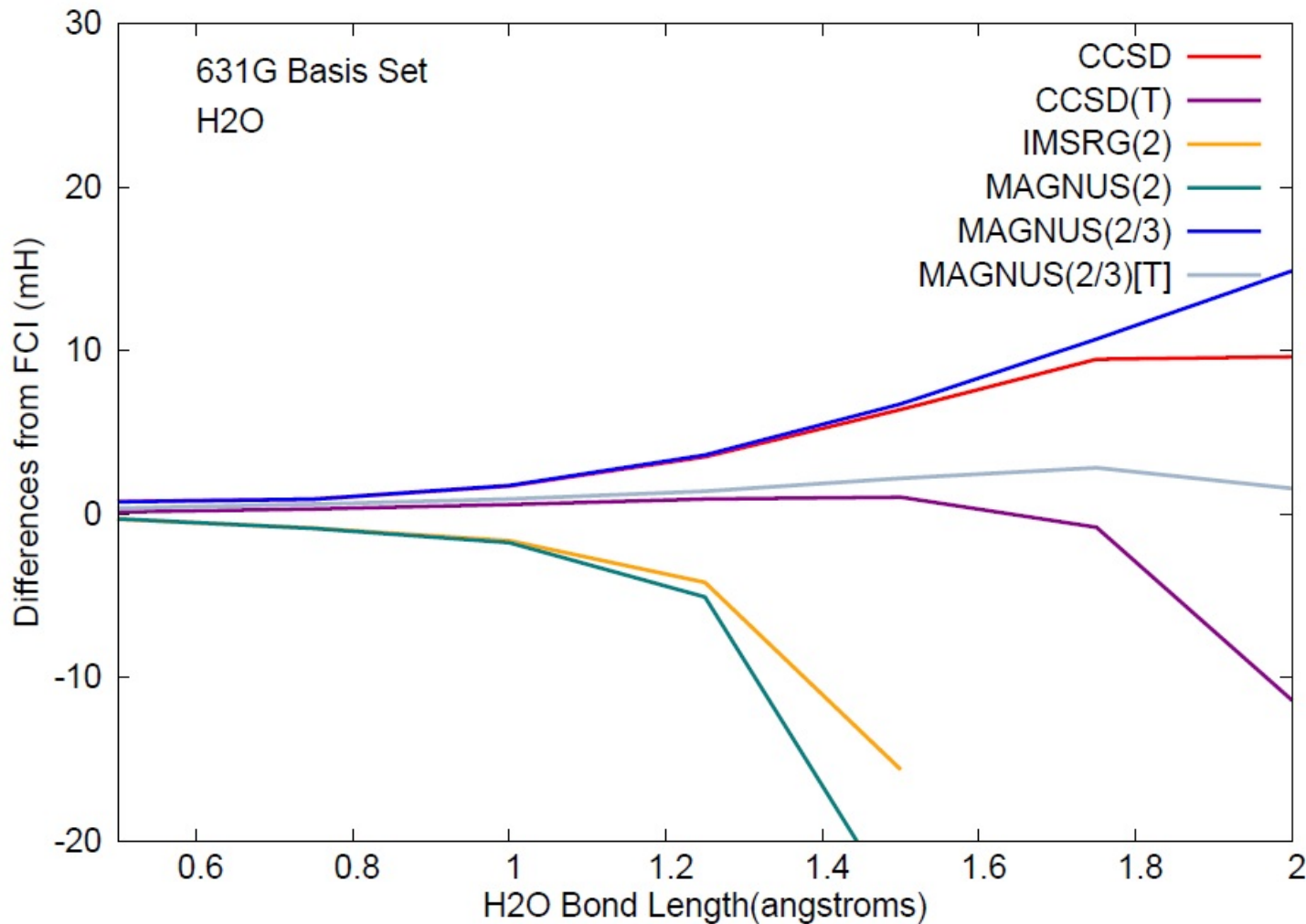


# Puzzling Chemistry results



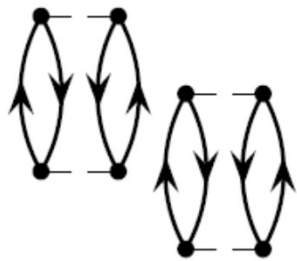
This result is actually dramatically **overbound** by chemistry standards!

# Puzzling Chemistry results

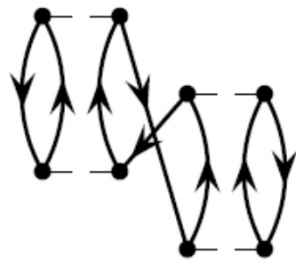


M.E./M.B. results calculated with Psi4

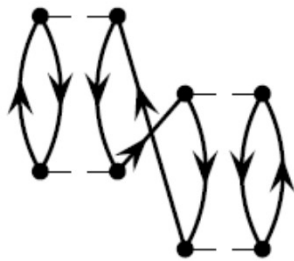
# Fixing Missing MBPT4



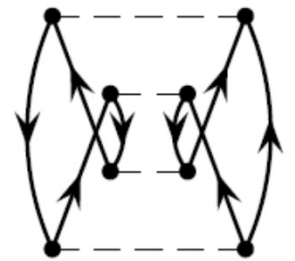
33



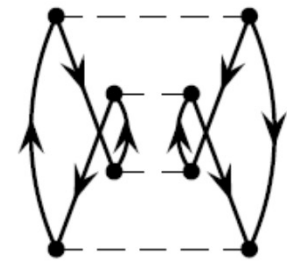
34



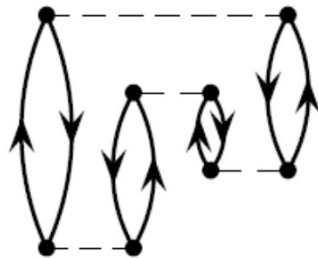
35



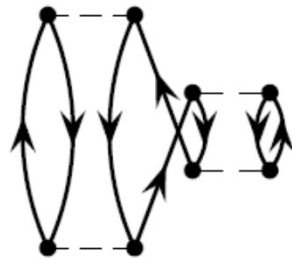
36



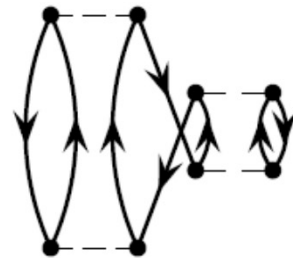
37



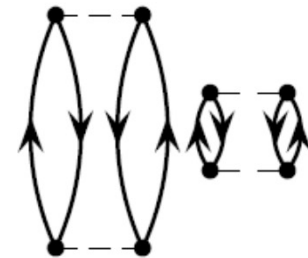
38



39

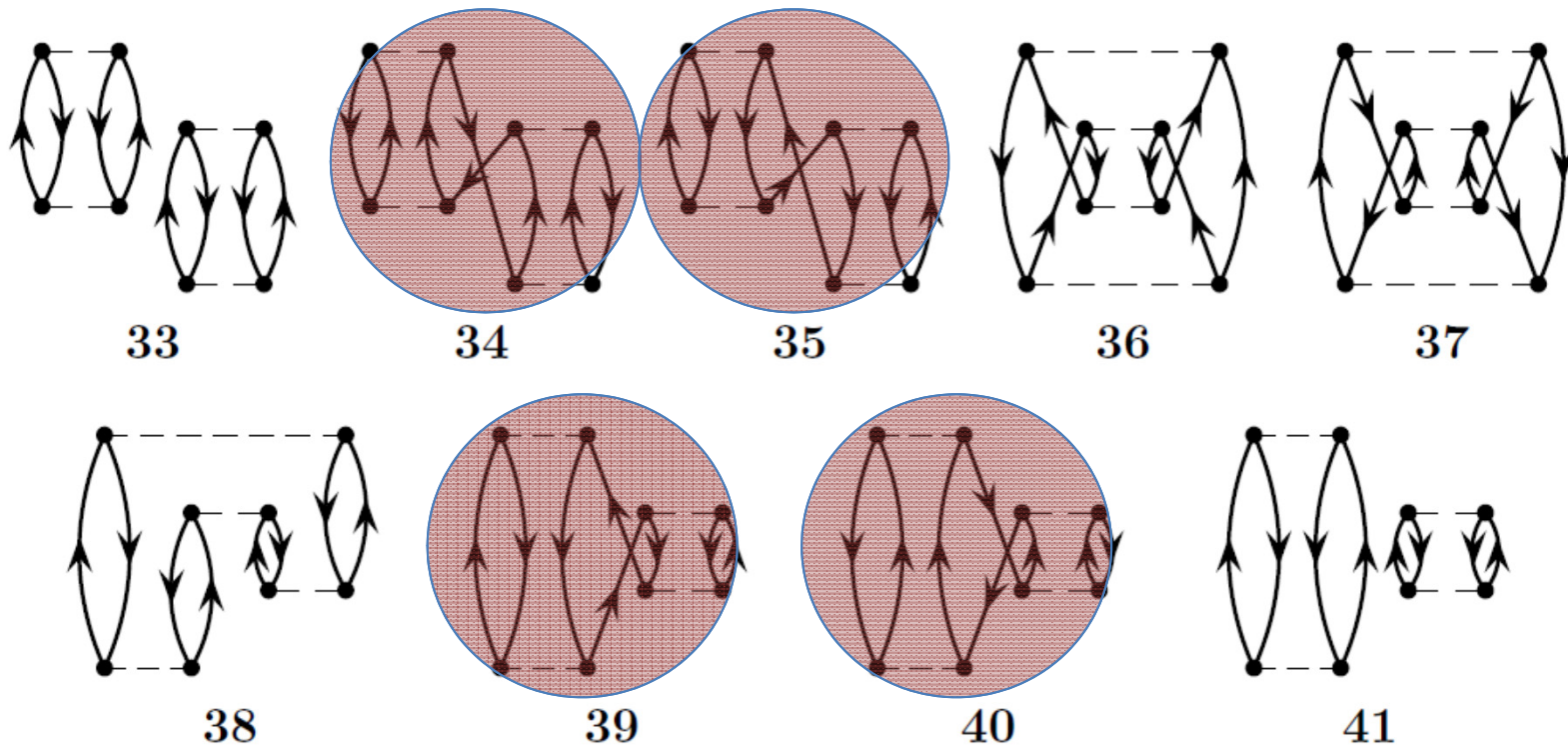


40



41

# Fixing Missing MBPT4



$$\frac{1}{2}(\Delta E_{34} + \Delta E_{35} + \Delta E_{39} + \Delta E_{40}) \subset \Delta E_{IMSRG(2)}, \Delta E_{MAGNUS(2)}$$

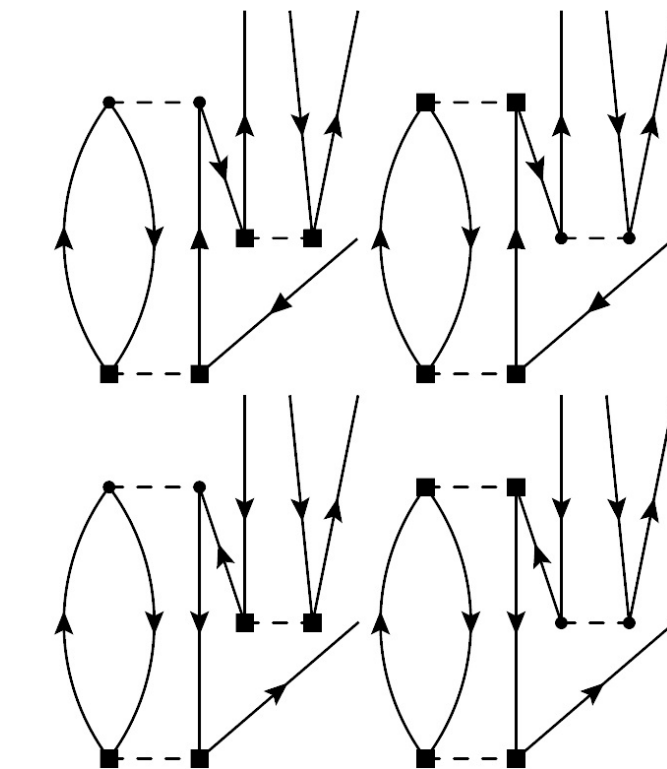
# Missing MBPT(4) content



$$\bar{H} = \exp(\Omega)H \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} \text{ad}_{\Omega}^k(H)$$

$$[\Omega, [\Omega, X]_{3B}]_{2B}$$


Restoring this term to all commutators makes the method Magnus(2/3) agree with CCSD!



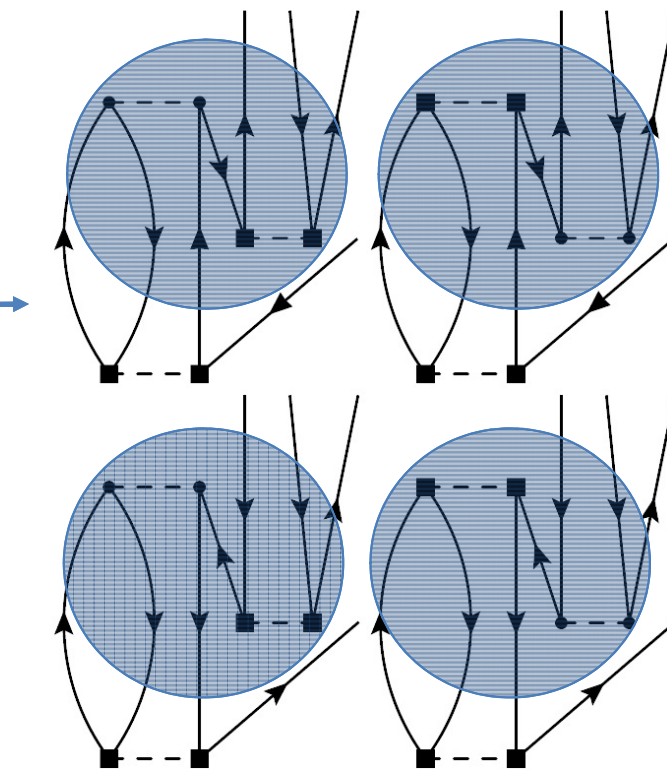
# Missing MBPT(4) content



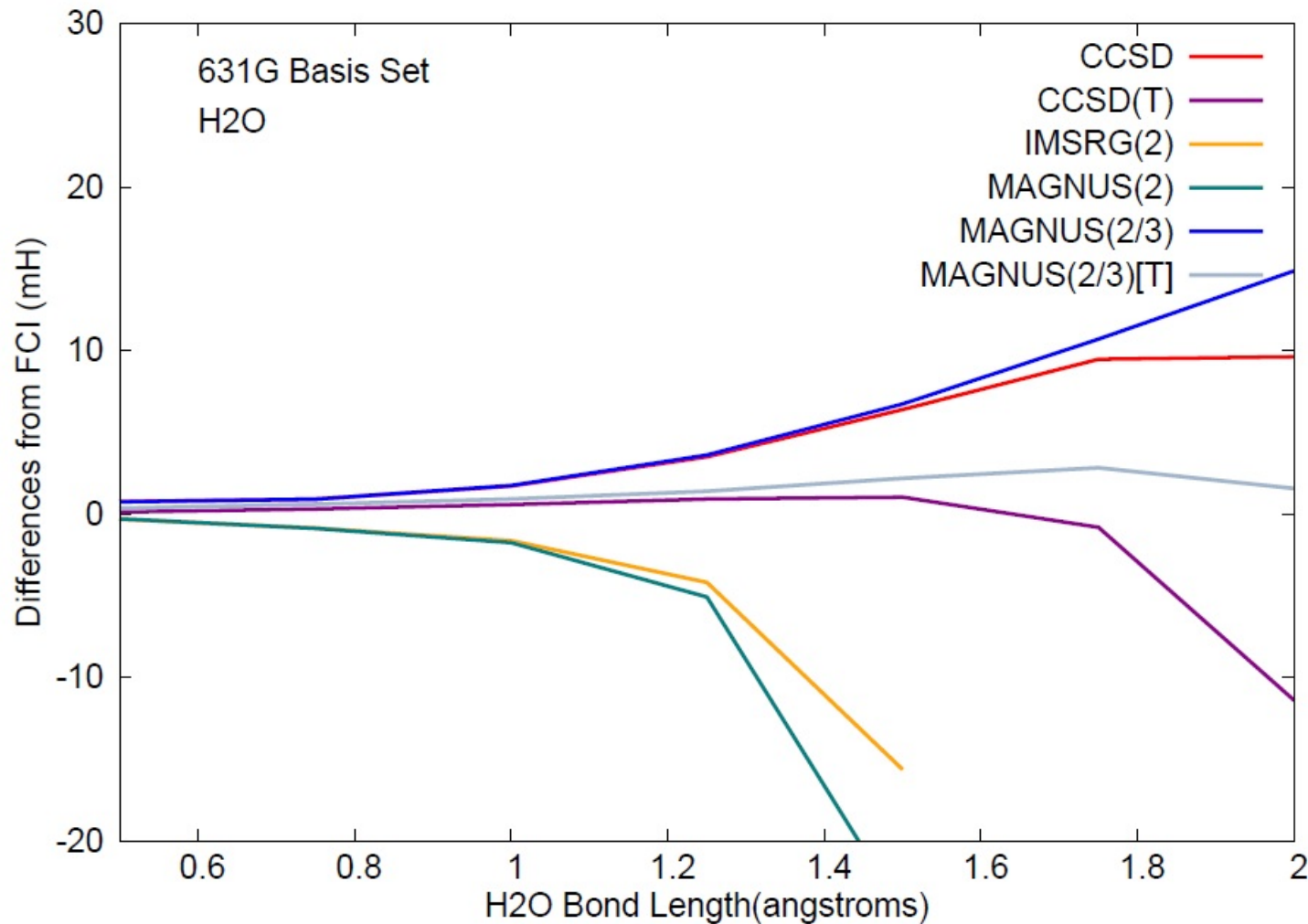
$$\bar{H} = \exp(\Omega)H \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} \text{ad}_{\Omega}^k(H)$$

$$[\Omega, [\Omega, X]_{3B}]_{2B}$$


Restoring this term to all commutators makes the method **Magnus(2/3)** agree with **CCSD**!



# Magnus(2/3) solves puzzle



M.E./M.B. results calculated with Psi4

$$\bar{H} = \exp(\Omega)H \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} ad_{\Omega}^k(H)$$

---

$$\bar{W} \approx [\Omega, H]_{3B} \quad \Omega_{p_1 p_2 p_3 h_1 h_2 h_3} = \frac{\bar{W}_{p_1 p_2 p_3 h_1 h_2 h_3}}{\Delta_{p_1 p_2 p_3 h_1 h_2 h_3}}$$

$$\Delta E_T = \frac{1}{2} [\Omega^{(3)}, [\Omega^{(2)}, H]_{3B}]_{0B}$$



$$\bar{H} = \exp(\Omega)H \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} ad_{\Omega}^k(H)$$

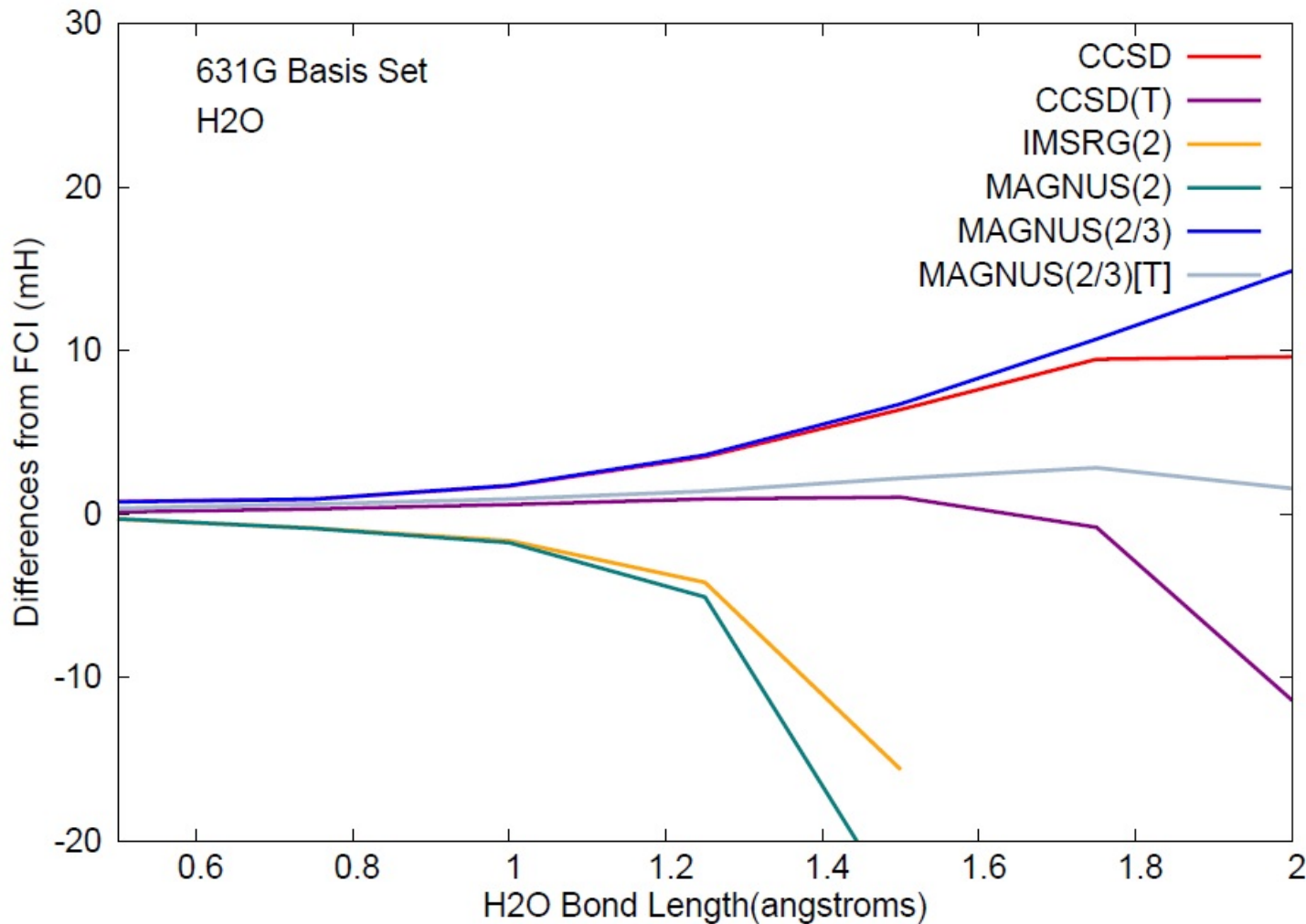
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$$\bar{W} \approx [\Omega, H]_{3B} \quad \Omega_{p_1 p_2 p_3 h_1 h_2 h_3} = \frac{\bar{W}_{p_1 p_2 p_3 h_1 h_2 h_3}}{\Delta_{p_1 p_2 p_3 h_1 h_2 h_3}}$$

$$\Delta E_T = \frac{1}{2} [\Omega^{(3)}, [\Omega^{(2)}, H]_{3B}]_{0B}$$

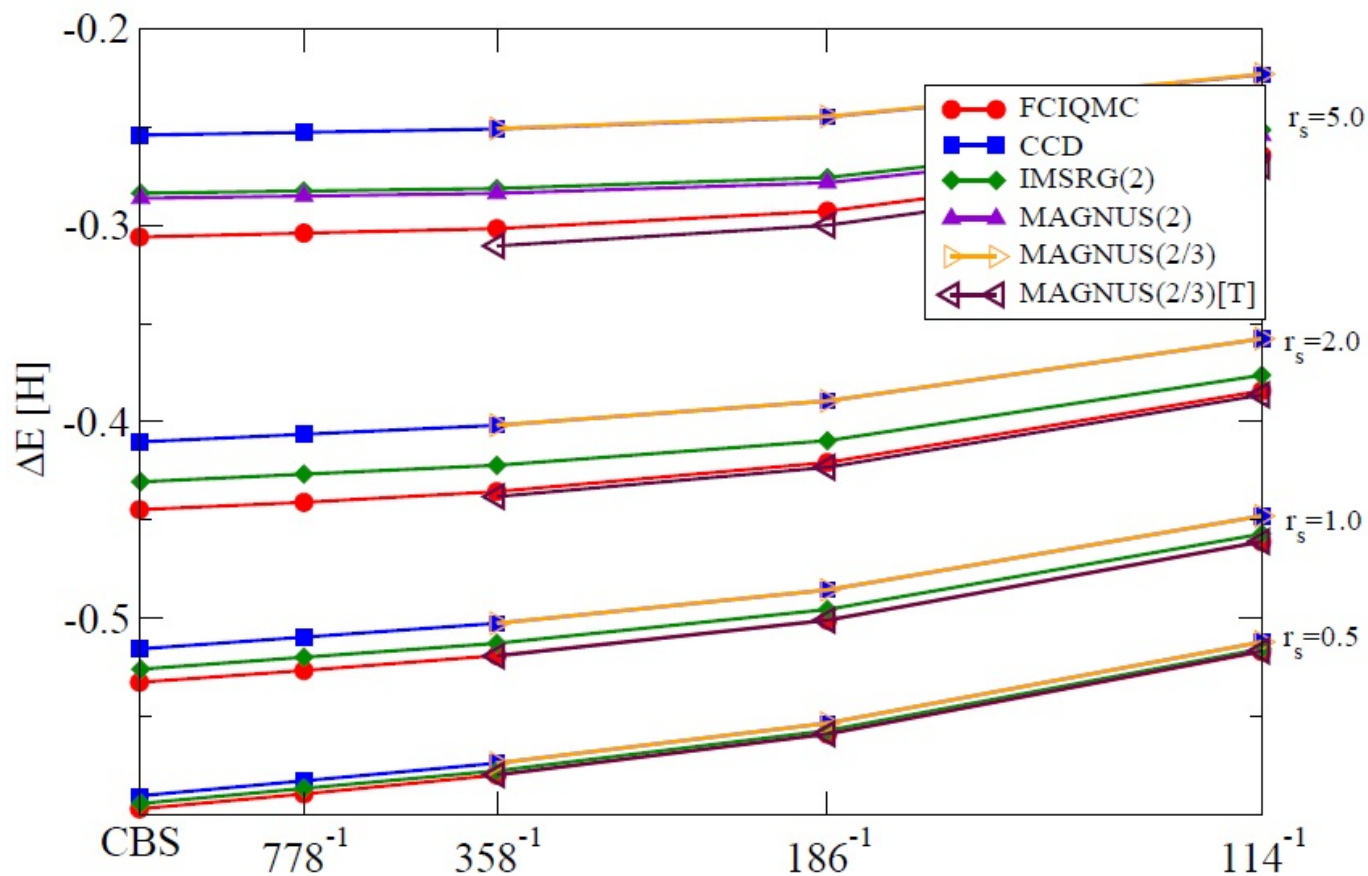
Get same expression using MBPT2 with W. But using commutator, these corrections can be carried out for observables as well with minimal change in code! **Maybe** applicable **MR-MAGNUS!**

# Magnus(2/3)[T]

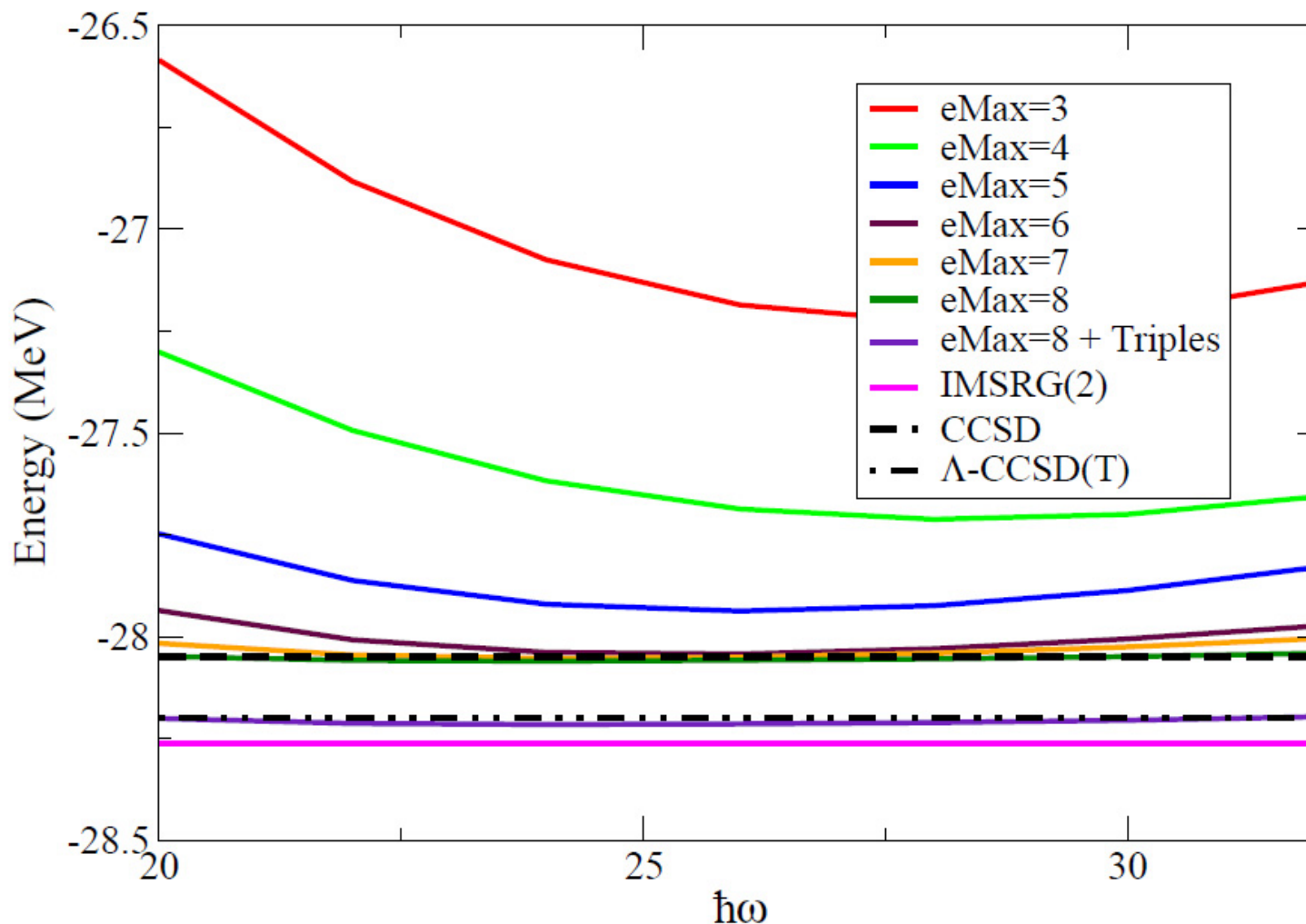


M.E./M.B. results calculated with Psi4

# Magnus(2/3)[T]



# Magnus(2/3)[T] $^4\text{He}$



N3LO E.M. NN  $\lambda = 2.0$

# Magnus(2/3)[T] Observations



- CCSD[T] cost
- More robust than CCSD[T] in chemistry
- PT analysis for shell model?

- Scuseria et al. 
$$\frac{1}{\Delta_{p_1 p_2 p_3 h_1 h_2 h_3}} = - \int_0^\infty e^{-x \Delta_{p_1 p_2 p_3 h_1 h_2 h_3}} dx$$

- MR-MAGNUS(2/3)[T] computed at  $N^6$
- All results are applicable to generic operators
- Past triple zero body

# Acknowledgements



S. Bogner, H. Hergert,  
M. Hjorth-Jensen,  
N. Parzuchowski, F. Yuan  
NSCL, Michigan State University



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