

Neutrons in a HO trap with chiral interactions



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SciDAC project – NUCLEI
<http://computingnuclei.org>



INCITE award – Computational Nuclear Structure



NERSC (CPU time and code development support)



Neutrons in a trap: *Why*

- Theoretical 'laboratory'
 - to explore properties of different nuclear interactions
- Validate ab-initio DFT approaches
 - against microscopic ab-initio calculations with the **same** interaction
- Guide developments of Nuclear Energy Density Functionals
 - consistent with ab-initio calculations
- Model for neutron-rich systems
 - in particular those with closed shell protons (Oxygen, Calcium)
- **Uncertainty quantification essential**
 - For comparisons between different methods
 - statistical and round-off errors in calculation
 - systematical errors inherent to the many-body method
 - For comparisons between different interactions
 - uncertainty of the nuclear potential

Neutrons in a trap: *What*

Neutrons

- Confined by external potential \hat{U}_{ext}
- Interacting via $\hat{V}_{NN}, \hat{V}_{3NF}, \dots$

$$\hat{H} = \hat{T} + \hat{U}_{\text{ext}} + \hat{V}_{NN} + \hat{V}_{3NF} + \dots$$

Observables

- Total energy $E_{\text{tot}} = \langle \hat{H} \rangle$ (per neutron)
- Internal energy $E_{\text{int}} = \langle \hat{H} \rangle - \langle \hat{U}_{\text{ext}} \rangle$ (per neutron)
- Spectra, energy splittings
- One-body density $\rho(r)$
 - rms radius $r = \langle \hat{\mathbf{r}}^2 \rangle^{\frac{1}{2}}$
 - Fourier transform of density: form factor $F(q)$
- ...

Neutrons in a trap: *How*

Barrett, Navrátil, Vary, *Ab initio no-core shell model*, PPNP69, 131 (2013)

No-Core Configuration Interaction calculations

- Expand wavefunction in basis states $|\Psi\rangle = \sum a_i |\Phi_i\rangle$
- Express Hamiltonian in basis $\langle \Phi_j | \hat{H} | \Phi_i \rangle = H_{ij}$
- Diagonalize Hamiltonian matrix H_{ij}
- **No-Core**: all N neutrons are treated the same
- Complete basis \rightarrow exact result
 - caveat: complete basis is infinite dimensional
- In practice
 - truncate basis
 - study behavior of observables as function of truncation
- Computational challenge
 - construct large ($10^{10} \times 10^{10}$) sparse symmetric real matrix H_{ij}
 - use Lanczos algorithm to obtain lowest eigenvalues & -vectors

No-Core Configuration Interaction methods

- Many-Body basis states $\Phi_i(r_1, \dots, r_A)$ Slater Determinants
- Single-Particle basis states $\phi_{ik}(r_k)$
 - eigenstates of SU(2) operators $\hat{\mathbf{L}}^2$, $\hat{\mathbf{S}}^2$, $\hat{\mathbf{J}}^2 = (\hat{\mathbf{L}} + \hat{\mathbf{S}})^2$, and $\hat{\mathbf{J}}_z$ with quantum numbers n, l, s, j, m
 - radial wavefunctions
 - Harmonic Oscillator w. basis parameter $\hbar\omega$
 - Coulomb–Sturmian Caprio, Maris, Vary, PRC86, 034312 (2012)
 - ...
- **M-scheme**: Many-Body basis states eigenstates of $\hat{\mathbf{J}}_z$

$$\hat{\mathbf{J}}_z |\Phi_i\rangle = M |\Phi_i\rangle = \sum_{k=1}^A m_{ik} |\Phi_i\rangle$$

- single run gives entire spectrum
- **N_{\max} truncation**: Many-Body basis states satisfy

$$\sum_{k=1}^A (2n_{ik} + l_{ik}) \leq N_0 + N_{\max}$$

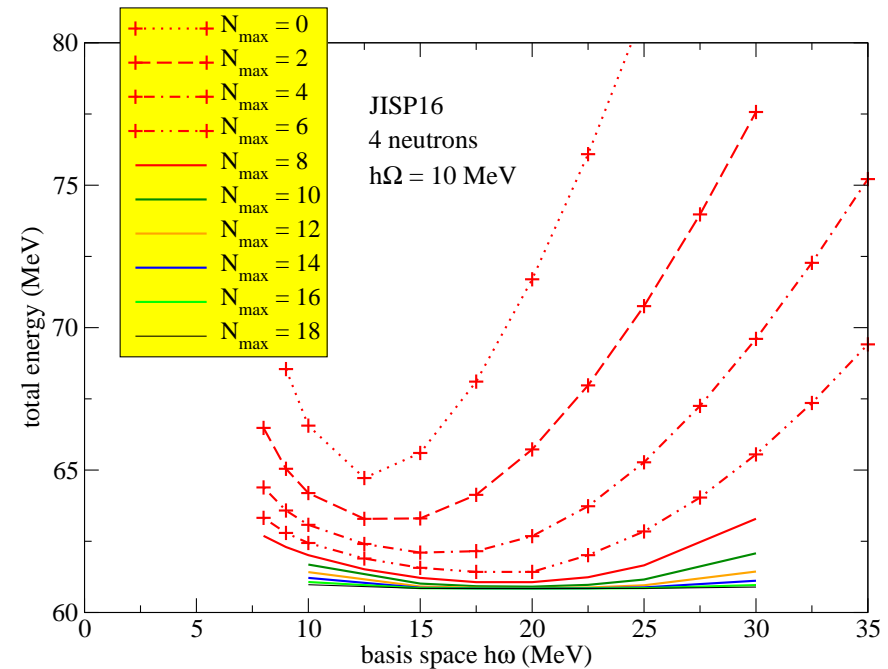
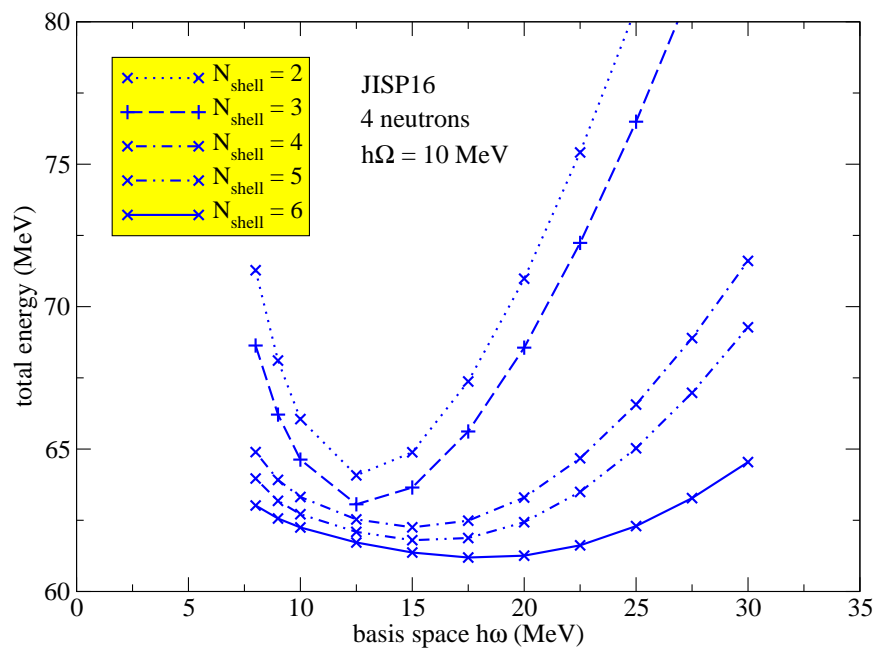
No-Core CI methods for neutrons in a trap

N neutrons in Harmonic Oscillator trap with strength $\hbar\Omega$

$$\hat{H} = \sum_i^N \frac{\vec{p}_i^2}{2m} + \frac{1}{2} \sum_i^N m \Omega^2 \vec{r}_i^2 + \sum_{i<j} V_{ij} + \sum_{i<j<k} V_{ijk} + \dots$$

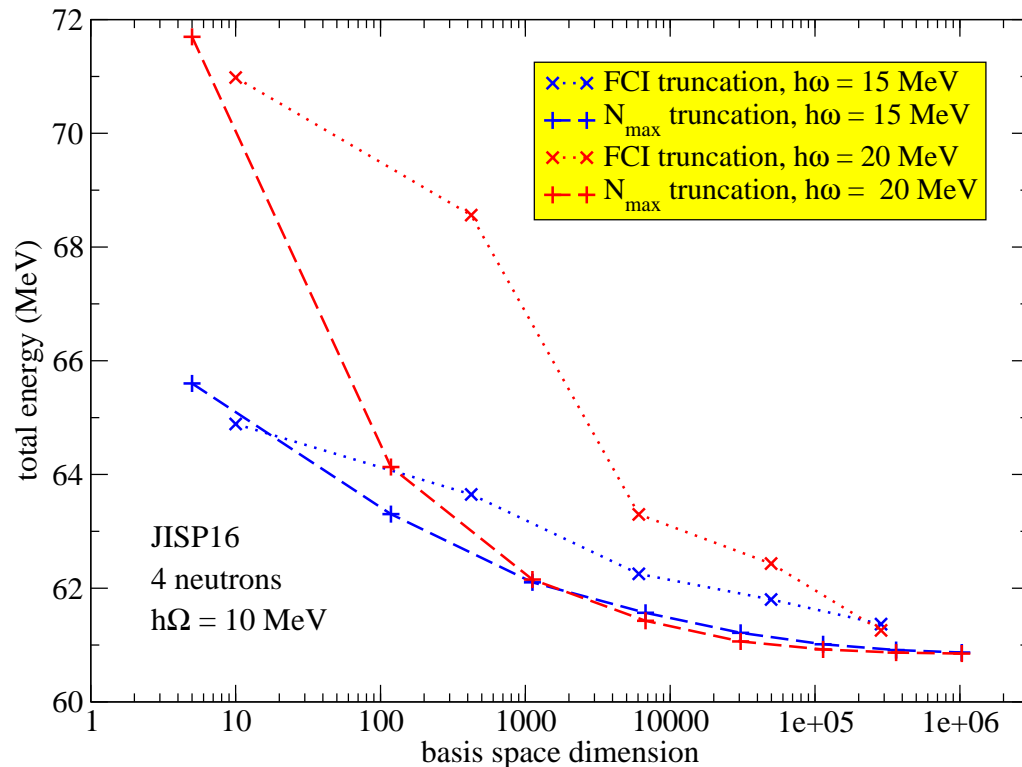
- Trap defines coordinate system
 - Center-of-Mass motion is part of the system
 - No need to factorize out Center-of-Mass motion!
 - Truncation methods
 - Many-body N_{\max} truncation
 - Single-Particle basis truncation (N_{shell}) – FCI
 - Particle-hole truncation
 - SU(3) truncation Dytrych *et al*, PRL111, 252501 (2013)
 - No-Core Monte-Carlo Shell Model Abe *et al*, PRC86, 054301 (2012)
 - Importance Truncation Roth, PRC79, 064324 (2009)
 - ...
 - Extrapolate to the infinite basis space
-

Convergence: N_{shell} truncation vs. N_{max} truncation



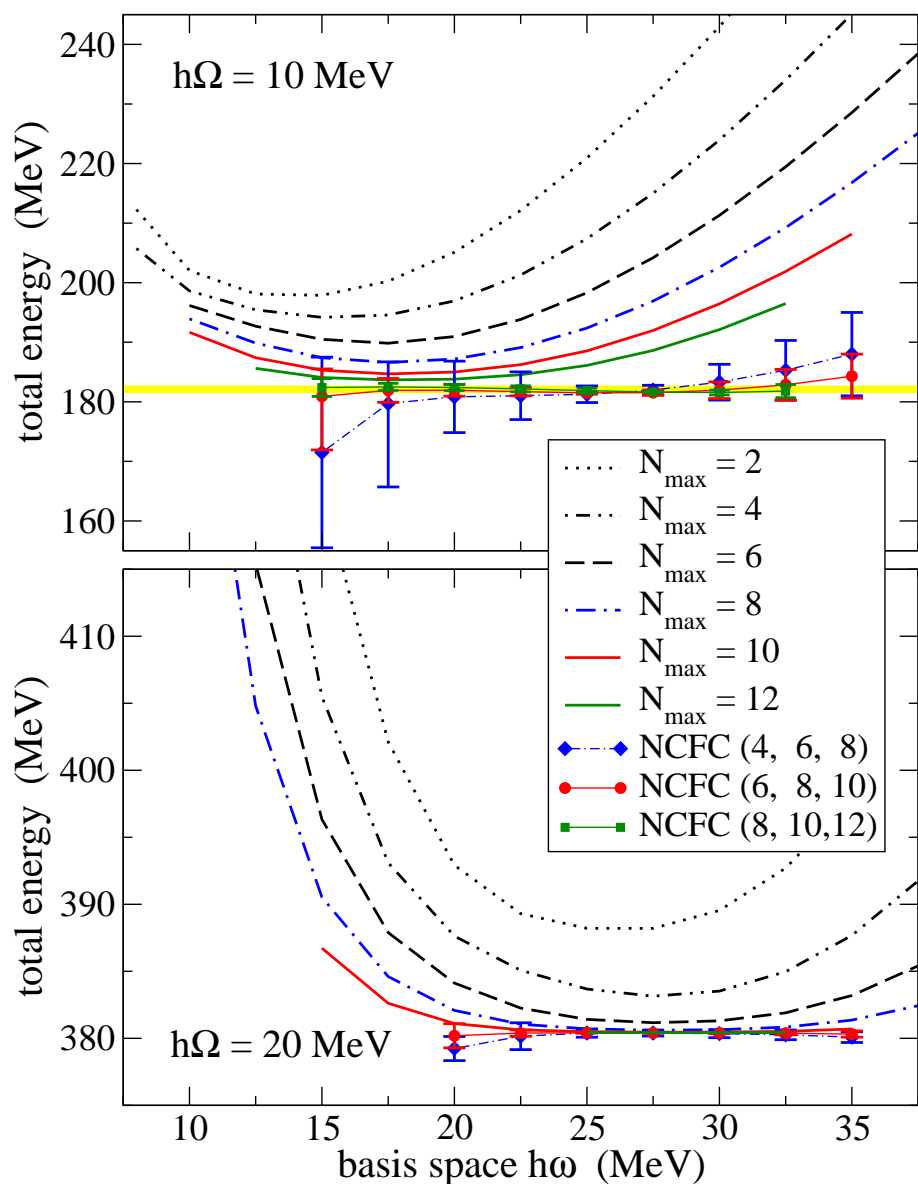
- Small model spaces
 - variational minimum for $\hbar\omega$ near H.O. trap $\hbar\Omega$
- Large model spaces
 - variational minimum for $\hbar\omega$ near optimal value for interaction
- Convergence
 - independence of basis $\hbar\omega$ and truncation parameter N

Convergence rate: N_{max} truncation vs. N_{shell} truncation



- N_{max} truncation below FCI truncation for same $\hbar\omega$ and dimension
- Smooth approach to NCFC result with N_{max} truncation
- Allows for extrapolation to exact total energy

Extrapolation to complete basis

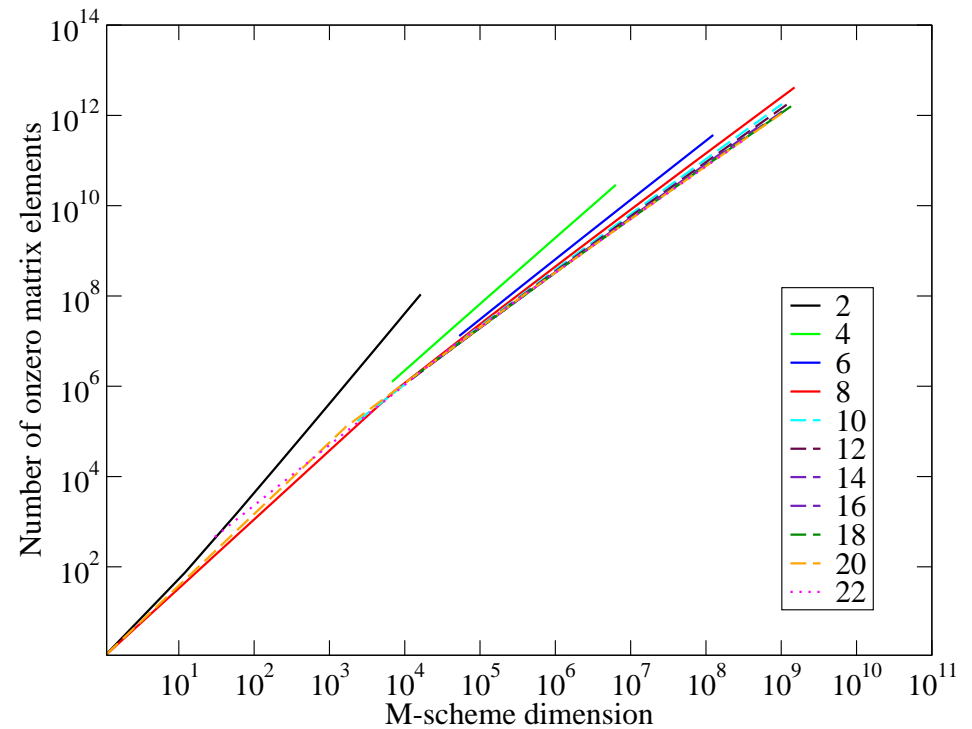
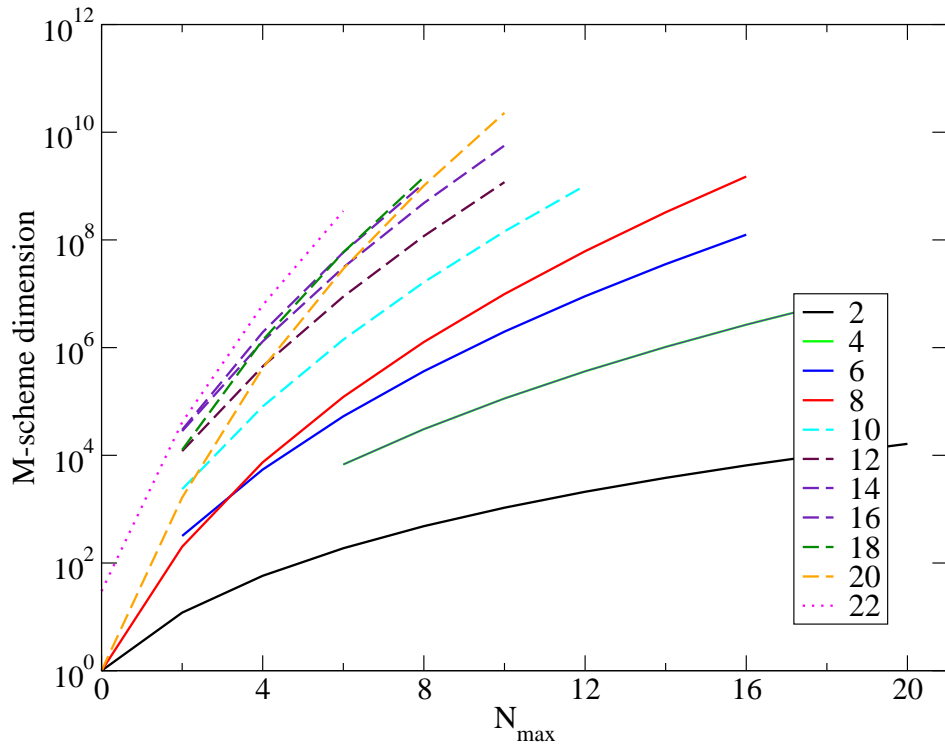


- Empirical extrapolation method (ground state) energies

$$E(N_{\max}) \approx E_{\infty} + a \exp(-bN_{\max})$$

- Error estimate: difference with result from smaller model spaces
 - errors decrease with N_{\max}
 - extrapolation at N_{\max} within error estimates of $N_{\max} - 2$
- Need at least $N_{\max} = 8$, preferably $N_{\max} = 10$ for meaningful extrapolation

NCCI calculations – main challenge



- Increase of basis space dimension with increasing A and N_{\max}
 - need calculations up to at least $N_{\max} = 8$ for meaningful extrapolation and numerical error estimates
- More relevant measure for computational needs
 - number of nonzero matrix elements
 - current limit 10^{13} to 10^{14} (Edison, Mira, Titan)

Many Fermion Dynamics – nuclear physics

Configuration Interaction (Shell Model) code

- Platform-independent, hybrid OpenMP/MPI, Fortran 90
- Highly parallelized, highly scalable, load-balanced
- Nonzero matrix elements stored in core
 - Lanczos iterations very fast (few seconds per iteration)
 - LOBPCG (SpMatMul instead of SpMatVec) in progress, but overall less efficient than Lanczos
- NN, 3NF implemented and fully functional
 - 4NF implemented, but no interface with input FBMEs (yet)
- One-body and scalar two-body observables fully functional
- Optimized for No-Core calculations
 - Small systems: 5 to 20 nucleons
 - Large bases: 100 to 500 S.P. orbitals ($\sim 10,000$ S.P. states)
- Capable to perform, but not optimized for, traditional shell model calculations

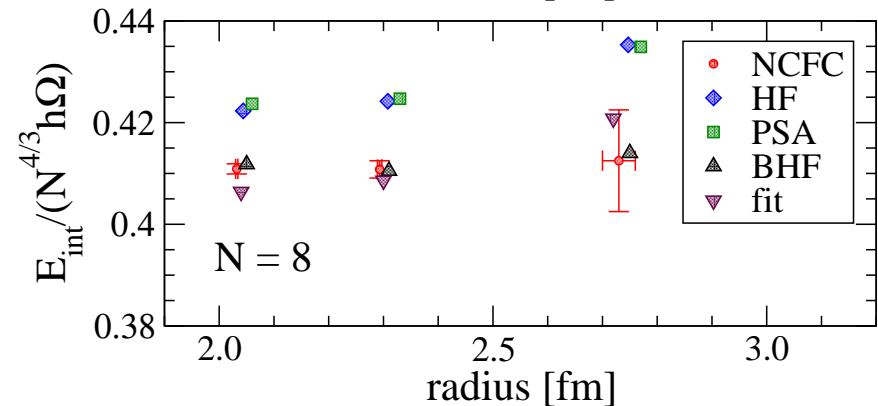
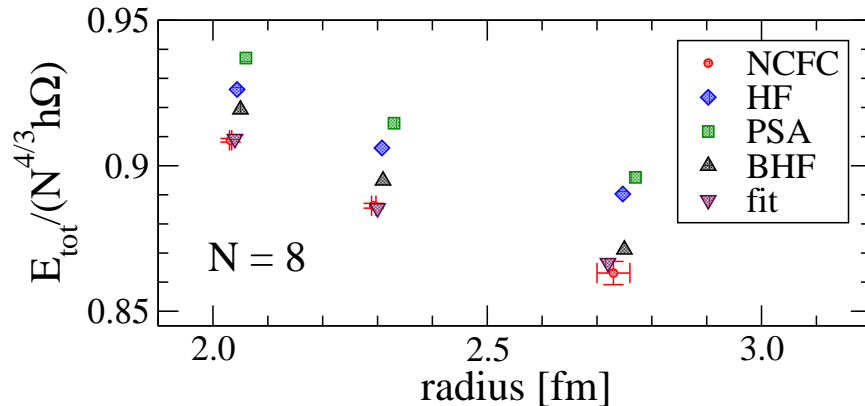
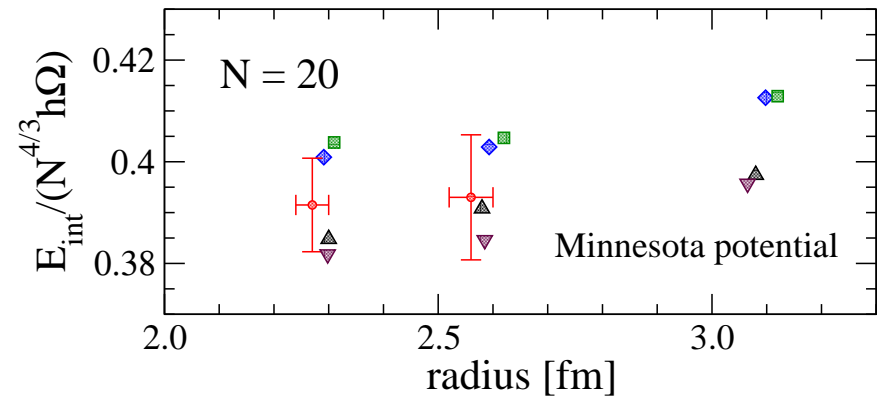
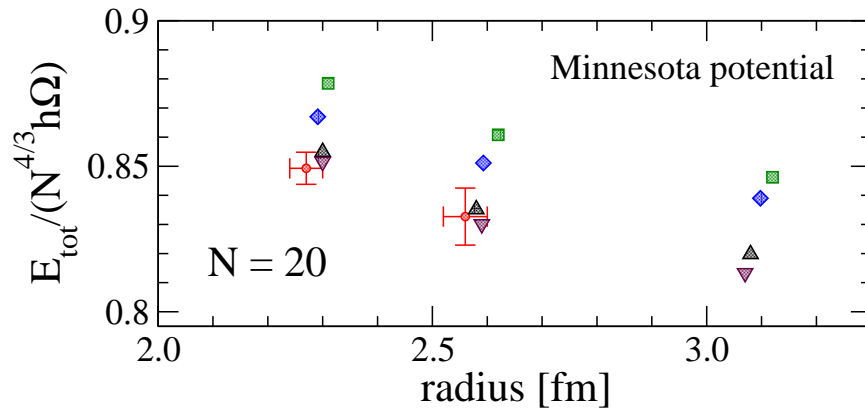
Validating *ab-initio* Density Functional Theory

Bogner, Furnstahl, Hergert, Kortelainen, Furnstahl, PM, Stoistov, Vary, PRC84, 044306 (2011)

- Simple model for interaction
 - Minnesota potential
- Ab-initio NCFC calculations for neutrons in H.O. potential
 - including numerical error estimates on all 'observables'
- Density Functional Theory approaches using same NN interaction
 - Hartree–Fock
 - Density Matrix Expansion / Phase–Space–Averaging with exact Hartree
 - Incorporate correlations beyond HF using Brueckner–Hartree–Fock calculations of neutron matter
 - Density-dependent terms
 - Fit surface parameters in DME functional
- Comparison for 8 and 20 neutrons
 - total and internal energy per neutron as function of radius
 - density $\rho(r)$ and form factor $F(q)$

Minnesota potential – energies and radii

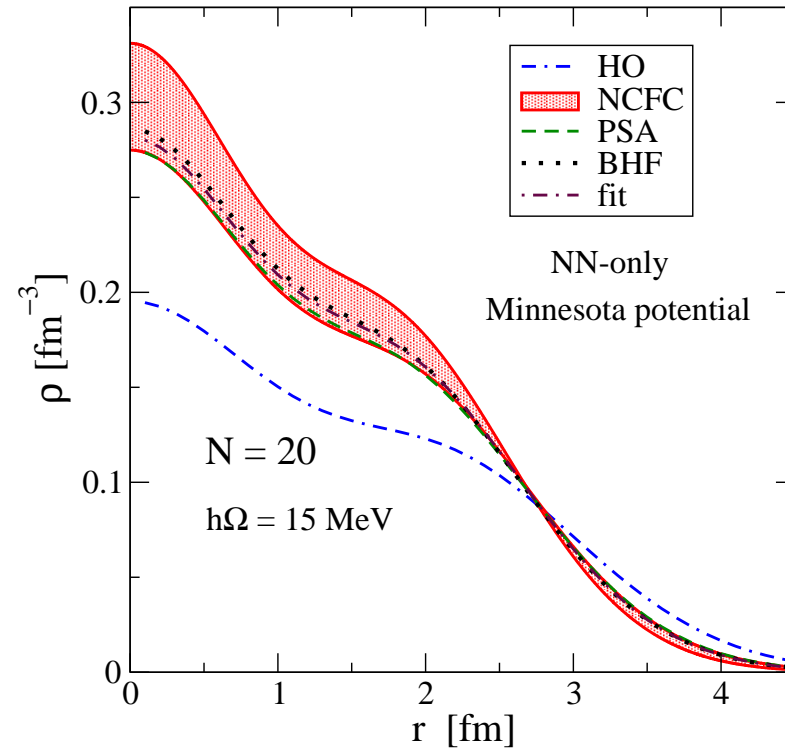
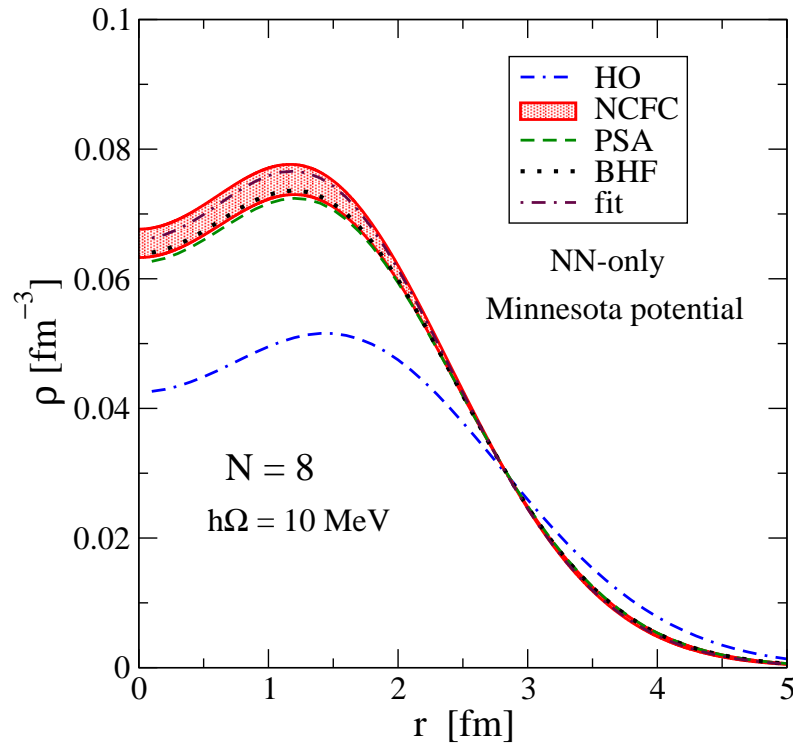
Bogner, Furnstahl, Hergert, Kortelainen, Furnstahl, PM, Stoistov, Vary, PRC84, 044306 (2011)



- Hartree–Fock outside error bars of ab-initio calculations
- BHF density-dependent term and fit surface terms closest to ab-initio calculations

Minnesota potential – Densities

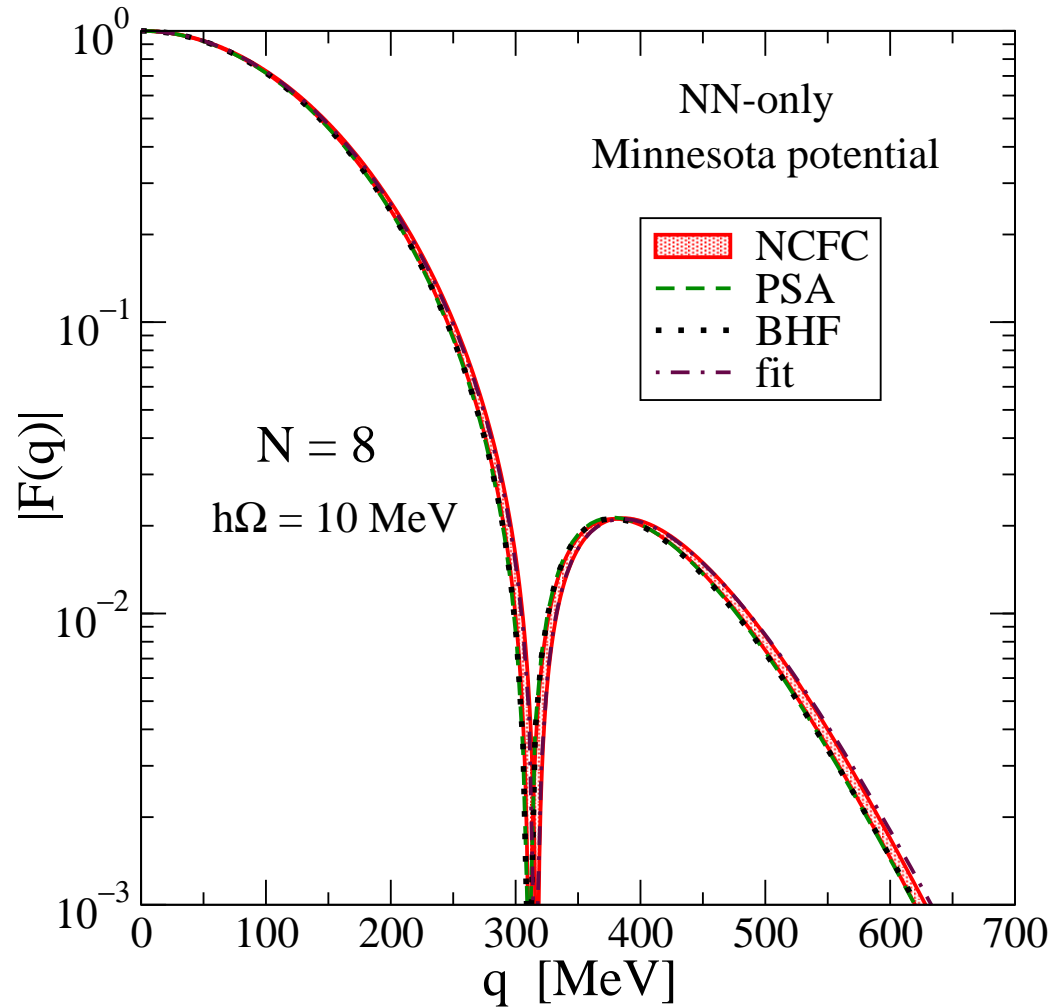
Bogner, Furnstahl, Hergert, Kortelainen, Furnstahl, PM, Stoistov, Vary, PRC84, 044306 (2011)



● Good agreement density profiles

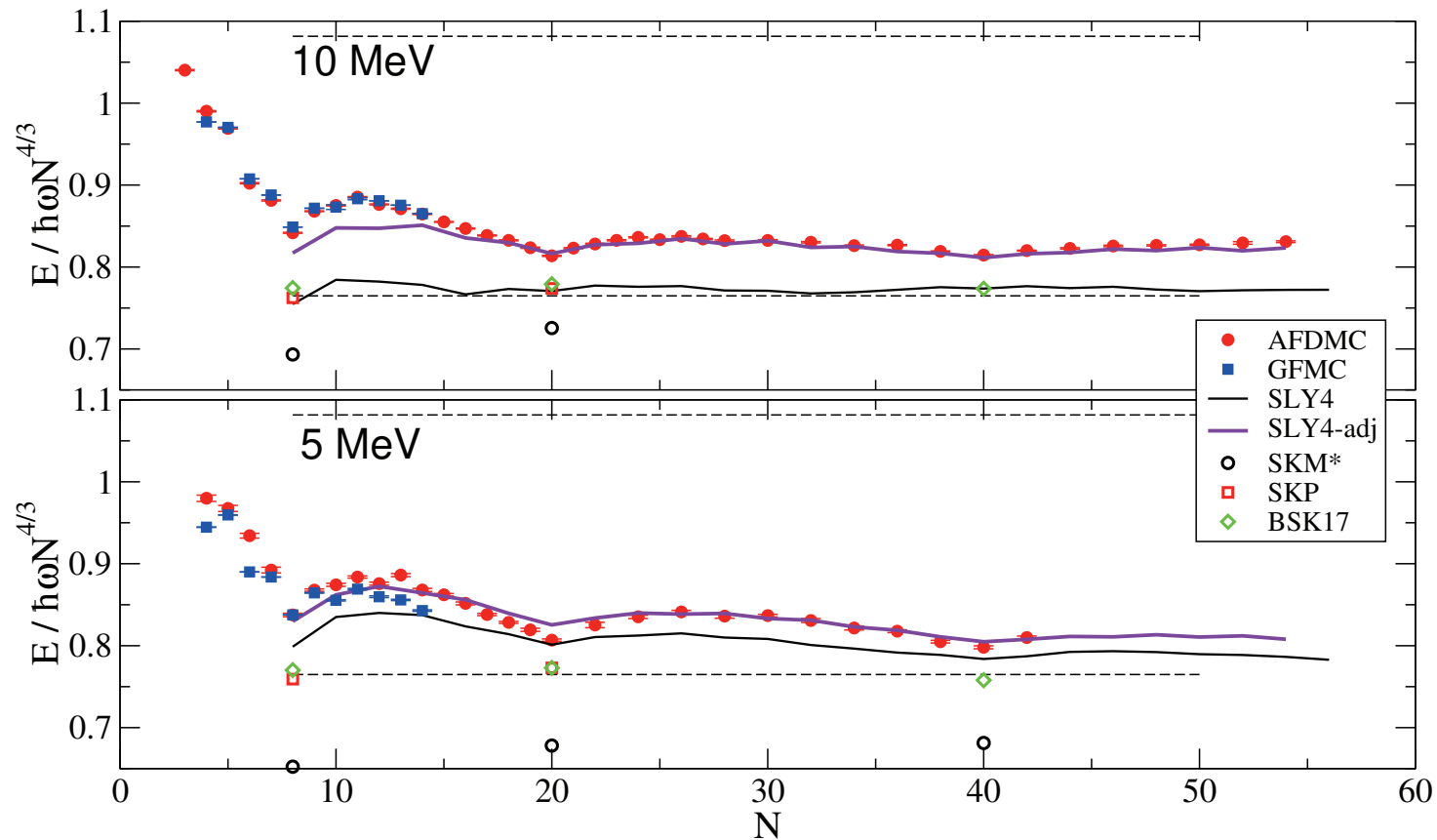
Minnesota potential – form factor

- and good agreement form factor



Results for more realistic interactions: AV8' + UIX

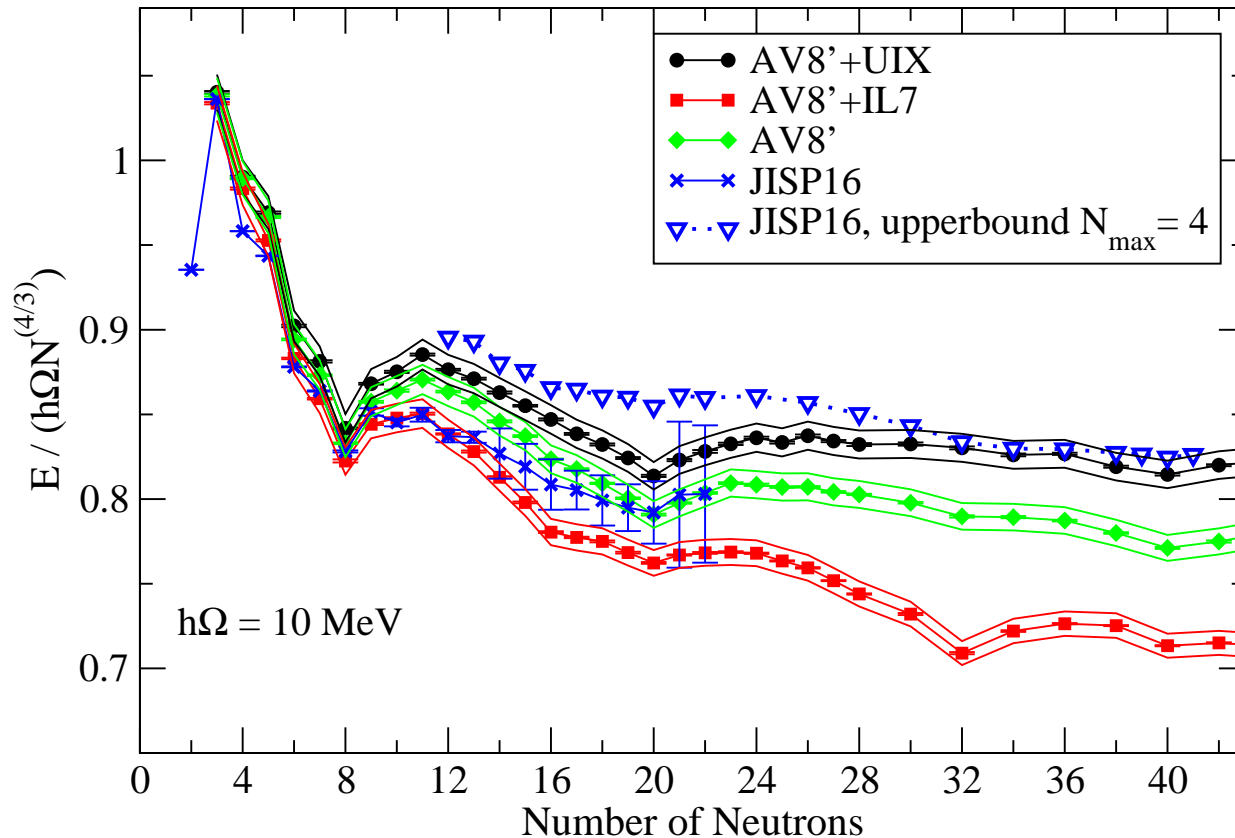
Carlson, Gandolfi, Pieper, PRL106, 012501 (2011)



- GFMC and AFDMC with AV8' + UIX
- Neutron matter EOS consistent with known neutron star masses
- Significant differences Skyrme functionals and ab-initio results

Comparing results for realistic interactions

PM, Vary, Gandolfi, Carlson, Pieper, PRC87, 054318 (2013)



- Significant difference between AV8' plus IL7 and AV8' plus UIX
- JISP16 similar to
 - AV8' plus IL7 for $N \lesssim 14$
 - AV8' without 3NF for $N \gtrsim 18$
- JISP16 and AV8'+IL7 good description of nuclei upto $A = 12 \sim 14$

- AV8': MC error bars plus estimate of systematic uncertainty (band)
- Extrapolation error estimates for JISP16

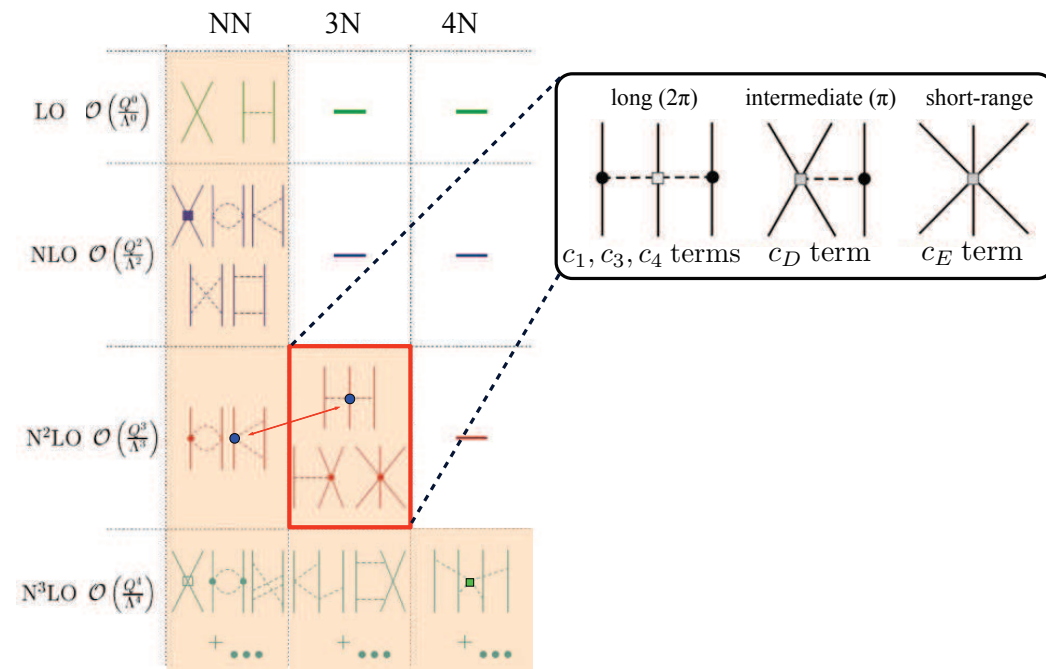
Nuclear interaction from chiral perturbation theory

- Strong interaction in principle calculable from QCD
- Use **chiral perturbation theory** to obtain effective A -body interaction from QCD
Entem and Machleidt, PRC68, 041001 (2003)
 - controlled power series expansion in Q/Λ_χ with $\Lambda_\chi \sim 1$ GeV
 - natural hierarchy for many-body forces

$$V_{NN} \gg V_{NNN} \gg V_{NNNN}$$

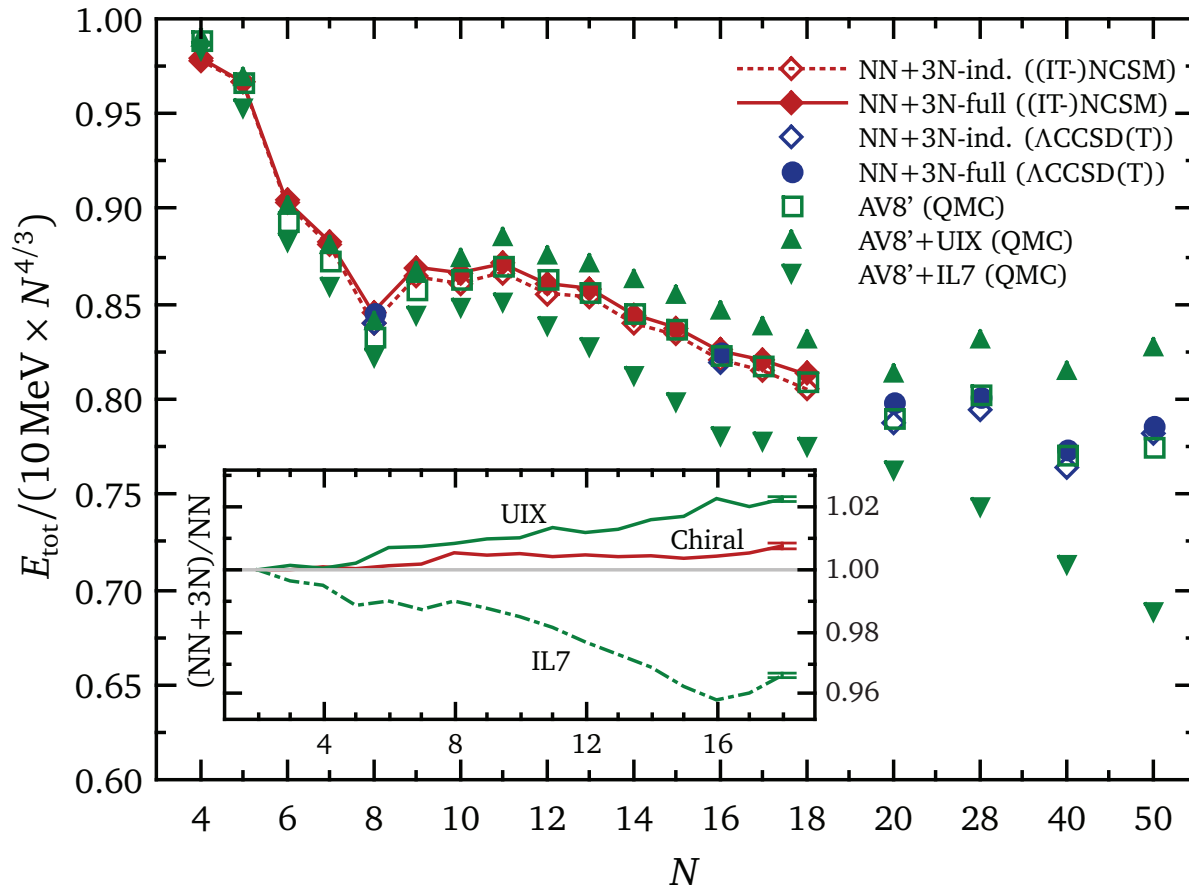
- in principle no free parameters
- in practice a few undetermined parameters
- renormalization necessary

Leading-order 3N forces in chiral EFT



Argonne vs. Chiral interactions

Potter, Fischer, PM, Vary, Binder, Calci, Langhammer, Roth, PLB739, 445 (2014)



● $N^3\text{LO}$ NN potential
Entem–Machleidt
with 500 MeV cutoff

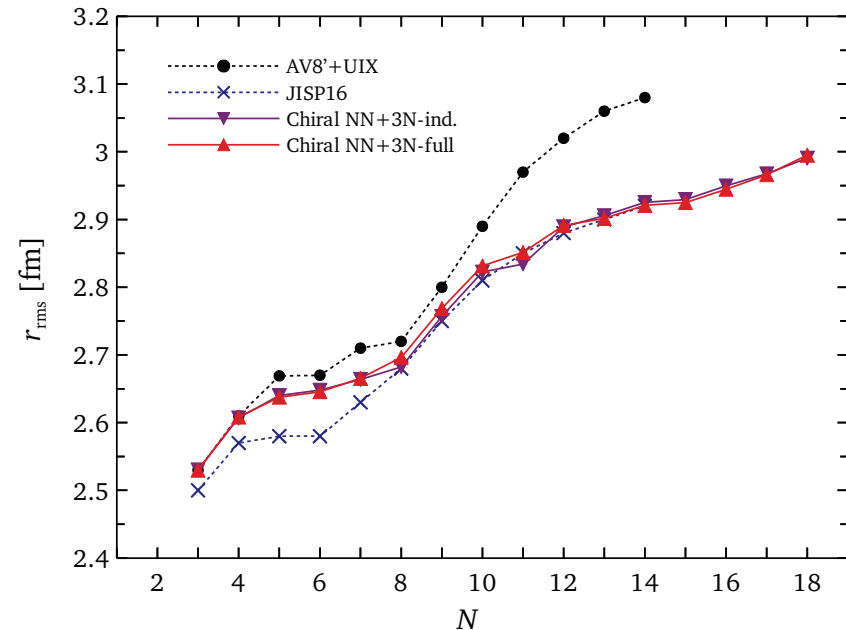
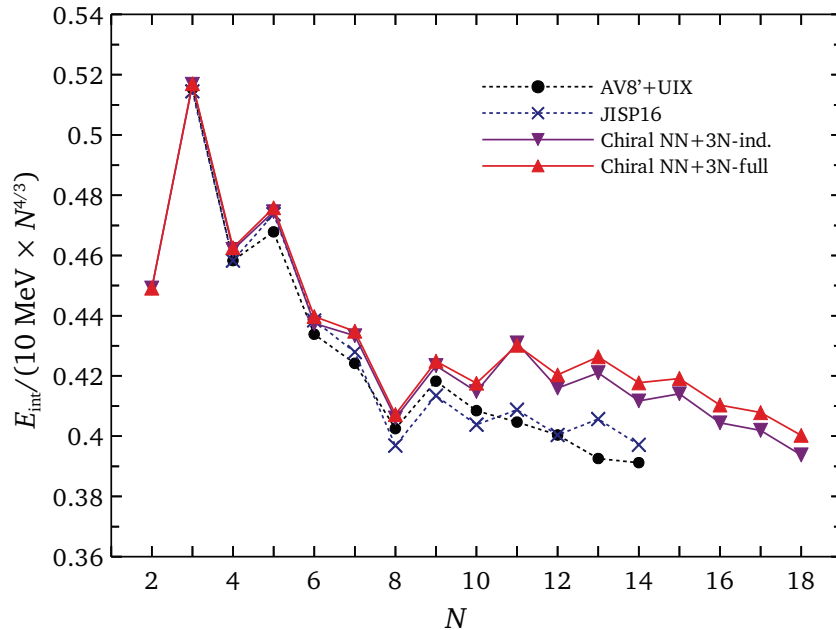
● $N^2\text{LO}$ 3NF
 $c_D = -0.2$
 $c_E = -0.205$

● Chiral $N^3\text{LO}$ NN similar to AV8' without 3NF

● Contributions from chiral $N^2\text{LO}$ 3NF small

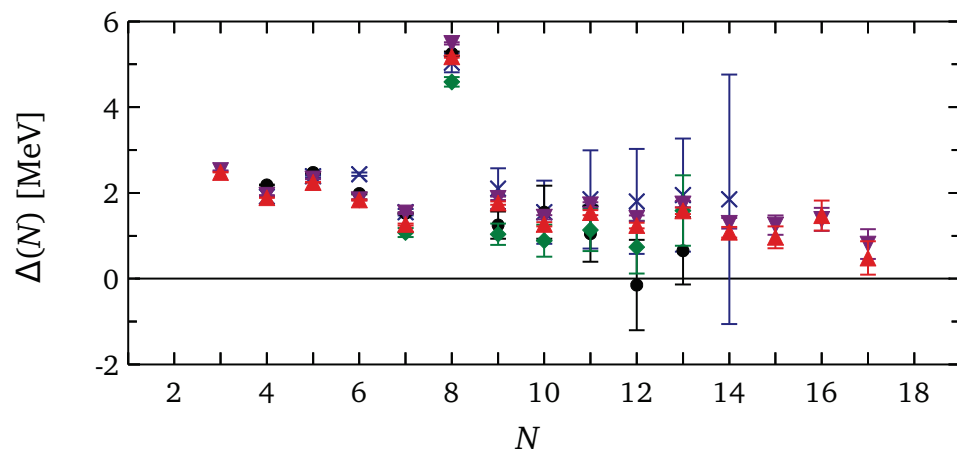
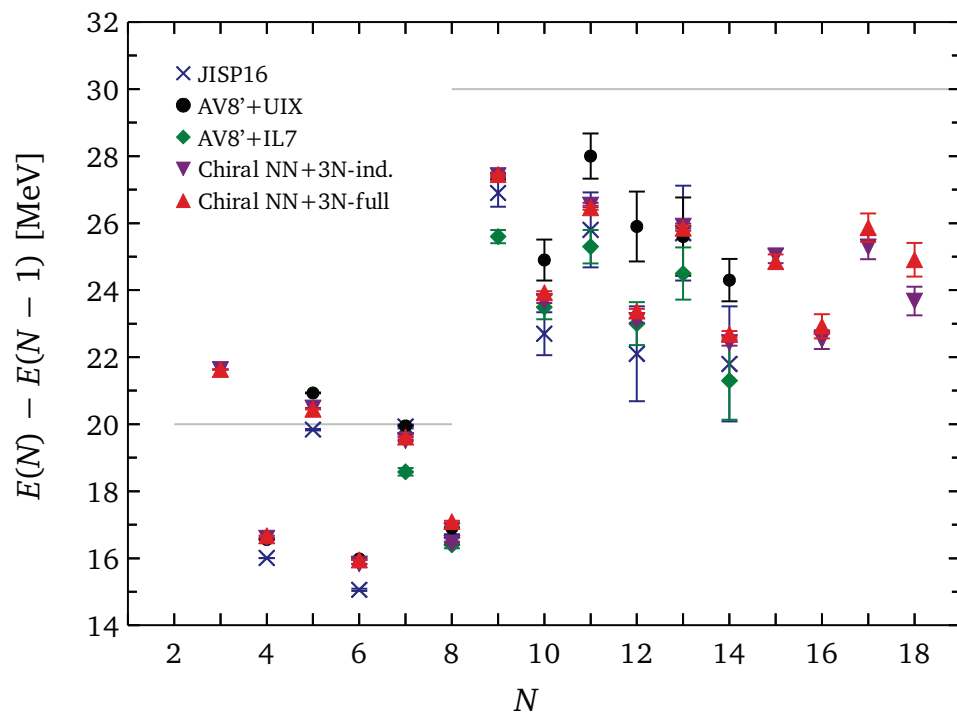
Internal energies and radii

Potter, Fischer, PM, Vary, Binder, Calci, Langhammer, Roth, PLB739, 445 (2014)



- Contributions from chiral $N^2\text{LO}$ 3NF small
- Internal energies up to 10 neutrons all similar behavior, but noticeable differences in radii
- Above 10 neutrons, chiral and JISP16 give similar radii, while AV8'+UIX gives larger radii
- Strong odd-even effect internal energies (also total energies)

Pairing

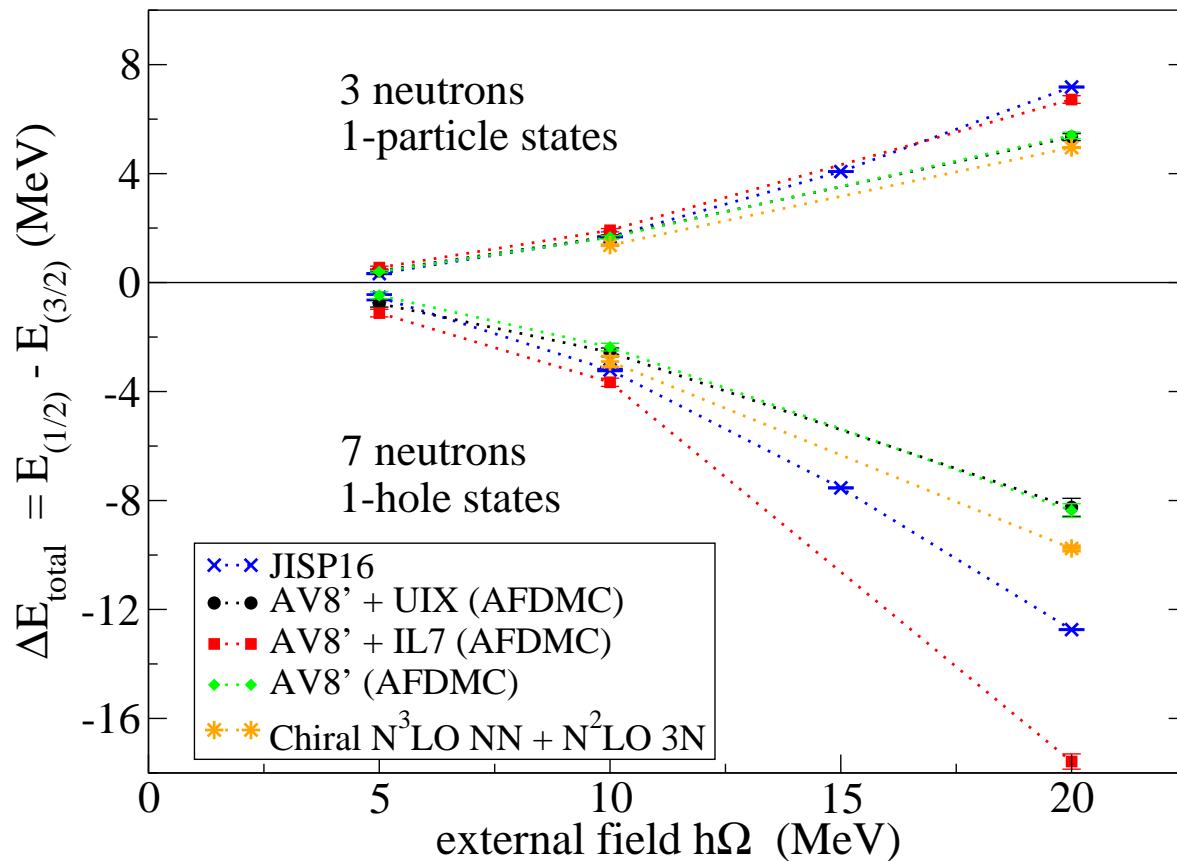


- Single differences
 - jumps at closed shell due to HO trap
 - odd-even difference indicating pairing
- Double differences $\Delta(N) = (-1)^{(N-1)} (E(N) - \frac{1}{2}(E(N-1) + E(N+1)))$
 - similar pairing effects with different interactions in p -shell
 - chiral and JISP16 lead to more pairing than AV8' interactions in sd -shell

Level splitting: p -shell

PM, Vary, Gandolfi, Carlson, Pieper, PRC87, 054318 (2013)

Chiral: work in progress (Potter, PhD student)

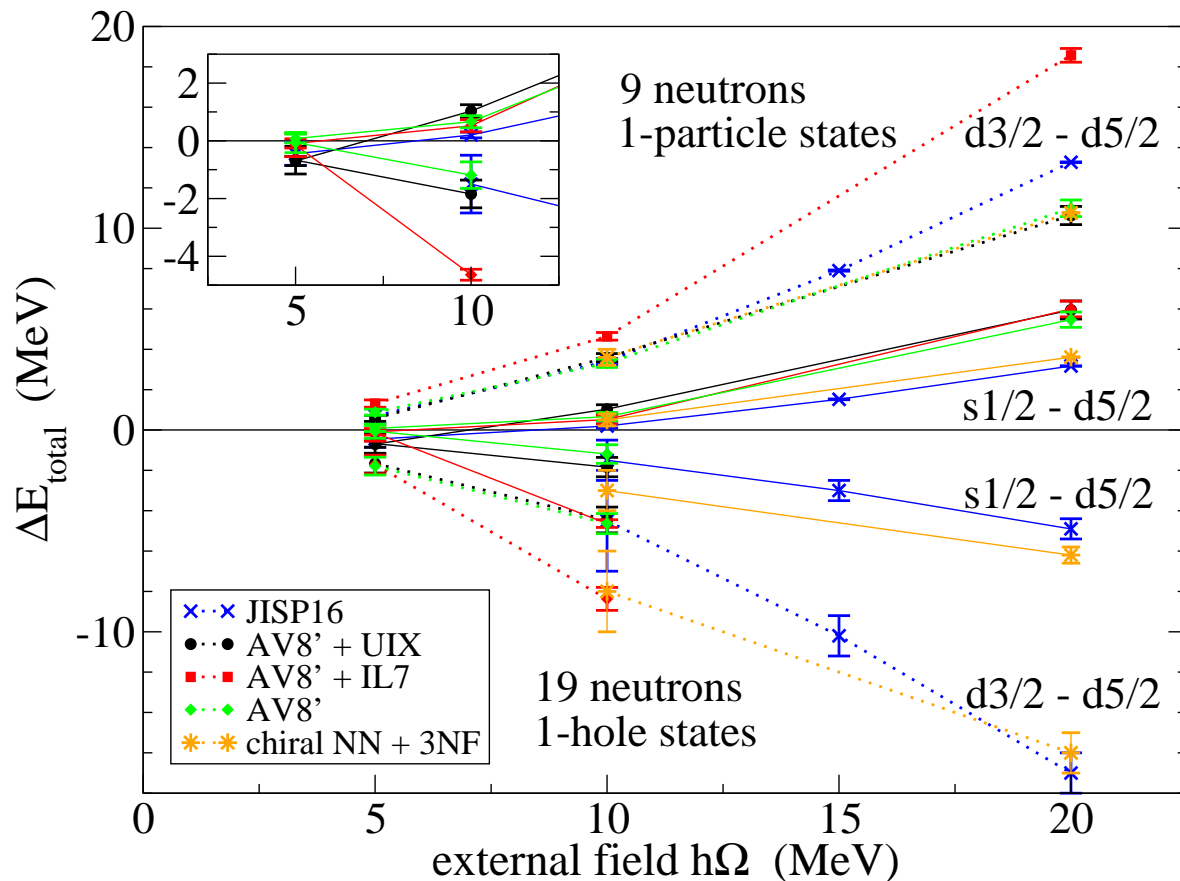


- $p_{1/2} > p_{3/2}$, as expected
- similar splitting for different interactions (JISP16, AV8', chiral) up to 10 MeV trap strength
- splitting larger for one-hole states than for one-particle states
- splitting increases with external field strength, due to
 - increased density?
 - steeper gradient?

Level splitting: *sd*-shell

PM, Vary, Gandolfi, Carlson, Pieper, PRC87, 054318 (2013)

Chiral: work in progress (Potter, PhD student)



Level ordering

$d_{\frac{3}{2}} > d_{\frac{5}{2}}$

$d_{\frac{3}{2}} > s_{\frac{1}{2}}$

$s_{\frac{1}{2}} \gtrsim d_{\frac{5}{2}}$

Expect subshell closures

weak at 14

strong at 16

in particular
with AV8'+IL7

Qualitatively similar splittings for different interactions

Conclusions

Microscopic ab-initio calculations of neutrons in a trap

- Compare and contrast different NN and 3N interactions
- Guide and validate ab-initio DFT approaches
- Benchmark microscopic ab-initio methods
- Simple model for neutron-rich systems

Thank you